# Algebra 2 - 521 Lecture Notes Prof Janet Vassilev

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# 1 Jan 22 2020

# 1.1 logistics

- 1st Homework 10.1 5,7,13, 10.2 1,4,6,7, 10.3 7
- See syllabus online

# 1.2 Modules

- def: An abelian group M (under +) is a (left) R-module if we have an action  $R \times M \to M$ , such that for all  $r, s \in R, m, n \in M$ 
  - 1. (r+s)m = rm + sm
  - 2. r(m+n) = rm + rn
  - 3. (rs)m = r(sm)
  - 4. If R has unity then 1m = m.

Reverse properties define a right module, but we will consider modules to be left unless otherwise stated. If R is commutative we can define mr = rm to give both a left and right module that are the same (although it is possible to defined different left and right structures even when R is commutative).

- an *R* submodule is a subset  $\emptyset \neq N \subset M$  which satisfies the module axioms. can simply check that if  $x, y \in N$  then  $rx y \in N$  for all  $r \in R$ .
- Def: R-module homomorphism. If M, N are R modules then  $\phi: M \to N$  is an R module homomorphism if  $\phi(rm + n) = r\phi(m) + \phi(n)$
- Def: *R*-algebra. A ring *S* is an *R*-algebra if there is ring homomorphism  $\phi : R \to S$  satisfying  $r\phi(r') = \phi(rr')$ . If *R* and *S* have unity we require

$$(*)\phi(1_R) = 1_S$$

 $(*) \Rightarrow r\phi(1) = \phi(r).$ 

- $Hom_R(M, N)$  is the set of *R*-module homomorphisms for *M* to *N*. If we define  $(\phi + \psi)(m) = \phi(m) + \psi(m)$ then  $Hom_R(M, N)$  is an abelian group. If we define  $(r\phi)(m) = r(\phi(m))$  then  $Hom_R(M, N)$  is an *R*-module.  $Hom_R(M, M)$  then with the addition defined above  $Hom_R(M, M)$  is an abelian group. since  $\phi \circ \psi \in Hom_R(M, M)$ , its is a ring.
- Let M be an R-module and  $\{N_i\}_{i \in I}$  with  $N_i \subseteq M$  are R-submodules of M then  $\sum_{i \in I} N_i = \{n_{i_1} + \ldots + n_{i_t} : I \in I\}$

 $n_{i_j} \in N_{i_j}$  the set of all finite sums is an *R*-submodule of *M* with

$$(n_{i_1} + \dots + n_{i_t}) + (n_{j_1} + \dots + n_{j_s}) = n_{i_1} + \dots + n_{i_t} + n_{j_1} + \dots + n_{j_s}$$

and

$$r(n_{i_1} + \dots + n_{i_t}) = rn_{i_1} + \dots + rn_{i_t}.$$

• If  $A \subset M$  then  $RA = \{r_1a_1 + ..., r_na_n : a_i \in A\}$  the set of all finite sums is the *R*-module generated by *A*. If  $A = \{a\}$  then *Ra* is called a cyclic *R*-module. If  $|A| = n < \infty$  and N = RA we say that *N* is a finitely generated *R*-module. Not necessarily *R*.

# 2 Jan 24

# 2.1 Review of Direct Products

### 2.1.1 External Viewpoint for direct products

• Let  $N_1, ..., N_s$  be *R*-modules. can construct  $N_1 \times ... \times N_s = \{(n_1, ..., n_s) | n_i \in N_i\}$ . Called the direct product of  $N_i$ 's. With addition and scalar multiplication component-wise this is an *R*-module.

### 2.1.2 internal viewpoint for direct products

• M an R-module  $N_1, ..., N_s \subset M$ . with  $N_1 + ... + N_s = M$ . If  $N_i \cap (N_1 + ... + \hat{N}_i + ... + N_s) = 0$  for all i then M is a direct sum of  $N_1, ..., N_s$ . if sum on  $N_i$  is not all of M then the sum is a direct sum if  $N_i \cap (N_1 + ... + \hat{N}_i + ... + N_s) = 0$  (just not equal to M).

### 2.1.3 note about direct products and sums

If  $N_1, ..., N_s$  are *R*-modules then  $N_1 \oplus ... \oplus N_s = \{(n_1, ..., n_s : n_i \in N_i\} = N_1 \times ... \times N_s$  are the same thing as *R*-modules.

In the infinite case we have  $\{N_i\}_{i \in I}$  then  $\bigoplus_{i \in I} N_i = \{r_1\phi_{i_1} + \ldots + r_n\phi_{i_n} : r_i \in R\}$  where  $\phi_j : \bigoplus_{i \in I} N_i \to N_j$ ( $\phi_j$  picks the *j*th component). In direct sum can only have finite linear combinations of the  $\phi_j$ . In infinite direct product can have infinite linear combinations.

# 2.2 Free modules

• Let A be a set then F(A) is the free R-module on the set A if every element of F(A) can be expressed uniquely in the form  $r_1a_{i_1} + ... r_na_{i_n}$  for  $r_j \in R$  and  $a_{i_j} \in A$  (only finitely many terms can be involved in sum).

This is equivalent to

- 1. A is linearly independent
- 2. A spans F(A).
- The universal property for free modules. Let A be a set, M an R-module. Given any set map  $\phi : A \to M$  as below there is a unique  $\Phi$  such that the diagram commutes:



Note *i* is an inclusion map, i(a) = a, *M* is an *R*-module and  $\Phi$  is an *R*-module homomorphism. Main part of proof is showing the uniqueness of  $\Phi$ .

### 2.3 Tensor Products

• Let  $R \subseteq S$  be rings with unity. M is an S module. Then M is an R-module as well.

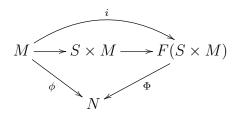
If M is R module then M does not have to be an S module.

Tensor product  $S \otimes_R M$  can be thought of as a way of extending the scalars of R to make M an S module.

• Consider  $F(S \times M)$  Note that  $(s_1 + s_2, m), (s_1, m), (s_2, m)$  are all generators of  $F(S \times M)$  so we need to mod out by certain relations.

Let  $R_1 : (s_1 + s_2, m) - (s_1, m) - (s_2, m)$  for all  $s_1, s_2 \in S, m \in M$ .  $R_2 : (s, m_1 + m_2) - (s, m_1) - (s, m_2)$  for all  $s \in S$ , for all  $m_1, m_2 \in M$ . To get the needed associative like property let  $R_3 : (s, rm) - (sr, m)$  for all  $s \in S, m \in M, r \in R$ .

Then let H = R-module generate by  $R_1, R_2, R_3$  and we can define  $S \otimes_R M := \frac{F(S \times M)}{H}$ . To make sense of all this use universal property



#### Jan 27. Guest Lecturer Alex Buium 3

#### 3.1**Tensor Products**

- Let R be a commutative ring,  $M, N, P, \dots$  R-Modules
- Def: A map from  $M \times N \to P$  is bilinear if it is *R*-linear in each argument.
- Def:  $M \otimes N = \frac{E}{E}$

{

 $E = \text{free abelian group with basis } M \times N = \{\sum r_i(x_i, y_i) : r_i \in \mathbb{Z}, (x_i, y_i) \in M \times N\}.$ 

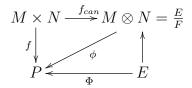
F = subgroup generated by

$$(x+y,z) - (x,z) - (y,z), (x,\tilde{y}+z) - (x,\tilde{y}) - (x,z), (rx,\tilde{y}) - (x,r\tilde{y}) : x,y \in M, \tilde{y}, z \in N, r \in R\}.$$

Remark there is a bilinear map  $f_{can}: M \times N \to M \otimes N$  defined by  $f_{can}(x,y) = x \oplus y = (x,y)$ . Note relations above given  $(x + y) \oplus z - x \oplus z - y \oplus z = 0$  etc...

Define an *R*-module structure on  $M \otimes N$  by  $r(x \otimes y) = rx \otimes y = x \otimes ry$ .

Theorem: Universal property of  $\otimes$ . Let  $f: M \times N \to P$  be bilinear map then there is a unique R module homomorphism,  $\phi$ , making the following diagram commutative:



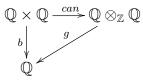
*Proof.* Enough to show  $\Phi: E \to P$  with  $\Phi(F) = 0$ . enough to find map of set  $\Phi'$  such that  $\Phi'$  such that induced map  $\Phi$  (induced by  $\Phi'$ ) vanishes on F.

Let  $\Phi'(x, y) = f(x, y)$ . Then

$$\Phi((x+y,z) - (x,z) - (y,z)) = \Phi'(x+y,z) - \Phi'(x,z) - \Phi'(y,z) = f(x+y,z) - f(x,z) - f(y,z).$$
  
d similarly for the other relations above.

And similarly for the other relations above.

- Remark:  $M \oplus N$  is generated as an R-module by  $x \oplus y$  with  $x \in M, y \in N$  (simple tensors). So any element of  $M \oplus N$  can be written (non-uniquely) as a sum  $\sum_{i=1}^{N} x_i \oplus y_i$ . So if  $x \oplus y$  for all x, y then  $M \oplus N = 0$ .
- Ex.  $\mathbb{Z}_7 \oplus_Z \mathbb{Q} = 0$  enough to show that  $x \oplus y = 0$  for all  $x = \hat{k} \in \mathbb{Z}_y$  and all  $y = \frac{a}{b} \in \mathbb{Q}$ . Can compute  $x \oplus \hat{k} \oplus \frac{a}{b} = \hat{k} \oplus \frac{7a}{7b} = \hat{k} \oplus 7\frac{a}{7b} = 7\hat{k} \oplus \frac{a}{7b} = 0 \oplus \frac{a}{7b} = 0.$
- Ex  $\mathbb{Q} \oplus_{\mathbb{Z}} \mathbb{Q} \cong \mathbb{Q}$ . Let  $f : \mathbb{Q} \to \mathbb{Q} \oplus_{\mathbb{Z}} \mathbb{Q}$  by  $f(x) = 1 \otimes x$ . Consider



with b(x, y) = xy so  $g(x \otimes y) = xy$ .

Note enough to show maps are inverse on simple tensors.  $f(g(x \otimes y)) = f(xy) = 1 \otimes xy = ?x \oplus y$ . Proof of ?. say  $x = \frac{a}{b}$ ,  $y = \frac{c}{d}$  so

$$\frac{a}{b} \otimes \frac{c}{d} = \frac{ac}{b} \otimes \frac{1}{d} =$$
$$\frac{ac}{b} \otimes \frac{b}{bd} = \frac{acb}{b} \otimes \frac{1}{bd} = ac \otimes \frac{1}{bd} = 1 \otimes \frac{ac}{bd} = 1 \otimes xy$$

So f and q are inverses and we have the desired isomorphism.

- Theorem (Properties of tensor products)
  - 1.  $(M \otimes_R N) \otimes P \cong M \otimes_R (N \otimes_R P)$
  - 2.  $M \otimes_R N \cong N \otimes_R M$
  - 3.  $M \otimes_R R \cong M$
  - 4.  $M \otimes (N \oplus P) = (M \otimes N) \oplus (M \otimes P)$

Proof. of 4. Look at the diagrams. Take

where  $b = (m, (m, p)) = (m \otimes m, m \otimes p)$  is bilinear. To defined  $\Psi : (M \otimes N) \oplus (M \otimes P) \to M \otimes (N \oplus P)$ . it is enough to find 2 maps  $\Psi_1 : M \otimes N \to Q$  and  $\Psi_2 : M \otimes P \to Q$ . Then  $\Psi(c, y) = \Psi_1(x) + \Psi_2(y)$  to get these use universality property of tensors  $b_1(m, n) = m \otimes (n, p)$ . Then check  $\phi \circ \Psi = 1$  and  $\Psi \circ \phi = 1$ .

# 4 Jan 29

### 4.1 more on tensor products

•  $M \otimes (\bigoplus_i N_i) \cong \bigoplus_i (M \otimes N_i)$ . If M, N free R modules with bases  $(e_i), (f_j)$  then  $M \otimes N$  is free with basis  $(e_i \otimes f_j)$ . This is becasue  $M \cong R^m, N \cong R^n$  then

$$M \otimes N \cong (\underbrace{R \oplus \dots \oplus R}_{m-times}) \otimes (\underbrace{R \oplus \dots \oplus R}_{n-times}) \cong (R \otimes_R R) \oplus \dots = \underbrace{R \oplus \dots \oplus R}_{mntimes} = R^{mn}.$$

So  $\underbrace{M \otimes \ldots \otimes M}_{ntimes}$  is free with basis  $e_{i_1} \otimes \ldots \otimes e_{i_k}$  so any element has the form  $suma_{i_1\dots i_n}e_{i_1} \otimes \ldots \otimes e_{i_n}$ .

In  $M \otimes M \dots \otimes M \otimes M^* \otimes \dots \otimes M^*$  with  $M^* = Hom_R(M, R)$ . then elements look like  $\sum a_{i_1 \dots i_n}^{j_1 \dots j_n} e_{i_1} \otimes \dots \otimes e_{i_m} \otimes e_{j_i}^* \otimes \dots \otimes e_{j_n}^*$  where  $e_j^*(e_i) = \delta_{ij}$ .

### 4.2 Exact sequences

- Def:  $M_1 \xrightarrow{f_1} M_2 \dots \xrightarrow{f_2} M_n$  is exact if  $Im(f_1) = ker(f_2)$ ,  $Im(f_2) = ker(f_3)$ ,  $\dots$   $Im(f_i) = ker(f_{i+1})$ . This implies that  $f_2 \circ f_1 = f_3 \circ f_2 = \dots = 0$ .
- Remark:  $0 \longrightarrow M \xrightarrow{\alpha} N \xrightarrow{\beta} P \longrightarrow 0$ . exact iff  $\alpha$  injective,  $\beta$  surjective. and  $ker(\beta) = im(\alpha)$ . An exact sequence with 5 sets is called short exact.
- Ex Let  $M \subset N$  be a submodule then  $0 \longrightarrow M \xrightarrow{\alpha} N \xrightarrow{\beta} N/M \longrightarrow 0$  with  $\alpha$  the inclusion map and  $\beta$  the canonical map is a short exact sequence.
- Def:  $0 \longrightarrow M' \longrightarrow M \longrightarrow M'' \longrightarrow 0$  is isomorphic to  $0 \longrightarrow N' \longrightarrow N \longrightarrow N'' \longrightarrow 0$  if there are isomorphisms  $\alpha, \beta, \gamma$  making the following commute

- Prop: every short exact seq isomorphic to the one in the example above.
- Def: A short exact sequence

$$0 \longrightarrow M' \xrightarrow{\alpha} M \xrightarrow{\beta} M'' \longrightarrow 0$$

is split iff the following equivalent conditions are satisfied.

- 1.  $\alpha$  has a left inverse  $\pi: M \to M'$  such that  $\pi \circ \alpha = 1_{M'}$
- 2.  $\beta$  has a right inverse  $\sigma: M'' \to M$  such that  $\beta \circ \sigma = 1_{M''}$ .
- 3. The exact sequence is isomorphic to

$$0 \longrightarrow M' \stackrel{i}{\longrightarrow} M' \oplus M'' \stackrel{p}{\longrightarrow} M'' \longrightarrow 0$$

where  $i: x \to (x, 0)$  and  $p: (x, y) \to (y)$ .

• Remark:  $M \cong M' \oplus M''$  is implied by (3) but is not enough for the sequence to be split.

*Proof.* That the 3 condition are equivalent.

 $-1 \Rightarrow 2$ . Let  $\sigma: M'' \to M$  since  $\beta$  is surjective given  $m'' \in M''$  can pick m with  $\beta(m) = m''$  then  $\pi(m) \in M'$ . Now apply  $\alpha$ , and  $\alpha(\pi(m)) \in M$ . want to define  $-\sigma(m'') = \alpha(\pi(m)) - m$ . Need to see if this is invariant for different choice of  $\tilde{m}$  such that  $\beta(\tilde{m}) = m''$ . Then

$$\alpha(\pi(\tilde{m})) - \tilde{m} - (\alpha(\pi(m)) - m) = \alpha(\pi(\tilde{m} - m)) - \tilde{m} + m \quad (*)$$

now  $\tilde{m} - m \in Ker\beta = Im\alpha$ . so there is m' such that  $\alpha(m') = \tilde{m} - m$ . Then  $(*) = \alpha(m') - \alpha(m') = 0$  so  $\sigma$  is well defined.

Now  $\beta(\sigma(m'')) = \beta(-\alpha(\pi(m)) + m) = \beta(m) = m''$ . So  $\sigma$  has the desired composition property.

- other implications are similar.

• Example

$$0 \longrightarrow \mathbb{Z} \longrightarrow \mathbb{Z} \longrightarrow \mathbb{Z}/2\mathbb{Z} \longrightarrow 0$$

with  $\alpha(x) = 2x$ ,  $\beta(y) = y + 2\mathbb{Z}$ . This is not split since there is no non-zero homomorphism from  $\mathbb{Z}/2/\mathbb{Z} \to \mathbb{Z}$ .

# 5 Jan 31

• Hw 10.4 3,4,6, 16.

### 5.1 Short 5 Lemma

• Given 2 short exact sequences and maps as below

Then

- 1. If  $\alpha, \gamma$  are 1-1 then so is  $\beta$
- 2. If  $\alpha$  and  $\gamma$  are onto so is  $\beta$ .
- 3. If  $\alpha$  and  $\gamma$  are bijections then so is  $\beta$

### *Proof.* 1. See book

- 2. let  $m' \in M'$  with  $\phi'(m') \in N'$  Since  $\gamma$  is onto there is  $n \in N$  with  $\gamma(n) = \phi'(m')$ . Since  $\phi$  is onto there is  $m \in M$  with  $\phi(m) = n$ . by commutativity of diagram we have  $\gamma(\phi(m)) = \phi'(\beta(m))$ so  $\gamma(n) = \phi'(m')$  then subtraction gives  $\phi'(m' - \beta(m)) = 0$ . so  $m' - \beta(m) \in Im(\psi')$  so there is  $l' \in L'$  with  $\psi'(l') = m' - \beta(m)$ . Now since  $\alpha$  is surjective there is  $l \in L$  with  $\alpha(l) = l'$ . From commutativity it then follows that  $\beta(\psi(l)) = \psi'(\alpha(l)) = \psi'(l') = m' - \beta(m)$  adding  $\beta(m)$  and using the homomorphism property of  $\beta$  then gives  $\beta(\psi(l) + m) = m'$  and so  $\beta$  is surjective.
- 3. follows from 1 and 2.

### 5.2 projective modules

• Let



Then  $f \in Hom_R(D, L)$ ,  $f' = \psi \circ f \in Hom_R(D, M)$ . But if



Then the map ? does not always exits. For example if  $L = \mathbb{Z}$ ,  $M = \mathbb{Z}_2$ ,  $D = \mathbb{Z}_2$ . if  $f = id_{\mathbb{Z}_2}$  then ? :  $\mathbb{Z}_2 \to \mathbb{Z}$  must be the 0 map and then  $\psi \circ$ ? must also be the zero map and therefore can not be the identity.

• Prop: Let  $\psi : L \to M$  be an *R*-module homomorphism. Then the map  $\psi' : hom_R(D, L) \to Hom_R(D, M)$  defined by  $\psi'(f) = f' = \psi \circ f$  is a homomorphism of abelian groups. If  $\psi$  is one to one then so is  $\psi'$ .

*Proof.* East to check the homomorphism property. Suppose that  $\psi'(f) = 0$  (the zero map). We want to show that f = 0. Compute  $\psi'(f) = f' = \psi \circ f$  so  $\psi \circ f(l) = 0$  for all  $l \in L$ . Since  $\psi$  is one to one we then have that f(l) = 0 for all l so f was actually the zero map.

• Prop: Let

$$0 \longrightarrow L \xrightarrow{\psi} M \xrightarrow{\phi} N$$

be a left exact sequence then

$$0 \longrightarrow Hom_R(D,L) \xrightarrow{\psi'} Hom_R(D,M) \xrightarrow{\phi'} Hom_R(D,N)$$

is also a left exact sequence.

Proof. We have proved exactness at  $Hom_R(D, L)$ . Need to show at  $Hom_R(D, M)$  i.e.  $im\psi' = ker\phi'$ . We will show that  $im\psi' \subseteq ker\phi'$ . If  $f \in Hom_R(D, M)$  such that  $\psi'(g) = f$ ,  $f = \psi \circ g$ . So  $\phi(f) = \phi(\psi \circ g) = \phi \circ \psi(g)$ . By (left) exactness we have that  $\phi \circ \psi = 0$  (f had to be in ker  $\phi'$ .)

Now assume that  $f \in \ker \phi'$  then  $\phi'(f) = 0$  but by def  $\phi \circ f = \phi'(f)$  so  $\phi \circ f = 0$ . So for all  $d \in D$ ,  $\phi(f(d)) = 0$  so (by exactness) there is  $l \in L$  such that  $\psi(l) = f(d)$  and since  $\psi$  is one to one l is unique. So there is a map  $F : D \to L$  such that F(d) = l and  $\psi(F(d)) = f(d)$ .  $F \in Hom_R(D, L)$  and  $\psi(F(d_1)) + \psi(rF(d_2)) = f(d_1) + rf(d_2) = f(d_1 + rd_2) = \psi(F(d_1 + rd_2))$  so we have  $F(d_1) + rF(d_2) = F(d_1 + rd_2)$  and it follows that  $im\psi' \subset \ker\phi'$ .

• returning to the example above consider

$$0 \longrightarrow \mathbb{Z} \xrightarrow{\alpha} \mathbb{Z} \xrightarrow{\pi} \mathbb{Z}_2 \longrightarrow 0$$

is full exact. So

$$0 \longrightarrow Hom_{\mathbb{Z}}(\mathbb{Z}_2, \mathbb{Z}) \longrightarrow Hom_{\mathbb{Z}}(\mathbb{Z}_2, \mathbb{Z}) \longrightarrow Hom_{\mathbb{Z}}(\mathbb{Z}_2, \mathbb{Z}_2)$$

has to be left exact, but it can not be fully exact since  $Hom_{\mathbb{Z}}(\mathbb{Z}_2,\mathbb{Z}_2))\cong\mathbb{Z}_2$ .

If we have a module such that short exact sequence of modules implies short exactness of the Hom sequence that modules is called projective.

• Def/Prop: Let P be an R-module. The following are equivalent.

1. If

$$0 \longrightarrow L \longrightarrow M \longrightarrow N \longrightarrow 0$$

is short exact then

$$0 \longrightarrow Hom_R(P, L) \longrightarrow Hom_R(P, M) \longrightarrow Hom_R(P, N) \longrightarrow 0$$

is short exact

2. if

$$M \xrightarrow{\exists f'} P \\ \downarrow f \\ \psi > N$$

with  $\psi \circ f' = f$ .

3. If

 $0 \longrightarrow L \longrightarrow M \longrightarrow P \longrightarrow 0$ 

is short exact then P is direct summand of M

4. P is a direct summand of a free module

if P satisfies any/all of the above equivalent propertied we call P projective.

# 6.1 Projective modules continued

• Proof of equivalent statements

Proof.  $-1 \iff 2$  clear.  $-2 \Rightarrow 3$ . Given

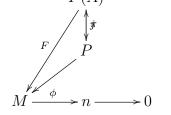
$$0 \longrightarrow L \xrightarrow{psi} M \xrightarrow{f \ id} P \longrightarrow 0$$
$$0 \longrightarrow L \longrightarrow M \longrightarrow P \longrightarrow 0$$

with  $\phi \circ f = id$ . Then

is short exact so 
$$P$$
 is a direct summand of  $M$ .

 $-3 \Rightarrow 4$ . If A is a genereating set of P.

 $-4 \Rightarrow 2.$ 



*j* the inclusion of *P* into F(a) as a direct summand. id  $a \in A$  then  $f \circ \pi(a) = F(a)$  and bu universal property *F* is a homomorphism and  $\phi \circ F \circ j = f$ .

Any free R module is projective.  $R^n$  is a free R module on n-generators is projective.

• Ex if  $R = \mathbb{Z}_6$  then by fundamental thm of finitely generated abelian groups.  $\mathbb{Z}_6 \cong \mathbb{Z}_2 \times \mathbb{Z}_3$ . Now  $\mathbb{Z}_2$  and  $\mathbb{Z}_3$  are projective  $\mathbb{Z}$  modules so

$$\oplus_{i\in I} \mathbb{Z}_2 \oplus_{j\in J} \mathbb{Z}_3 \oplus_{k\in K} \mathbb{Z}_6$$

is still a projective  $\mathbb{Z}_6$  modules. But  $\mathbb{Z}_8$ ,  $\mathbb{Z}_4$  are not projective  $\mathbb{Z}_6$  modules.

• What quotients of  $\mathbb{Z}_{100}$  are projective.

$$\mathbb{Z}_{100} \to M \to 0.$$

Would need  $M = \mathbb{Z}_4, \mathbb{Z}_{25}$ , or  $\mathbb{Z}_{100}$  since these are only subgroups for which  $\mathbb{Z}_{100}$  can be written as a direct summand.

- If  $R = \mathbb{Z}$  the only direct summands of free  $\mathbb{Z}$  modules will be free  $\mathbb{Z}$  modules. (i.e  $\mathbb{Z}^n$  or  $\mathbb{Z}^A$  for some infinite set A.
- Only projective R-modules over a R = a field are free.
- Projective submodules of  $\mathbb{Z}_{100}$  which are ideals?  $25\mathbb{Z}_{100} \cong \mathbb{Z}_4, 4\mathbb{Z}_{100} \cong \mathbb{Z}_{25}, \mathbb{Z}_{100}, 0.$

•



Given  $\phi, f \in F$  always exists, but given  $\phi, F \in f$  does not necessarily exists. (Note  $f \in Hom_R(N, D)$ ,  $F \in Hom_R(M, D)$  so induced map  $\phi' : Hom_R(N, D) \to Hom_R(M, D)$ 

For example in the diagram



f can not be well defined.

• Prop: If

 $M \xrightarrow{\phi} N \longrightarrow 0$ 

with  $\phi$  surjective then

$$0 \longrightarrow Hom_R(N, D) \xrightarrow{\phi'} Hom_R(N, D)$$

with  $\phi'(f) = f \circ \phi$ .

*Proof.* Let  $f \in Hom_R(N, D)$  with  $\phi'(f) = 0$  then  $f \circ \phi = 0$  so  $f \circ \phi(m) = 0$  for all  $m \in M$ . Since  $\phi$  onto we have f(n) = 0 for all  $n \in N$  so f is the zero map i.e  $\phi'$  is injective.

• Prop: If

$$L \xrightarrow{\psi} M \xrightarrow{\phi} N \longrightarrow 0$$

is left exact then

$$0 \longrightarrow Hom_R(N, D) \xrightarrow{\phi'} Hom_R(N, D) \xrightarrow{\psi'} Hom_R(L, D)$$

is right exact.

Proof. have already showed that  $\phi'$  is injective. Need to show exactness at  $Hom_R(M, D)$  i.e.  $im\phi' = ker\psi'$ . Take  $f \in im\phi'$  then there is  $g \in HOm_R(N, D)$  with  $\phi'(a) = f$  so  $\psi'(f) = \psi'(g \circ \phi) = g \circ \phi \circ \psi = g \circ 0 = 0$ . so  $f \in ker\psi'$ . so  $im\phi' \subset ker\psi'$ .

Now take  $f \in Ker\psi'$  then  $\psi'(f) = 0$ . then  $f \circ \psi(l) = 0$  for all  $l \in L$ . so f(m) = 0 foall  $m \in im\psi = ker\phi$ . Since  $\phi$  is onto for all  $n \in N$  there is  $m \in M$  with  $\phi(m) = n$ . so define  $g \in Hom_R(N, D)$  by  $g(n) = g \circ \phi(m) = f(m)$ . Then  $\phi'(g) = g \circ \phi = f$ . so  $f \in im\phi'$ . so  $ker\psi' \subset im\phi'$ .

### 7.1 More on projective/injective modules

• Prop. If

$$L \xrightarrow{\psi} M \xrightarrow{\phi} N \longrightarrow 0$$

is left exact iff If

$$0 \longrightarrow Hom_R(N,D)' \xrightarrow{\phi} Hom_R(M,D) \xrightarrow{\psi'} Hom_R(L,D)$$

is right exact for all R modules D.

*Proof.*  $\Rightarrow$  was the previous proposition.

 $\Leftarrow$  First we will show that  $\phi'$  one to one  $\Rightarrow \phi$  onto. Let  $D = N/\phi(M)$  and consider  $\pi_1 : N \to N/\phi(M)$ then  $\pi_1(\phi(M)) = 0$ . Moreover  $\pi_1 \circ \phi = \phi'(\pi_1) = 0$  map, so  $N - \phi(M)$  so  $\phi$  is onto.

First show that  $\phi \circ \psi - 0$  (i.e  $im\psi \subset ker\phi$ ).  $id_N \in Hom_R(N, N)$  so  $\phi'(id_n) = id_N \circ \phi \in Hom_R(M, N)$ then  $\phi'(id_N) \in ker\psi'$  and it follows that  $\psi' \circ \phi'(id_N) = 0 \Rightarrow id_N \circ \phi \circ \psi = \phi \circ \psi = 0$ .

Now see  $ker\phi \subset im\psi$ . Let  $D = M/\psi(L)$  and  $\pi_2 : M \to M/\psi(L)$ . then  $\psi'(\pi_2) = \pi_2 \circ \psi$  so  $\pi_2 \circ psi(L) = 0$ so  $\pi_2 \in im\phi'$  so there is f such that  $\phi'(f) = \pi_2$  and if  $m \in ker(\phi)$  then  $\pi_2(m) = f \circ \phi(m) = 0 \Rightarrow m \in \psi(L)$ and we have that  $ker(\phi) \subset im\psi$ .

• Prop/Def:

Let Q be an R-module then TFAE

1. If

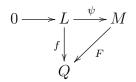
$$0 \longrightarrow L \xrightarrow{\psi} M \xrightarrow{\phi} N \longrightarrow 0$$

is short exact  $\Rightarrow$ 

$$0 \longrightarrow Hom_R(N,D)' \xrightarrow{\phi} Hom_R(M,D) \xrightarrow{\psi'} Hom_R(L,D) \longrightarrow 0$$

is short exact.

2.



 $\exists \ F \circ \psi = f.$ 

3.

$$0 \longrightarrow Q \xrightarrow{\psi} M \xrightarrow{\phi} N \longrightarrow 0$$

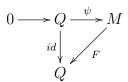
then Q is a direct summand of M

If any of these conditions hold then we say that Q is injective.

*Proof.* 1. mostly follows from previous propositions.

2.  $2 \Rightarrow 3$ .

3.



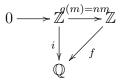
 $F \circ \psi = id$  gives the splitting if i.e. Q is a direct summand.

4.  $3 \Rightarrow 2$ . If Q is a direct summand of M then given

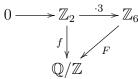
$$\begin{array}{ccc} 0 & \longrightarrow L & \stackrel{f}{\longrightarrow} M \\ & g \\ & Q \end{array}$$

(will finish next time)

- Def: An abelian group G is divisible if for all  $n \in \mathbb{Z}$ , nG = G. i.e for all  $g \in G$  there is  $g' \in G$ , with ng' = g.
- Ex: Z is not divisible, but Q is.
  Given any short exact seq of Z modules.



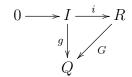
f always exists  $f(m) = \frac{m}{n}$  since  $f \circ g(m) = m = i(m)$ .  $\mathbb{Z}_n$  is not divisible for any positive integer  $n \ge 2$ .  $\mathbb{Q}/\mathbb{Z}$  is injective. for example



with  $f(1) = \frac{1}{2} + \mathbb{Z}, F(n) = \frac{n}{6}.$ 

• Baer's Criterion: An R module Q is injective iff for every  $g: I \to Q$  where I is a left ideal extends to a map  $G: R \to Q$ .

*Proof.*  $\Rightarrow$  is a consequence of def of injective:



where  $G|_I = g$  $\Leftarrow$  use Zorn's lemma. Consider

$$0 \longrightarrow L \stackrel{f}{\longrightarrow} M$$

. WLOG assume that  $L \subset M$  i.e.  $f(L) \cong L$ .

let  $S = \{(f', L') : f' : L' \to Q \text{ with } L \subset L' \subset M, f'|_L = f\}$ . Now  $S \neq \emptyset$  since  $(f, L) \in S$ . then  $(f', L') \leq (f'', L'')$  if  $L' \leq L''$  and  $f''|_{L'} = f'$  given chain

$$(f_1, L_1) \subset (f_2, L_2) \subset \dots$$

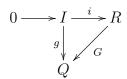
Let  $\tilde{L} = \bigcup_{i=1} L_i$  and define  $\tilde{f} : \tilde{L} \to Q$  by  $\tilde{f}(l) = f_i(l)$  where  $l \in L_i$ .

Not hard to show that  $(\tilde{f}, \tilde{L}) \subset S$ . Then Zorn's Lemma says that S has maximal elements. Suppose that (g, M') is a maximal element will show that M' = M (next time).

### 8.1 Finish Baer's theorem

• Baer's Criterion: An R module Q is injective iff for every  $g: I \to Q$  where I is a left ideal extends to a map  $G: R \to Q$ .

*Proof.*  $\Rightarrow$  is a consequence of def of injective:



where  $G|_I = g$ 

 $\Leftarrow$ 

Let  $S = \{(f', L') : L \subset L' \subset M', f'|_L = f\}$  then S is non empty since  $(f, L) \in S$  and S is partially ordered since  $(f', L') \leq (f'', L'')$  iff  $L' \subset L''$  and  $f''|_{L'} = f'$ . Now let  $K = \bigcup_{i \geq 1} L_i$  and  $h : K \to Q$  defined by  $h(k) = f_i(k)$ .  $C = \{L_i, f_i | i \in \mathbb{N}\}$  with  $(L_i, f_i) \leq (L_j, f_j)$  which holds if  $i \leq j$ . If  $(h, k) \in S$  need to check that h is a homomorphism (DIY). Since there is an upper bound by Zorn's Lemma, S has maximal elements.

 $0 \longrightarrow L \xrightarrow{\psi} M$ 

Since (K, h) is a maximal element we need to show that K = M. Suppose not then there is  $m \in M \setminus K$ . then K + Rm is a submodule of M. Define  $I = \{r \in R : rm \in K\}$ , then I is an abelian group. now take  $r' \in R$  then (r'r)m = r'(rm), but  $rm \in K$  so r'K in K so I is a left ideal. Now if  $g: I \to Q$  then there is  $G: R \to Q$  extending g.

Then let  $\tilde{h}: K + Rm \to Q$  defined by  $\tilde{h}(k + rm) = h(k) + G(r)$ . This makes sense since if  $rm \in K$  then  $r \in I$  so can use  $g: I \to Q$ . Now if h(k + rm) = k + rm = k' + r'm' then k - k' = r'm' - rm and h(k - k') = h(k) - h(k') = h(r'm' - rm). so if G is the extension of g then  $h(r'm' - rm) = G(r' - r') = G(r') - G(r) \Rightarrow h(k') + G(r') = h(k) + G(r)$  so  $\tilde{h}$  is well defined. So  $\tilde{h}: K + Rm \to Q$  extends h which is a contradiction.

So K = M and  $\tilde{h} : M \to Q$  is an extension.

Theorem: If R is a PID then Q is injective iff for all r ≠ 0 in R, rQ = Q.
 Note: if R = Z then this says Q is divisible.

*Proof.* Since R is a PID evey ideal of R is of the form I = (r). Then  $f : (r) \to Q$ . by f(r) = q. Q is injective iff there is  $F : R \to Q$  with  $F|_{(r)} = f$ . Suppose that F(1) = q' then q = f(r) = F(r) = rF(1) = rq' so forall  $q \in Q$  there is q' such that  $q = rq' \iff Q = rQ$ .

• Thm: Every Z-module is a submodule of an injective Z-module.

*Proof.* Let M be a  $\mathbb{Z}$  module there is some subset  $A \subset M$  such that  $M = \mathbb{Z}A$ . Consider  $\mathcal{F} = F(a)$  then there is  $\pi : \mathcal{F} \to M$  by  $\pi(a) = a$  by the universal property. Let  $K = ker\pi$  then

 $0 \longrightarrow K \longrightarrow \mathcal{F} \longrightarrow M \longrightarrow 0$ 

so  $M \cong \mathcal{F}/K$  so there is a free  $\mathbb{Q}$  module on  $A \mathcal{Q} \supset \mathcal{F} \supset K$ . Moreover  $\mathcal{Q}/K \supset \mathcal{F}/K$ . since  $\mathcal{Q}$  is a divisible  $\mathbb{Z}$  module and since  $\mathcal{Q}$  is injective,  $\mathcal{Q}/K$  is also divisible and injective since if nq' = q then (n+K)(q'+K) = nq' + K = q + L.

- Show M an R-module is contained in an injective R-module if R has unity.
  - 1. Step 1: Notice  $Hom_R(R, M) \subset Hom_{\mathbb{Z}}(R, M)$  (Since R contains a copy of Z).
  - 2. Step 2:  $Hom_{\mathbb{Z}}(R, M)$  can be made into an *R*-module via  $\phi \in Hom_{\mathbb{Z}}(R, M)$  defined by  $(r\phi) : R \to M$  by  $(r\phi)(r') := \phi(r'r)$ . This defines scalar multiplication by r Need to show  $(r\tilde{r})\phi = r(\tilde{r}\phi)$  so compute

$$((r\tilde{r})\phi)(r') = \phi(r'(r\tilde{r})) = \phi((r'r)\tilde{r}) = \tilde{r}\phi(r'r) = (r(\tilde{r}\phi))(r')$$

3. Step 3: Prop: if R is a ring with unity and  $0 \to L \to M$  is exact sequence of R-modules then  $f: L \to D$  extends to  $F: M \to D$ , and  $f': L \to Hom_{\mathbb{Z}}(R, D)$  will extend to  $F': M \to Hom_{\mathbb{Z}}(R, D)$ .

*Proof.* Given  $f': L \to Hom_{\mathbb{Z}}(R, D)$  define  $f(l) = f'(l)(1_R)$ . Since f extends to  $F: M \to D$  can define F'(m)(l) = F(m) and this given the extension.

4. Cor: Q is an injective  $\mathbb{Z}$ -module iff  $Hom_Z(R, Q)$  is an injective R-module.

Proof.  $M \cong Hom_R(R, M) \subset Hom_{\mathbb{Z}}(R, M) \subset Hom_{\mathbb{Z}}(R, Q)$ 

### 9.1 Flat modules, sequences of Tensors

• Consider  $0 \to \mathbb{Z} \to \mathbb{Q}$  and  $\mathbb{Z} \otimes_{\mathbb{Z}} \mathbb{Z}_2 \cong \mathbb{Z}_2, \mathbb{Q} \otimes_{\mathbb{Z}} \mathbb{Z}_2 \cong 0$ .

Since  $Z_2 \neq 0, 1 \otimes 1 : \mathbb{Z} \otimes_{\mathbb{Z}} \mathbb{Z}_2 \to \mathbb{Q} \otimes_{\mathbb{Z}} \mathbb{Z}_2$  is the trivial map which is not an injection. So tensor products don't always preserve injections.

• Prop:  $L \xrightarrow{\psi} M \xrightarrow{\phi} N \longrightarrow 0$  is left exact iff for all R-modules D we have

$$L \otimes_R D \xrightarrow{\psi \otimes 1} M \otimes_R D \xrightarrow{\phi \otimes 1} N \otimes_R D \longrightarrow 0$$

is left exact.

*Proof.*  $\leftarrow$  Take  $D = R \ K \otimes R \cong K$  for al *R*-modiles *K* then

$$\begin{array}{cccc} L \otimes_R D \xrightarrow{\psi \otimes 1} M \otimes_R D \xrightarrow{\phi \otimes 1} N \otimes_R D \longrightarrow 0 \\ \cong & & & \cong & & & \\ L \xrightarrow{\psi} M \xrightarrow{\phi} N \xrightarrow{\phi} 0 \end{array}$$

⇒. First we show if  $\phi$  is onto so is  $\phi \otimes 1$ . Take  $n \otimes d \in N \otimes D$ . Since  $\phi$  is onto there is  $m \in M$  with  $\phi(m) = n$ . so  $n \otimes d = \phi(m) \otimes d = \phi \otimes 1 (m \otimes d)$  so  $\phi \otimes 1$  is onto.

Now we must see we are exact at  $M \otimes_R D$ .  $\sum_{i \in I} \psi(l_i) \otimes d_o = im(\psi \otimes 1)$ . Then  $\phi \otimes 1(\sum_{i \in I} \psi(l_i) \otimes d_i) = \sum_{i \in I} \phi \circ \psi(l_i) \otimes d_i = \sum_{i \in I} 0 \otimes d_i = 0$  so  $im(\psi \otimes) \subset ker(\phi \otimes 1)$ . To show equality we will consider a map.  $\phi \otimes 1$  decomposes as

$$M \otimes_R D \longrightarrow (M \otimes D) / Im(\psi \otimes 1) \xrightarrow{\pi} (M \otimes D) / Ker(\phi \otimes \widetilde{1}) \longrightarrow N \otimes D$$

need to show  $\pi$  is an isomorphism so consider  $\pi' : N \otimes_R D \to (M \otimes_R D)/im(\psi \otimes 1)$ . defined by  $\pi'(n, d) = m \otimes d$  where  $\phi(m) = n$ . To see this is well defined take  $m' \otimes d$ ,  $m' = m + \psi(l)$  then  $\phi(m') = \phi(m + \psi(l)) = \phi(m) + \phi \circ \psi(l) = \phi(m) = n$ , so  $\pi'$  is well defined. Then by universal property there is  $\tilde{\pi} : N \otimes_R D \to (M \otimes_R D)/im(\psi \otimes 1)$ . Easy to see  $\pi'$  is *R*-balanced we have that  $\tilde{\pi}$  is a (right) *R*-module homomorphism. Then  $\tilde{\pi} \circ \pi(m \otimes d) = \tilde{\pi}(n \otimes d) = m \otimes d$  and  $\pi \circ \tilde{\pi}(n \otimes d) = \pi(m \otimes d) = \phi(m) \otimes d = n \otimes d$ . So  $\pi$  and  $\tilde{\pi}$  are inverses so  $\pi$  is an isomorphism. So  $Ker(\phi \otimes 1) = im(\psi \otimes 1)$ .

- Def/Prop: Let A be a left R-module TFAE
  - 1. If  $0 \longrightarrow L \xrightarrow{\psi} M \xrightarrow{\phi} N \longrightarrow 0$  is short exact then  $L \otimes_R a \xrightarrow{\psi \otimes 1} M \otimes_R A \xrightarrow{\phi \otimes 1} N \otimes_R A \longrightarrow 0$  is exact
  - 2. If  $0 \longrightarrow L \xrightarrow{\psi} M$  is short exact then  $L \otimes_R a \xrightarrow{\psi \otimes 1} M \otimes_R A$  is exact.
- If 1 or 2 hold then A is flat. (if tensor sequence implies module sequence exact then A is called faithfully flat).
- Prop: (Hom tensor adjointness). Let R and S be rings with A a right R-module and B an (R, S) bimodule and C a right S-module. Then  $Hom_S(A \otimes_R B, C) \cong Hom_R(a, Hom_S(B, C))$  as abelian groups.

Proof. take  $\phi \in Hom_s(A \otimes_R B, C)$  for a fixed a define  $\Phi(a) \in Hom_S(B, C)$  by  $\Phi(a)(b) = \phi(a \otimes b)$ . We can do this for each  $a \in A$  so we get a homomorphism  $\Phi : a \to \Phi(a)$ . Now take  $f : Hom_S(A \otimes_R B, C) \to Hom_R(A, Hom_S(B, C))$  defined by  $f(\phi) = \Phi$ .

Now take  $\Phi \in Hom_R(A, Hom_S(B, C))$ 

$$\begin{array}{ccc} A \times B & (a,b) \\ \downarrow & \downarrow \\ c & \Phi(a)(b) \end{array}$$

Not hard to show that such a map is *R*-balanced. This map induces a homomorphism  $g: A \otimes_R B \to C$ by  $\phi(a \otimes b) = \Phi(a)(b)$ . Then  $g: Hom_R(A, Hom_S(B, C)) \to Hom_s(A \otimes_R B, C)$  then  $g(\phi) = \Phi$  then  $f \circ g(\phi) = f(\Phi) = \phi$  and  $g \circ f(\phi) = g(\phi) = \Phi$  so f and g are inverses giving that f an isomorphism.

### 9.2 dual vector spaces

- *F* a field and *V* is an *F*-vector space. The dual space of *V* is  $V^* = Hom_F(V, F)$ . If *V* is finite dimensional with basis  $\{v_1, ..., v_n\} = B$  and defined  $v_i^* \in V^*$  by  $v_i^*(v_j) = \delta_{ij}$  then  $v_i^*(\sum a_j v_j) = a_i$  so  $v_i^*$  is a basis of  $V^*$ . (note in the infinite dimensional case the  $v_i^*$  may not span the dual space)
- $V^{**} = Hom_F(V^*, F) = Hom_F(Hom_F(V, F), F).$

### 10.1 Vector spaces

• Prop: let V be an F-vector space. Then there is an injective homomorphism (linear map)  $\theta: V \to V^{**}$  defined by  $\theta(v) = E_v$  where  $E_v: V^* \to F$ ,  $E_v(f) = f(v)$ .

Note  $E_v \in V^{**}$  then  $E_v(f+g) = (f+g)(v) = f(v) + g(v) = E_v(f) + E_v(g)$  and for  $r \in F$   $E_v(rf) = (rf(v) = r(f(v)) = rE_v(f)$ .

Proof. We can start with v and extend to a basis of V which has  $v \in B$ . then  $V^* : V \to F$  by  $V^*(v) = 1$ and  $V^*(w) = 0$  for all  $w \neq v$ ,  $w \in B$ . Then  $E_v(v^*) = V^*(v) = 1$ .  $\theta(v)$  is not the zero map since  $\theta(v)V^* = E_v(V^*) = 1 \neq 0$ . Since this can be done for all nonzero v we have  $Ker\theta = 0 \Rightarrow \theta$  is 1-1. Then  $\theta(v + \alpha w) = E_{v+\alpha w}$ . Now just need to show  $E_{v+\alpha w} = E_v + \alpha E_w$ . so compute  $E_{v+\alpha w}(f) = f(v + \alpha w) =$  $f(v) + \alpha f(w) = E_v(f) + \alpha E_w(f)$ , this holds for every  $f \in V^*$  so we have  $E_{v+\alpha w} = E_v + \alpha E_w$ .

### 10.2 graded rings

• We say a ring R is graded if  $R = \bigoplus_{i=0}^{\infty}$  where  $R_i$  are  $R_0$ -modules and  $R_i R_j \subset R_{i+j}$ .

 $R_i$  is the set of all homogeneous elements of R of degree u.

- Ex: R = k[x] is graded ring with deg(x) = 1.  $R_0 = k$ ,  $R_i = kx^i$  then  $kx^i \cdot kx^j = kx^{i+j}$  so  $R_iR_j = R_{i+j}$ .
- can put a different grading on R = k[x] if deg(x) = 2 then  $R_0 = k$ ,  $R_1 = 0$  and  $R_2 = kx$ ,  $R_3 = 0$ . and  $R_{2n+1} = 0$  and  $R_{2n} = kx^n$

Non-homogeneous element of k[x] with standard grading. i.e.  $x + x^2$  non-homogeneous wheras  $x, x^2$  are homogeneous.

Take k[x, y] with  $R_0 = k$ ,  $R_1 = 0$ ,  $R_2 = kx$ ,  $R_3 = ky$ ,  $R_4 = kx^2$ ,  $R_5 = kxy$ ,  $R_6 = kx^3ky^2$ .

• An ideal in a graded ring is graded is  $I = \bigoplus_{i=0}^{\infty} I \cap R_i$  of  $I = \bigoplus_{i=0}^{\infty} I_i$  with each  $I_i$  in the ith homogeneous piece and  $R_i I_j \subset I_{i+j}$ .

if R graded and I a graded R-ideal then R/I is a graded ring with  $R/I = \bigoplus_{i=0}^{\infty} R_i/I_i$ .

- Suppose R is a commutative ring with unity, M is an R-module then left and right actions of R on M agree.
- define  $T^k(M) = M \otimes_R M \otimes \ldots \otimes M$ . Then the simple tensors in  $T^k(M)$  are of the form  $m_1 \otimes m_2 \otimes \ldots \otimes m_k$

Note

$$r(m_1 \otimes m_2 \otimes \dots \otimes m_k) =$$
  

$$rm_1 \otimes m_2 \otimes \dots \otimes m_k =$$
  

$$m_1 \otimes rm_2 \otimes \dots \otimes m_k = \dots$$
  

$$= m_1 \otimes m_2 \otimes \dots \otimes rm_k$$

•  $f: M \times ... \times M_n \to N$  with  $M_i, N$  *R*-modules . then f is multilinear if

 $f(m_1, ..., m_i + m'_i, ..., m_n) = f(m_1, ..., m_i, ..., m_n) + f(m_1, ..., m'_i, ..., m_n)$ 

and

$$f(m_1, ..., rm_i, ..., m_n) = rf(m_1, ..., m_i, ..., m_n)$$

for all i.

• Define  $T(M) = \bigoplus_{i=0}^{\infty} T^k(M)$  called the tensor algebra of M. defined multiplication for  $m_1 \otimes m_2 \otimes \ldots \otimes m_i \in T^i(M)$  and  $m'_1 \otimes m'_2 \otimes \ldots \otimes m'_j \in T^j(M)$  by

 $(m_1 \otimes m_2 \otimes \ldots \otimes m_i)(m'_1 \otimes m'_2 \otimes \ldots \otimes m'_j) := m_1 \otimes m_2 \otimes \ldots \otimes m_i \otimes m'_1 \otimes m'_2 \otimes \ldots \otimes m'_i \in T^{i+j}(M)$ 

. This is a well defined multiplication justified through the universal property for tensors.

- universal property for tensor algebras: Let A be an R-algebra and  $\phi : M \to A$  an R-module homomorphism, then there is a unique  $\Phi : T(M) \to A$  such that  $\phi_M = \Phi$ . Proof by universal property of tensors.
- Ex: Let  $M = \mathbb{Z}_n$  a  $\mathbb{Z}$  module. Then since  $\mathbb{Z}_n \otimes_n \mathbb{Z}_n \cong Z_n$  so  $T^k(M) \cong \mathbb{Z}_n$  for all  $k \ge 1$   $(T^0(M) = \mathbb{Z})$  and  $T(M) \cong \mathbb{Z} \oplus Z_n \oplus \mathbb{Z}_n \oplus \mathbb{Z}_n \oplus \dots \cong \mathbb{Z}[x]/(nx)$
- Ex: Let  $M = \mathbb{Q}$  then  $T^0(M) = \mathbb{Z}$  and  $T^k(M) = \mathbb{Q}$  for  $k \ge 1$ . So  $T(M) \cong \mathbb{Z} \oplus \mathbb{Q} \oplus \mathbb{Q} \oplus ... \cong \mathbb{Z} + x \mathbb{Q}[x]$ .
- Ex: Take  $M = \mathbb{Q}/\mathbb{Z}$  then  $T^0(M) = \mathbb{Z}$ ,  $T^1(M) = \mathbb{Q}/\mathbb{Z}$  and  $T^k = 0$  for  $k \ge 2$ . so  $T(\mathbb{Q}/\mathbb{Z}) = \mathbb{Z} \oplus \mathbb{Q}/\mathbb{Z}$ .
- Def:  $\phi: M \times ... \times M \to N$  is symmetric multilinear if  $\phi(m_1, ..., m_k) = \phi(\sigma(m_1), ..., \sigma(m_2))$  for all  $\sigma \in S_k$  (the symmetric group).

#### Symmetric and Tensor Algebras 11.1

• Consider M = k[x, y] as a k-module/k-Vector space. Then

$$T^{0}(M) = k,$$

$$T^{1}(M) = k[x, y],$$

$$T^{2}(M) = \langle f_{(x,y)} \otimes g_{(x,y)} \rangle = k \langle x^{i} \otimes y^{j}, y^{j} \otimes x^{i}, x^{i} \otimes x^{j}, y^{i} \otimes x^{j} \rangle$$
note since we're tensoring over k we have  $x^{i} \otimes x^{j} \neq x^{i-1} \otimes x^{j+1}, x^{i} \otimes y^{j} \neq y^{j} \otimes x^{i}$ , etc...

• consider an ideal C(M) of T(M) where C(M) is the ideal generated by  $m \otimes n - n \otimes m$ . Then we can  $C^{0}(M) = 0, C^{1}(M) = 0,$ 

 $C^2(M) = < m \otimes n - n \otimes m : m, n \in M > .$  $C^{3}(M) = \langle k \otimes m \otimes n - k \otimes n \otimes m, m \otimes n \otimes k - n \otimes m \otimes k : k, m, n \in M \rangle$ 

The symmetric algebra of M is  $T(M)/C(M) = \bigoplus_{k>0} T^k(M)/C^k(M) = S(M)$ . we denote by  $S^k(M) =$  $T^k(M)/C^k(M).$ 

We have  $T^{0}(M) = S^{0}(M)$  and  $T^{1}(M) = S^{1}(M)$  but they can be different at degree 2 and higher.

• Let k be a field and M = k. So 1 is my basis for k as a vector space. then  $T(k) = \bigoplus_{i=0}^{\infty} k \cong k[x]$  which is commutative. Basis is  $1_0, 1_1, 1_1 \otimes 1_1, ..., 1_1 \otimes ... \otimes 1_1, ...$  with  $1_1 \to x$  and  $\underbrace{1_1 \otimes ... \otimes 1_1}_{k-times} \to x^k$  so T(k) = S(k). But if we move to a 2-D vector space V we have  $C^2(V) = \langle v_1 \otimes v_2 - v_2 \otimes v_1 : v_1, v_2 \in v > \neq 0$ .

$$S^{k}(M) = \underbrace{M \otimes \ldots \otimes M}_{k-times} / < m_{1} \otimes \ldots \otimes m_{k} - m_{\sigma(1)} \otimes \ldots \otimes m_{\sigma(m)} : \sigma \in S_{m} >$$

• Universal property for symmetric multilinear maps: Suppose  $\phi(M \times ... \times M) \to N$  is symmetric multiplinear. Then there is a unique  $\Phi: S^k(M) \to N$  such that  $\phi = \Phi \circ i$  where  $i: \underbrace{M \times \ldots \times M}_{k-times} \to S^k(M)$  with

$$i(m_1, \dots, m_k) = m_1 \otimes \dots \otimes m_k + C^k(M).$$

• Universal property for *R*-algebras.

If  $\phi: M \to A$  with M an R-module and A an R-algebra then there is a unique  $\Phi: S(M) \to A$  such that  $\Phi|_M = \phi.$ 

 $\phi^k: M \times ... \times M \to A$  defined by  $\phi^k(m_1, ..., m_n) = \phi(m_1) \cdots \phi(m_k)$ . Since A is commutative  $\phi^k$  is symmetric and also not hard to show that it is multilinear.

- Alternating Maps:  $\phi : \underbrace{M \times \ldots \times M}_{k-times} \to N$  is an alternating multilinear map if  $\phi$  is multilinear and  $\phi(m_1, ..., m_k) = 0$  if  $m_i = m_i$  for some  $i \neq j$ .
- Exterior algebra: Let A(M) be the ideal generated by  $m \otimes m$  This is graded with  $A^0(M) = A^1(M) = 0$ and  $A^2(M) = \langle m \otimes m : minM \rangle$ ,  $A^3 = \langle n \otimes m \otimes n, m \otimes m \otimes n, m \otimes n \otimes m : m, n \in M \rangle$ . The the exterior algebra of M is  $T(M)/A(M) =: \wedge M$ .

 $\wedge M$  can also be thought of as  $\oplus_{i=0}^{\infty} T^i(M) / A^i(M) = \oplus_{i=0}^{\infty} \wedge^i(M)$ . In this setting we have  $(m \otimes n) + A(N) = :$  $m \wedge n$ .

• ex we have  $m \wedge m$  for all m so,  $(m+n) \wedge (m+n) = 0$  but by bilinearity we have

$$(m+n) \wedge (m+n) = m \wedge (m+n) + n \wedge (m+n) =$$
$$m \wedge m + m \wedge n + n \wedge m + n \wedge n \Rightarrow$$
$$m \wedge n + n \wedge m = 0 \Rightarrow m \wedge n = -n \wedge m$$

sometimes it is useful to think of

$$\wedge^k(M) = T^k(M) / \langle m_1 \otimes \dots \otimes m_n : \exists i, j s.t. m_i = m_j \rangle$$

• Universal Prop for alternating multilinear maps: Given  $\phi : M \times ... \times M \to N$  which is alternating multilinear then there is a unique  $\Phi : \wedge^k(M) \to N$  with  $\phi = \Phi \circ i$ . where  $i : M \times ... \times M \to \wedge^k(M)$  with  $i(m_1, ..., m_k) = m_1 \wedge ... \wedge m_k$ 

### 12.1 More on exterior, alternating and symmetric algebra

• Suppose that V is an n-dimensional F-vector space (M a rank n free R-module), Then

$$\dim \wedge^k V = \binom{n}{k}, \quad (rank \wedge^k M = \binom{n}{k}).$$

If  $e_1, ..., e_n$  is a basis for V then  $\{e_{i_1} \land ... \land e_{i_k} | i_1 < ... < i_k\}$  is a basis for  $\land^k V$ . For the symmetric algebra  $S^k(M)$  will have  $n^2 - \binom{n}{k}$  basis elements.

- Suppose that V is 2-dimensional with basis  $e_1$  and  $e_2$ . Bases for  $T^2(V), S^2(V), \wedge^2(V)$ .  $T^2(V)$  basis is  $e_1 \otimes e_1, e_1 \otimes e_2, e_2 \otimes e_1, e_2 \otimes e_2$ .  $S^2(V)$  basis is  $e_1 \otimes e_1, e_2 \otimes e_2$ .  $e_1 \otimes e_2$ .  $\wedge^2(V)$  basis is  $e_1 \wedge e_2$ .
- $R = \mathbb{Z}[x, y], I = (x, y)$  is not a free *R*-module. Consider  $\phi : I \times I \to \mathbb{Z}$  by

$$\phi(a(x,y)x + b(x,y)y, c(x,y)x + d(x,y)y) = a_{0,0}d_{0,0} - b_{0,0}c_{0,0}$$

where subscript 0,0 denotes constant terms (in general subscript (n, m) indicates coef of  $x^n y^m$ . Lots of writing but not hard to show that this is bilinear. Also easy to check that it is alternating i.e.  $\phi(a(x, y)x + b(x, y)y, a(x, y)x + b(x, y)y) = 0$ .

So there is a unique  $\Phi : \wedge^2 I \to \mathbb{Z}$  by

$$\Phi(ax + by, cx + dy) = a_{0,0}d_{0,0} - b_{0,0}c_{0,0}.$$

Note  $\Phi(x \wedge y) = 1$ . So we have  $\wedge^2 \mathbb{Z}[x, y] = 0$  but  $\wedge^2 I \neq 0$  so  $\wedge^1 I \to \wedge^2 R$  is not an injection.

• Suppose that  $\eta \in T^k(M)$ . We say that  $\eta$  is symmetric if  $\sigma(\eta) = \eta$  for all  $\sigma \in S_k$ . where  $\sigma$  is defined by action on simple tensor by  $\sigma(m_1 \otimes ... \otimes m_k)$  by  $m_{\sigma^{-1}(1)} \otimes ... \otimes m_{\sigma^{-1}(k)}$ .

Define  $\epsilon(\sigma) := sign(\sigma)$  (positive if  $\sigma$  is product of even number of transpositions and negative if product of odd number of transpositions.

We say that  $n \in T^k(M)$  is alternating if  $\sigma(\eta) = \epsilon(\sigma)\eta$  for all  $\sigma \in S_k$ .

• Ex k = 3.

 $e_1 \otimes e_2 \otimes e_3 + e_1 \otimes e_3 \otimes e_2 + e_2 \otimes e_1 \otimes e_3 + e_2 \otimes e_3 \otimes e_1 + e_3 \otimes e_1 \otimes e_2 + e_3 \otimes e_2 \otimes e_1$ 

is a symmetric tensor in  $T^{3}(V)$  where V is 3-dimensional.

 $e_1 \otimes e_2 \otimes e_3 - e_1 \otimes e_3 \otimes e_2 - e_2 \otimes e_1 \otimes e_3 + e_2 \otimes e_3 \otimes e_1 + e_3 \otimes e_1 \otimes e_2 - e_3 \otimes e_2 \otimes e_1$ 

is an alternating tensor.

- Define:  $Sym : T^k(M) \to T^k(M)$  by  $Sym(\eta) = \sum_{\sigma \in S_k} \sigma(\eta)$ . Notice that  $Sym(\eta)$  is always a symmetric tensor.
- Define  $Alt : T^k(M) \to T^k(M)$  by  $Alt(\eta) = \sum_{\sigma \in S_k} \epsilon(\sigma)\sigma(\eta)$ . Notice that  $Alt(\eta)$  is always an alternating tensor.
- we have a 1-1 correspondence  $\frac{1}{k!}Sym : S^k(M) \leftrightarrow \{ \text{ symmetric tensors } \}$ . Similarly  $\frac{1}{k!}Alt : \wedge^k(M) \leftrightarrow \{ \text{ alternating tensors } \}$

### 12.2 modules and vector spaces over PIDs

- Let F be a field and F[x] a PID. Let V be an F-vector space. Can make V into an F[x] module given a linear transformation T : V → V let T<sup>0</sup> = I, T<sup>1</sup> = T, T<sup>2</sup> = T ∘ T, ...
  Then can define, for any polynomial p = a<sub>n</sub>x<sup>n</sup> + ...a<sub>0</sub>, p(x) · v = p(T)(V) = a<sub>n</sub>T<sup>n</sup> + ...a<sub>0</sub>I.
- Ex: If T = 0 then  $T^i = 0$  for all i so  $p(T) \cdot v = a_0 I v = a_0 v$ .
- If T = I then  $p(T)(v) = (a_n + ... + a_0)v$ .
- Let V be d dimensional define  $T(x_1, ..., x_d) = (0, x_1, ..., x_{d-1})$ . Then we have  $T(e_i) = e_{i+1}$  for  $i \neq d$  and  $T(e_d) = 0$ . and  $T^k(e_i) = \begin{cases} e_{i+k} & 1 \leq i \leq d-k \\ 0 & otherwise \end{cases}$ .

Then for d > n,  $P(T)(e_1) = a_0e_1 + a_2e_3 + \dots + a_ne_{n+1}$ . and for  $d \le n$ ,  $P(T)(e_1) = a_0e_1 + a_2e_3 + \dots + a_{d-1}e_d$ . Similarly for  $P(T)(e_2)$ .

• Homework: 11.5 - 12,14. 12.1 5,6,13,14,15 Due Friday Feb 28

### 13.1 More on Modules over PID

• from last time define T:  $V \to V$ ,  $e_1, ..., e_n$  a basis of V, by  $T(x_1, ..., x_n) = (0, x_1, ..., x_{n-1})$  then

$$T^{k}(e_{i}) = \begin{cases} e_{i+k} & 1 \leq i \leq n-k \\ 0 & otherwise \end{cases}$$

If m < n and  $a_m x^m + ... + a_0$  then  $(a_m x^m + ... + a_0)(e_i) = (0, 0, ..., \underbrace{a_0}_{ith}, a_1, ..., a_k.$ 

• Note: There is a 1-1 correspondence between

$$\{V: V an F[x] - module\}$$

and

 $\{F \text{ vector space } V \text{ paired with linear trans } T\}.$ 

If V is an F[x] module and T is the linear transformation that describes the action of x on a vector. Let W be an F-vector space of V. consider T(W). Is W a submodule of V with respect to the F[x] action on V. We have  $p(x)(w) = p(T)(w) \in V$  when is this in W? Need  $T(w) \in W$ , i.e when W is T-invariant. So - W will be an F[x] submodule of W iff  $T(W) \leq W$  i.e. W is T-invariant or T-stable.

for the example above with  $T(e_i) = e_{i+1}$  then  $W_i = \{(0, .., 0, x_i, .., x_n : x_i \in F\}$  are *T*-invariant. but  $U_i = \{(x_1, ..., x_i, 0, 0, ..., 0\}$  is not *T*-invariant.

• A finitely generated R-module M has rank n if the largest number of linearly independent elements in M is n.

Ex:  $M = R^n$  has rank n. However if the module is not free then the rank is not necessarily equal to the number of generators.

Ex: In  $\mathbb{Z}[x,y]$ , I(x,y) then if we let x = y, b = -x then ax + by = 0 with  $a \neq 0$  and  $b \neq 0$  so x, y are linearly dependent. Hence rankI < 2. Now  $\{x\}$  is an *R*-linearly independent set so RankI = 1.

• Def: An *R*-module *M* is Noetherian if  $N_1 \subset N_2 \subset ... \subset N_i \subset ...$  is any chain of submodules in *M* there is *n* such that for all  $i \geq n$ ,  $N_i = N_n$ . i.e. any ascending chain of submodules stabilizes (ascending chain condition).

If all submodules of M are finitely generated then M is Noetherian.

Note! ascending chain condition and Noetherian are equivalent conditions (proved last semester).

• Theorem. Let R be a PID, M a free module of rank n and  $N \subset M$  then N is a free submodule of rank  $m \leq n$ . Moreover there is a basis  $y_1, ..., y_n$  of M such that  $a_1y_1, ..., a_my_m$  is a basis of N and  $a_1$  divides  $a_2$  divides ... divides  $a_m$ .

Proof. take  $\phi: M \to R$  then  $\phi(N) = \subset R$  is a principle ideal i.e. there is  $a_{\phi} \in R$  with  $\phi(N) = (a_{\phi})$ . now let  $\Sigma = \{(a_{\phi}) : \phi \in Hom_R(M, R)\}$ . Now for any 2 elements  $(a_{\phi}), (a_{\psi})$  there is d the GCD of  $a_{\phi}$  and  $a_{\psi}, (d) = \{r_{\phi}a_{\phi} + r_{\psi}a_{\psi} : r\phi, r\psi \in R\}$  and we have  $(a_{\phi}) \subset (d)$  and  $(a_{\psi}) \subset (d)$ . Then by noetherianess we have that  $\sigma$  will have maximal elements. let  $\nu(N) = (a_{\ni})$  be a maximal element. Then  $a_{\nu} \not\subset (a_{\phi})$  for  $\Sigma \ni (a_{\phi}) \neq (a_{nu})$ . Set  $a_1 = a_{\nu}$ . Let  $x_1, ..., x_n$  be a basis of M then there is  $u \in N$  with  $\nu(y) = a$ . The map  $\pi_i: M \to R$  by  $\pi_i(b_1x_1 + ... + b_nx_n = b_i$ . Now since  $a_1 \neq 0, N \neq 0$  so  $\pi_i(N) \neq 0$  for at least 1 i.

We need to show for any  $\phi \in Hom_R(M, R)$ ,  $a_1|\phi(y)$ . Now  $(d) = (a_1, \phi(y))$  and  $d = r_1a_1 + r_2\phi(y)$ . Let  $\psi(r_1\nu + r_2\psi)$ . then  $\psi(y) = r_1a_1 + r_2\phi(y) = d$ . So  $d \in \psi(N)$ , but also  $(d) \subset \psi(N)$  and  $(a_1) \subset (d) \subset \psi(N)$ . so  $\psi(N) \subset (a_1) \Rightarrow (d) = (a_1)$  so  $a_1|\phi(y)$ .

Now  $a_1|\pi_i(y)$  for all *i*. Then  $\pi_1(y) = a_i b_i$ . Let  $y_1 = \sum_{i=1}^n b_i x_i$ . then  $a_1 y_1 = \sum a_1 b_i x_i = \sum \pi_i(y) x_i = y$ . Since  $a_1 = \nu(y) = \nu(a_1 y_1) = a_1 \nu(y_1)$  so  $\nu(y_1) = 1$ . So we may write  $M = Ry_1 \oplus Ker(\nu)$  since for  $x \in M$  we have  $x = \underbrace{\nu(x)y_1}_{\in Ry_1} + \underbrace{(x - \nu(x)y_1)}_{\in ker(\nu)}$ . NOw if  $r_1 y_1 = ker\nu \cap Ry_1$  then  $r_1 = r_1\nu(y_1 = \nu(r_1y_1) = 0$ .

Then  $N = Ra_1y_1 \oplus ker\nu \cap N$ .

Can repeat this process to get direct sum decomposition.

# 14.1 midterm 1 review

- sections 10.1- 10.5, 11.3, 11.5.
- Major topics:
  - 1.  $Hom_R(N, M)$
  - 2. free modules (Universal property)
  - 3. direct sums
  - 4. tensor products (universal property)
  - 5. diagram chasing (definitely on test, look at unassigned diagram chasing problem)
  - 6. projective modules
  - 7. injective modules
  - 8. flat modules.
  - 9. dual vector spaces.
  - 10. tensor algebras
- recall  $A^2(V) = \langle v \otimes v : v \in V \rangle$
- basis for  $T^2(V)$ ,  $V = F^3$  with  $char(F) \neq 2$ . then basis of V is  $e_1, e_2, e_3$  and basis for  $T^2(v) = e_1 \otimes e_1, e_1 \otimes e_2, e_1 \otimes e_3, e_2 \otimes e_2, e_2 \otimes e_2, e_2 \otimes e_3, e_3 \otimes e_1, e_3 \otimes e_2, e_3 \otimes e_3, e_1 \otimes e_2$ .

In  $C^2$  we identify  $e_j \times e_i$  with  $e_i \otimes e_j$  so basis for  $S^2(V) = T^2/C^2$  is  $e_1 \otimes e^1 + C^2, e_2 \otimes e_2 + C^2(V), e_3 \otimes e_3 + C^2, e_1 \otimes e_2 + C^2(V), e_1 \otimes e_3 + C^2(V), e_2 \otimes e_3 + C^2(V).$ 

• example on flatness: R comm ring. M flat right R-module, N and (R, S) bimodule a flat S module. (from homework).

if  $0 \longrightarrow L \longrightarrow K$  injection of S modules. Then  $0 \longrightarrow N \otimes_S L \longrightarrow N \otimes_s K$  is an injection of R modules since N is flat S-module

then  $0 \longrightarrow M \otimes_R (N \otimes_S L) \longrightarrow M \otimes_R (N \otimes_s K)$  is an injection since M is flat R module.

but by prop of tensors  $M \otimes_R (N \otimes_S L) \cong (M \otimes_R N) \otimes_S L$  and  $M \otimes_R (N \otimes_S K) \cong (M \otimes_R N) \otimes_S K$  so

 $0 \longrightarrow (M \otimes_R N) \otimes_S L \longrightarrow (M \otimes_R N) \otimes_S K$  in an injection as well.

• 10.5 problem 1d see book for statement:

There is  $c \in C$  with  $\gamma(c) = 0$ . since  $\phi$  is surjective there is  $b \in B$  with  $\phi(b) = x$  then  $0 = \gamma(x) = \gamma \circ \phi(b) = \phi' \circ \beta(b)$ . then  $\beta(b) \in ker(\phi') = im(\psi')$ . Now there is  $a' \in A'$  with  $\psi'(a') = \beta(b)$  since  $\alpha$  is surjective there is  $a \in A$  with  $\alpha(a) = a'$  then  $\beta \circ \psi(a) = \psi' \circ \alpha(a) = \psi'(a') = \beta(b)$ . Since  $\beta$  is injective  $b = \psi(a)$  and  $\phi(b) = \phi \circ \psi(a) = 0$  but  $\phi(b) = c = 0$  so  $\gamma$  is injective.

Note to show a map is injective when diagram chasing start in top row. to show a map is surjective start in bottom row.

• know examples, how to identify which modules are projective, injective, flat i.e. free  $\Rightarrow$  flat, projective  $\Rightarrow$  flat.

Ex:  $\mathbb{Z}_2[x]$  as a  $\mathbb{Z}$ -module. Not free  $\mathbb{Z}$  module since it has torsion. Since it has torsion not projective. not divisible so its not injective. Also not flat (has torsion).

Ex:  $\mathbb{Z}_2[x]$  as a  $\mathbb{Z}_6$ -module. then is projective since  $Z_2$  is a direct summand of  $\mathbb{Z}_6$ . is divisible so it is injective. and is flat (since it is projective).

Ex:  $\mathbbm{Z}$  as a  $\mathbbm{Z}$  module is projective but not projective

Ex:  $\mathbb{Q}$  is injective but not projective

Ex:  $\mathbb{Q}/\mathbb{Z}$  is injective but not flat.

• Note about test: For tensor product problem:

$$\begin{array}{c|c} A \times (B \otimes_R C) \xrightarrow{i} A \otimes_R (B \otimes_R C) \\ & & \downarrow \\ & & \downarrow \\ & & (A \otimes_R B) \otimes_R C \end{array}$$

for  $\phi_1(a, b \otimes c) \to (a \otimes b) \otimes c$  need to show that  $\phi_1$  is bilinear. Then make similar diagram switching A and C to get  $\Phi_2$ . Then  $\Phi_1 \circ \Phi_2 = \Phi_2 \circ \Phi_1 = Id$ .

### 15.1 Fundamental theorem for finitely generated PIDs

- Suppose M is a finitely generated module over a PID. Then M is isomorphic to  $R^k \oplus R/(a_i) \oplus ... \oplus R/(a_m)$  where  $a_1|a_2|...|a_m$ . In order to produce such a decomposition we can use the matrix game.
- F[x] is a PID when F is a field. If V is an F vector space and T a linear transformation then there is a 1-1 correspondence between F[x] modules and the pairs (V, T).
- Recall some facts from linear algebra:  $\lambda$  is an eigenvalue of T if there is a non-zero vector  $v \in V$  with  $Tv = \lambda v$ . In this case we say that v is an eigenvector of T.

The eigenspace of  $\lambda$  is  $E_{\lambda} = \{v \in V : Tv = \lambda v\}.$ 

Note the following are equivalent:

- 1.  $\lambda$  is an eigenvalue of T
- 2.  $T \lambda I = 0$ . is non-singular linear transformation.
- 3.  $det(T \lambda I) = 0$ .

The characteristic polynomial for a linear transformation  $T: V \to V$  is  $C_T(x) = det(xI - T)$ . Note that if  $\lambda$  is an eigenvalue of T then  $C_T(\lambda) = 0$ . By Fundamental theorem of fintely generated modules over PIDs we have  $V \cong \frac{F[x]}{a_1(x)} \oplus \ldots \oplus \frac{F[x]}{a_m(x)}$  with  $a_1(x)|a_2(x)|\ldots|a_m(x)$ . Then we have  $a_m(x)V = 0$ . We say the annihilator of V is the biggest ideal of F[x] such that IV = 0. In this case we have  $(a_m(x)) = AnnV$ . The monic polynomial which is an associate of  $a_m(x)$  is called the minimum polynomial of T.

Consider F[x]/(a(x)) with  $a(x) = x^n + a_{n-1}x^{n-1} + \dots + a_0$  monic.  $x\bar{1} = \bar{x}$ .  $x\bar{x} = \bar{x}^2$  and so on until  $x\bar{x}^{n-1} = \bar{x}^n = -a_0 - a_1\bar{x} - \dots - a_{n-1}\bar{x}^{n-1}$ .

So as an operator on F[x]/(a(x)), x can be represented by the matirx

$$x = \begin{pmatrix} 0 & 0 & \dots & 0 & -a_0 \\ 1 & 0 & \dots & 0 & -a_1 \\ 0 & 1 & \dots & 0 & -a_2 \\ \vdots & \ddots & & \\ 0 & 0 & \dots & 1 & -a_{n-1} \end{pmatrix}$$

This is called the companion matrix to a(x),  $C_{a(x)}$ 

The rational canonical form (RCF) for  $\frac{F[x]}{a_1(x)} \oplus ... \oplus \frac{F[x]}{a_m(x)}$  with each  $a_i(x)$  monic is

$$\begin{pmatrix} C_{a_1(x)} & 0 & \dots & 0 \\ 0 & C_{a_2(x)} & \dots & 0 \\ 0 & 0 & \ddots & 0 \\ 0 & 0 & \dots & C_{a_m(x)} \end{pmatrix}$$

given  $T: V \to V$  create the matrix XI - T with respect to some basis  $(T(b_1)...T(b_n)) = A$  each  $T(b_i)$  is a column of A. then play the matrix game on xI - A to produce

$$\begin{pmatrix} 1 & 0 & & & \\ & \ddots & & & & \\ & & 1 & & & \\ & & & a_1(x) & & \\ & & & & \ddots & \\ & & & & & a_m(x) \end{pmatrix}$$

Matrix game with  $A = \begin{pmatrix} 2 & 1 \\ 3 & 4 \end{pmatrix}$  $\begin{pmatrix} x-2 & -1 \\ -3 & x-4 \end{pmatrix} \rightarrow \begin{pmatrix} -1 & x-2 \\ x-4 & -3 \end{pmatrix} \rightarrow \begin{pmatrix} 1 & 2-x \\ x-4 & -3 \end{pmatrix}$  $\rightarrow \begin{pmatrix} 1 & 2-x \\ 0 & -x^2 - 6x + 5 \end{pmatrix} \rightarrow \begin{pmatrix} 1 & 00 & x^2 - 6x + 5 \end{pmatrix}$ 

rcf is  $\begin{pmatrix} 0 & -5\\ 1 & 6 \end{pmatrix}$ 

### 16.1 More on rational Cannonical form

• Rational Canonical form is unique.

will show  $C_{a(x)}$  companion matrix for a(x) is unique. Given T, multiplying by x(T) generates all the basis elements. If we have subspaces  $D_i$  which are T invariant it is enough to determine the  $e_i$  for that particular polynomial. i.e. given  $F[x]/(b_i(x)) \cong D_i$ . Then  $T^j e_i$  will give us the rest of the basis elements for the block. So given  $b_1|b_2|...,|b_t$  such that  $V \cong F[x]/(b_1) \oplus ... \oplus F[x]/(b_t)$  and  $F[x]/(b_i(x)) \cong D_i$ . There is a basis  $e_1, Te_1, ..., T^{m-1}e_1, e_2, Te_2, ..., T^{n_t-1}e_t$ . which puts T into the form

$$\begin{pmatrix} C_{b_1(x)} & & 0 \\ & \cdots & \\ 0 & & C_{b_t(x)} \end{pmatrix}$$

If  $m_T(x) = a_m(x)$  then  $b_t(x)|a_m(x)$  and  $a_m(x)|b_t(x)$  can work backwards to see that  $a_i(x) = b_i(x)$ .

If S and T are similar matrices then they have the same invariant factors so the same rational canonical form.

In particular if  $S \sim T$  then There is UinV such that  $S = U^{-1}TU$  so US = TU. Now if  $Sx \subseteq W$  for some  $x \in W$  then UW is a subspace isomorphic to W (by invrtibility of U. Then  $TU(x) \subset UW$  (since if  $Sx = w \in W$  then  $T(Ux) = USx = Uw \in UW$ .

• Theorem: (Cayley Hamilton) if  $C_T(x) = a_1(x) \cdots a_m(x)$  then  $a_m$  is the minimum polynomial  $m_T(x)$ . In parituclar if  $C_T(T) = 0$  then  $M_T(T) = 0$ . More generally  $m_T(x)|C_T(x)$  and  $C_T(x)|M_T(x)^m$  and  $M_T(x)^n$  for  $n \ge m$ .

Ex: Suppose that A is a  $3 \times 3$  matirix with  $C_A(x) = (x-1)^2(x+1)$ . How many rational canonical forms correspond to this characteristic polynomial?

invariant factors RCF  

$$(x-1)^2(x+1)$$
 $\begin{pmatrix} 0 & 0 & -1 \\ 1 & 0 & 1 \\ 0 & 1 & 1 \end{pmatrix}$ 
 $(x-1), x^2 - 1$ 
 $\begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix}$ 

Ex. Find all  $4 \times 4$  matrices with minimum polynomial  $x^2 - 1$ .

invariant factors $x^2 - 1, x^2 - 1$	$\begin{array}{c} \text{RCF} \\ \begin{pmatrix} 0 & 1 & 0 & 0 \\ 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 \end{pmatrix}$
$(x-1), (x-1), x^2 - 1$	$\begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 \end{pmatrix}$
$(x+1), (x+1), x^2 - 1$	$ \begin{pmatrix} -1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 \end{pmatrix} $

Ex: Problem from jan qual: A is a  $10 \times 10$  matrix satisfying  $A^{10000} = 0$  show  $A^{10} = 0$  let  $f(x) = x^{10000}$  only irreducible factor of  $x^{10000}$  is x. So characteristic polynomial divides  $x^{10000}$  and has degree 10 so  $C_A(x) = x^{10}$  is the only polynomial dividing f having degree 10. Since the matrix has to be a zero of the characteristic polynomial it follows that  $A^{10} = 0$ .

- To find the RCF of a matrix A we play the matrix game on XI A. Rules for matrix game:
  - 1. Can swap rows or cols
  - 2. Multiply by any non-zero element of F
  - 3. replace a row/column by sum of multiple of that row/column with multiple of another row/column.
- note if you keep track of ROW ops (not col) then you can reconstruct invariant factors.
- EX:

$$A = \begin{pmatrix} 2 & -3 & 3 \\ 0 & -3 & 5 \\ 0 & 1 & 1 \end{pmatrix}$$
$$XI - A = \begin{pmatrix} x - 2 & 3 & -3 \\ 0 & x + 3 & -5 \\ 0 & -1 & x - 1 \end{pmatrix} \rightarrow (R_1 \leftrightarrow R_3)$$
$$XI - A = \begin{pmatrix} 0 & -1 & x - 1 \\ 0 & x + 3 & -5 \\ x - 2 & 3 & -3 \end{pmatrix} \rightarrow (C_1 \leftrightarrow C_2)$$
$$XI - A = \begin{pmatrix} -1 & 0 & x - 1 \\ x + 3 & 0 & -5 \\ 3 & x - 2 & -3 \end{pmatrix} \rightarrow (-R_1)$$
$$XI - A = \begin{pmatrix} 1 & 0 & 1 - x \\ x + 3 & 0 & -5 \\ 3 & x - 2 & -3 \end{pmatrix} \rightarrow (-(x + 3)R_1 + R_2 \rightarrow R_2, -3R_1 + R_3 \rightarrow R_3)$$
$$XI - A = \begin{pmatrix} 1 & 0 & 1 - x \\ 0 & 0 & x^2 + 2x - 8 \\ 0 & x - 2 & 3x - 6 \end{pmatrix} \rightarrow ((x - 1)C_1 + C_3 \rightarrow C_3)$$
$$XI - A = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & x^2 + 2x - 8 \\ 0 & x - 2 & 3x - 6 \end{pmatrix} \rightarrow (R_2 \leftrightarrow R_3)$$
$$XI - A = \begin{pmatrix} 1 & 0 & 0 \\ 0 & x^2 + 2x - 8 \\ 0 & x - 2 & 3x - 6 \end{pmatrix} \rightarrow (-3C_2 + C_3 \rightarrow C_3)$$
$$XI - A = \begin{pmatrix} 1 & 0 & 0 \\ 0 & x^2 + 2x - 8 \\ 0 & 0 & x^2 + 2x - 8 \end{pmatrix} \rightarrow (-3C_2 + C_3 \rightarrow C_3)$$
$$XI - A = \begin{pmatrix} 1 & 0 & 0 \\ 0 & x^2 + 2x - 8 \\ 0 & 0 & x^2 + 2x - 8 \end{pmatrix} \rightarrow (-3C_2 + C_3 \rightarrow C_3)$$
$$XI - A = \begin{pmatrix} 1 & 0 & 0 \\ 0 & x - 2 & 3x - 6 \\ 0 & 0 & x^2 + 2x - 8 \end{pmatrix} \rightarrow (XI - A = \begin{pmatrix} 1 & 0 & 0 \\ 0 & x - 2 & 3x - 6 \\ 0 & 0 & x^2 + 2x - 8 \end{pmatrix} \rightarrow (-3C_2 + C_3 \rightarrow C_3)$$
$$XI - A = \begin{pmatrix} 1 & 0 & 0 \\ 0 & x - 2 & 0 \\ 0 & 0 & x^2 + 2x - 8 \end{pmatrix}$$

So we have

$$RCF = \begin{pmatrix} 2 & 0 & 0\\ 0 & 0 & 8\\ 0 & 1 & -2 \end{pmatrix}$$

To get invariant factors start with identity matrix and modify step by step with an operation for each row operation in the order in which they came.

modification:

If we  $R_i \leftrightarrow R_j$  then swap  $C_i \leftrightarrow C_j$ .

If we  $uR_i \to R_i$  then modify  $u^{-1}C_i \to C_i$ 

If we  $a(x)R_i + R_j \to R_j$  then modify  $-a(x)C_j + C_i \to C_i$ .

The result of this will provide a matrix with the generators of the invariant factors

# 17 March 2

# 17.1 More on RCF/matrix game

• last time we had

$$\begin{pmatrix} 2 & -3 & 3 \\ 0 & -3 & 5 \\ 0 & 1 & 1 \end{pmatrix}$$

and performed the sequence of row operations

1. 
$$R_4 \leftrightarrow R_3$$
  
2.  $-R_1$   
3.  $-(x+3)R_2 + R_2 \rightarrow R_2$   
4.  $-3R_1 + R_3 \rightarrow R_3$   
5.  $R_2 \leftrightarrow R_3$ .

on xI - A.

Now if we start with identity matrix and perform these on columns we get generators of the invariant factors.

$$\begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} \to (C_1 \leftrightarrow C_3)$$
$$\begin{pmatrix} 0 & 0 & 1 \\ 0 & 1 & 0 \\ 1 & 0 & 0 \end{pmatrix} \to (-C_1)$$
$$\begin{pmatrix} 0 & 0 & 1 \\ 0 & 1 & 0 \\ -1 & 0 & 0 \end{pmatrix}$$

Now  $x + 3 \sim A + 3I = \begin{pmatrix} 5 & -3 & 3 \\ 0 & 0 & 5 \\ 0 & 1 & 4 \end{pmatrix}$  so to perform  $((x+3)C_2 + C_1 \rightarrow C_1)$  we replace  $(x+3)C_2$  with the column generated by  $(A+3I)c_2$  the resulting matrix is

$$\begin{pmatrix} -3 & 0 & 1\\ 0 & 1 & 0\\ 0 & 0 & 0 \end{pmatrix} \to (3c_3 + c_1 \to c_1)$$
$$\begin{pmatrix} 0 & 0 & 1\\ 0 & 1 & 0\\ 0 & 0 & 0 \end{pmatrix} \to (c_2 \leftrightarrow c_1)$$
$$\begin{pmatrix} 0 & 1 & 0\\ 0 & 0 & 1\\ 0 & 0 & 0 \end{pmatrix}$$

So can produce basis from  $e_1$  and  $e_2$ 

Now 
$$Ae_1 = 2e_1$$
,  $Ae_2 = \begin{pmatrix} -3\\ -3\\ 1 \end{pmatrix}$  and so  $U = \begin{pmatrix} 1 & 0 & -3\\ 0 & 1 & -3\\ 0 & 0 & 1 \end{pmatrix}$ ,  $U^{-1} = \begin{pmatrix} 1 & 0 & 3\\ 0 & 1 & 3\\ 0 & 0 & 1 \end{pmatrix}$  and  $U^{-1}AU = \begin{pmatrix} 2 & 0 & 0\\ 0 & 0 & 8\\ 0 & 1 & -2 \end{pmatrix}$  which is a rational canonical form which corresponds to  $(x - 2)$ ,  $x^2 + 2x - 8 = (x - 2)(x + 4)$  as desired.

### 17.2 Jordan Canonical Form

• Assume elementry divisors are powers of linear factors and we have  $a_1(x)|a_2(x)|...|a_m(x)$ . The eigenvalues are  $\lambda_1, ..., \lambda_t$  so we may write

$$a_1(x) = (x - \lambda_1)^{\alpha_{11}} \cdots (x - \lambda_t)^{\alpha_{1t}}$$
$$\vdots$$
$$a_j(x) = (x - \lambda_1)^{\alpha_{j1}} \cdots (x - \lambda_t)^{\alpha_{jt}}$$

where the  $\alpha_{ik}$  may be zero. For i = 0, ..., j - 1.

• Suppose we have  $F[x]/(x-\lambda)^k$  can choose basis as  $(\bar{x}-\lambda)^{k-1}, (\bar{x}-\lambda)^{k-2}, ..., (\bar{x}-\lambda), 1$ . now we can compute

$$x(\bar{x}-\lambda)^{k-1} = \lambda(\bar{x}-\lambda)^{k-1} + (\bar{x}-\lambda)^k = \lambda(\bar{x}-\lambda)^k$$

, similarly

$$x(\bar{x}-\lambda)^{k-2} = \lambda(\bar{x}-\lambda)^{k-2} + (\bar{x}-\lambda)^{k-1}$$

and

$$x(\bar{x} - \lambda)^{i} = \lambda(\bar{x} - \lambda)^{i} + (\bar{x} - \lambda)^{i+1}$$

until finally

 $x\cdot 1=\lambda+\bar{x}-\lambda$ 

Hence multiplication by x is represented by the matrix

$$\begin{pmatrix} \lambda & 1 & 0 & \dots & 0 \\ 0 & \lambda & 1 & \dots & 0 \\ 0 & \ddots & & & \\ 0 & 0 & 0 & 0 & \lambda \end{pmatrix}$$

called the JOrdan block for  $(x - \lambda)^k$ . A Jordan Canonical form for a matrix A is

$$\begin{pmatrix} J_1 & & 0 \\ & J_2 & & \\ & & \ddots & \\ 0 & & & J_s \end{pmatrix}$$

where each  $J_i$  is a Jordan block.

• Ex for the matrix  $\begin{pmatrix} 2 & 1 \\ 3 & 4 \end{pmatrix}$  we had  $RCF = \begin{pmatrix} 0 & -5 \\ 1 & 6 \end{pmatrix}$  so eigenvalues were 2, 3 so jordan form is  $\begin{pmatrix} 2 & 0 \\ 0 & 3 \end{pmatrix}$ .

• Ex we did RCF of  $3 \times 3$  matrices with char poly  $(x-1)^2(x+1)$  has ele divisors (x-1), (x-1), (x+1) or  $(x-1)^2, x+1$  these correspond to  $\begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & -1 \end{pmatrix}$  and  $\begin{pmatrix} 1 & 1 & 0 \\ 0 & 1 & 00 & 0 \\ 0 & 1 & 00 & 0 \end{pmatrix}$ 

- Ex:  $4 \times 4$  with minimal poly  $x^2 1$ . had 3 sets of invariant factors
  - 1.  $x^2 1, x^2 1$ 2.  $x - 1, x - 1, x^2 - 1$ 3.  $x + 1, x + 1, x^2 - 1$ .

which correspond to elementary divisors

1. 
$$x - 1, x - 1, x + 1, x + 1$$
  
2.  $x - 1, x - 1, x - 1, x + 1$   
3.  $x + 1, x + 1, x + 1, x - 1$ 

and JCFs of

$$1. \begin{pmatrix} 1 & & \\ 0 & 1 & \\ 0 & 0 & -1 \\ 0 & 0 & 0 & -1 \end{pmatrix}$$
$$2. \begin{pmatrix} 1 & & \\ 0 & 1 & \\ 0 & 0 & 1 \\ 0 & 0 & 1 \\ 0 & 0 & 0 & -1 \end{pmatrix}$$
$$3. \begin{pmatrix} 1 & & \\ 0 & -1 & \\ 0 & 0 & -1 \\ 0 & 0 & 0 & -1 \end{pmatrix}$$

• In example finished at beginning of class we have invariant factors of  $(x - 2), x^2 + 2x - 8$  so elementary divisors x - 2, x - 2, x + 4 and JCF  $\begin{pmatrix} 2 & 0 & 0 \\ 0 & 2 & 0 \\ 0 & 0 & -4 \end{pmatrix}$ 

Can get this if we don't already have RCF by following procedure:

In this case we had  $e_1$  generated a cyclic submodule of dim 1 and  $e_2$  generate a cyclic submodule of dim 2. Suppose that f generates  $V \cong F[x]/(a(x))$  with  $a(x) = (x - \lambda_1)^{\alpha_1} \cdots (x - \lambda_t)^{\alpha_t}$ . then  $\frac{a(x)}{(x - \lambda_i)}^{\alpha_i}$  gives generators for submodule  $F[x]/(x - \lambda_i)^{\alpha_i}$ .

Apply this to case above we have 
$$\frac{x^2 + 2x - 8}{x - 2}e_2 = (x + 4)e_2 = (A + 4I)w_2 = (-3, 1, 1) \text{ and } \frac{x^2 + 2x - 8}{x + 4}e_2 = (x - 2)e_2 = (-3, -3, 1) \text{ then } U = \begin{pmatrix} 1 & -3 & -3 \\ 0 & 1 & -5 \\ 0 & 1 & 1 \end{pmatrix} \text{ and } U^{-1}AU = \begin{pmatrix} 2 & 0 & 0 \\ 0 & 2 & 2 \\ 0 & 0 & -4 \end{pmatrix}$$

# 18 March 4

### 18.1 More on Jordan Form

- To find P such that  $P^{-1}AP = J$  where J is the Jordan form of A. let  $C_A(x) = det(A xI)$  is char polynomial  $C_A(x) = (x \lambda_1)^{m_1} \dots (x \lambda_s)^{m_s}$ . F algebraically closed. Follow the process
  - 1. For each  $\lambda_i$  calculate  $N(A \lambda_i I)$ .  $dim(N(A \lambda_i I))$  tells how many cyclic subspaces there are of the form  $F[x]/(x \lambda_i)^{s_{ij}}$  there are.  $s_{i1} + ... + s_{ir_i} = m_i$ .
  - 2. Compute the null space  $N(A \lambda_i I)^k$ ) for  $2 \le k$  until  $dim(N(A \lambda_i I)^k) = m_i$  the multiplicity of  $\lambda_i$ . Stop at the smallest k where this happens -  $k = \max\{s_{ij} : 1 \le j \le i_r\}$ . denote by  $E_{\lambda_i} = \{v : \lambda_i v = Av\}$  the eigenspace of  $\lambda_i$ . and let  $G_{\lambda_i} = \{v : \exists k \ s.t. \ (A - \lambda_i I)^k v = 0\}$  the generalized eigenspace of  $\lambda$ .
  - 3. Choose  $v_{i1} \in G_{\lambda_i}$ ,  $v_{i1} \in N((A \lambda_i I)^k) \setminus N((A \lambda_i I)^{k-1})$  then  $v_{i1}, (A \lambda_i I)v_{i1}, ..., (A \lambda_i I)^{k-1}v_{i1}$ generate a cyclic subspace isomorphic to  $F[x]/(x - \lambda_i)^k$ .
  - 4. If  $k = m_i$ , done. If not there is  $v_{i2} \in N(A \lambda_i I)^k \setminus N((A \lambda_i I)^{k-1} \cup span\{(A \lambda_i I)^j v_{i1}\}_{j=0}^{k-1}$ . generate  $v_{i2}, (A - \lambda_i I) v_{i2}, ... (A - \lambda_i I)^{k-1} v_{i2}$  repeat if necessary. If there is not  $v_{i2} \in N(A - \lambda_i I)^k \setminus N((A - \lambda_i I)^{k-1} \cup span\{(A - \lambda_i I)^j v_{i1}\}_{j=0}^{k-1}$  the search next in  $N(A - \lambda_i I)^{k-1}$  for such vectors. generate  $v_{i2}, (A - \lambda_i I) v_{i2}, ... (A - \lambda_i I)^{k-2} v_{i2}$ . Keep going until we have a basis of  $G_{\lambda_i}$  formed by the cyclic subspace bases.

• Ex: 
$$A = \begin{pmatrix} 3 & 1 & 4 & 2 \\ -1 & 1 & -3 & 3 \\ 0 & 0 & 2 & 0 \\ 0 & 0 & 0 & 3 \end{pmatrix}$$
 char poly is  $(x-2)^3(x-3)$ .

Now

$$A - 3I = \begin{pmatrix} 0 & 1 & 4 & 2 \\ -1 & -2 & -3 & 3 \\ 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix}$$

which has row eschelon form of

$$\begin{pmatrix} 1 & 0 & 0 & -7 \\ 0 & 1 & 0 & 2 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix}$$

which gives (7, -2, 0, 1) as the eigenvalue for 3. Now

$$A - 2I = \begin{pmatrix} 1 & 1 & 4 & 2 \\ -1 & -1 & -3 & 3 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$

which has row eschelon form of

$$\begin{pmatrix} 1 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 \end{pmatrix}$$

which gives (-1, 1, 0, 0) as the eigenvalue for 2. Now

$$(A-2I)^{2} = \begin{pmatrix} 0 & 0 & 1 & 9 \\ 0 & 0 & -1 & -2 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix}$$

which has row eschelon form of

$$\begin{pmatrix} 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix}$$

which has null space of  $span\{(1,0,0,0), (0,1,0,0)\}$ . Now (-1,1,0,0) is in this space, so we need to continue.

Now

$$(A - 2I)^3 = \begin{pmatrix} 0 & 0 & 0 & 2\\ 0 & 0 & 0 & -4\\ 0 & 0 & 0 & 0\\ 0 & 0 & 0 & 0 \end{pmatrix}$$

which has row eschelon form of

$$\begin{pmatrix}
0 & 0 & 0 & 1\\
0 & 0 & 0 & 0\\
0 & 0 & 0 & 0\\
0 & 0 & 0 & 0
\end{pmatrix}$$

which has null space of  $span\{(1, 0, 0, 0), (0, 1, 0, 0), (0, 0, 1, 0)\}$ . Now  $e_3$  is not in the previous null space so can use it to compute rest of basis.

we have  $(A-2I)e_3 = (4, -3, 0, 0)$ , and  $(A-2I)e_3 = (1, -1, 0, 0)$ . note this last one must be the eigenvector (a good way to check work). Now we can write down P with these vectors as columns.

$$P = \begin{pmatrix} 1 & 4 & 0 & 7 \\ -1 & -3 & 0 & -2 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$$
  
and one may compute  $P^{-1} = \begin{pmatrix} -3 & -4 & 0 & 29 \\ 1 & 1 & 0 & -9 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}$  and  $P^{-1}AP = \begin{pmatrix} 2 & 1 & 0 & 0 \\ 0 & 2 & 1 & 0 \\ 0 & 0 & 2 & 0 \\ 0 & 0 & 0 & 3 \end{pmatrix}$  which is a jordan form.

### 18.2 The field theory

• Let  $F \subset K$  be fields. We say that F is a subfield of K and K is a field extension of F. Let  $\alpha \in K \setminus F$ . could have  $1, \alpha, ...\alpha^{n-1}$  be linearly independent over F and  $\alpha^n = -(b_{n-1}\alpha^{n-1} + ...b_0)$ . with  $b_i \in F$ . then  $\alpha$ is the zero of  $x^n + b_{n-1}x^{n-1} + ... + b_0 \in F[x]$ . Then f(x) is irreducible so F[x]/(f(x)) is a field and a field extension of F.

# 19 March 6

### 19.1 Field theory continued

- F, K fields with  $F \subseteq K$  then K is called a field extension of F. for each  $r \in F$  we have  $rK \subset K$  so multiplication by F gives scalar multiplication of F on K and K can be viewed as an F-vector space.
- Def: the index or degree of F in a field extension K is  $[K : F] = dim_F K$ . (The dimension of K considered as a vector space over F.)
- Prop: Let  $\phi: F \to F'$  be a homomorphism of fields. Either  $\phi$  is 1-1 or  $\phi$  is the trivial homomorphism.

*Proof.* A field F has only the ideals 0 and F, so  $ker(\phi) = 0$  or  $ker(\phi) = F$ . If  $ker(\phi) = 0$  then  $\phi$  is one to one and if  $ker(\phi) = F$  then  $\phi$  is the trivial map.

If we have a 1-1 homomorphism of fields  $\phi: F \to F'$  then  $\phi(F) \subseteq F'$  is an isomorphism. So often we call  $\phi(F)$  F since they are isomorphic.

• Prop. Given F a field  $p(x) \in F[x]$  an irreducible polynomial there is a field extension K of F having a zero of f(x) in it.

Proof. Consider K = F[x]/(p(x)). then K is a field since (p(x)) is a maximal ideal. Define  $\pi : F[x] \to K$ by  $\pi(g(x)) = g(x) + (p(x))$  and consider  $\pi|_F : F \to K$  which is a homomorphism  $F \to K$ . Moreover  $\pi|_F$ is not trivial since  $\pi(1) = 1 + (p(x)) \neq (p(x))$  so  $\pi|_F(1) = 1 + (p(x)) \neq (p(x))$ . So  $\pi|_f$  is not trivial and therefore 1-1 so  $\pi|_F \cong F$  and can view the image as F. So we have  $F \subset K$ . Let  $\bar{x} = x + ((p(x))$ , then  $p(\bar{x}) = p(x) + ((p(x)) = (p(x)) = 0$  in K. So  $\bar{x}$  is a zero of p(x) living in K.

• Theorem: Let F be a field and  $K \subseteq F$  be an extension of F. Suppose that  $\alpha \in K$  is the zero of some polynomial  $f(x) \in F(x)$ . Then there is monic a polynomial  $m_{\alpha,F}(x)$  with minimal degree and  $m_{\alpha,F}(x)$  divides f(x).

Let  $S = \{g(x) : g(\alpha) = 0\}$  then  $deg(g) \in \mathbb{N}$  and since  $\mathbb{N}$  is well ordered it has a smallest element and so  $\{deg(g) : g \in S\}$  also has a smallest element. Let g(x) be a monic polynomial smallest degree (we can attain this by dividing by leading coefficient if g weren't monic). We need to show that g is irreducible. Suppose deg(g) = n.

Suppose g is not irreducible, g(x) = a(x)b(x) then  $1 \le deg(a), deg(b) < deg(g)$ . Then  $g(\alpha) = a(\alpha)b(\alpha) \Rightarrow a(\alpha)$  or  $b(\alpha) = 0$ , but then g was not such a polynomial of least degree, a contradiction, so g was irreducible.

Now we can use the division algorithm to write f(x) = q(x)g(x) + r(x) where r(x) = 0 or deg(r) < deg(g). then

 $0 = f(\alpha) = q(\alpha)g(\alpha 0 + r(\alpha) = r(\alpha)$ 

so r(x) = 0 (again my minimal degree of g. and we have that g(x) divides f(x).

- Def: The monic polynomial of minimal degree with coefficients in F with  $\alpha$  as a zero is called the minimal polynomial of  $\alpha$  over F. this is denoted in different ways  $m_{\alpha,F}(x)$ ,  $irr(\alpha, F)$ , etc...
- Cor:  $F \subseteq K \subseteq E$ ,  $\alpha \in E$  with  $\alpha$  the zero of a minimal polynomial with coefficients in F then  $m_{\alpha,K}(x)|m_{\alpha,F}(x)$ . We have  $m_{\alpha,F}(x) \in F[x] \subseteq K[x]$  and  $m_{\alpha,k}(x) \subset K[x]$  and  $\alpha$  is a zero of  $m_{\alpha,K}(x)$ . Since  $m_{\alpha,K}$  is the min poly for  $\alpha$  with coefficients in K we have  $m_{\alpha,K}(x)|m_{\alpha,F}(x)$ .
- Ex:  $x^2 + 1 \in \mathbb{Q}[x]$ . then *i* is a zero and  $x^2 + 1 = m_{i,\mathbb{Q}}(x)$ . If K = Q(i) then  $m_{i,K}(x) = x i$ .
- Thm: If K = F[x]/((p(x))) with p(x) irreducible over F then  $\theta = x + ((p(x)))$  then  $\theta$  is a zero of p(x) and  $1, \theta, \theta^2, \dots, \theta^{n-1}$  where n = deg(p) is a basis for K over F.

*Proof.* We have already seen that  $\theta$  is a zero of p. Need to show that  $1, \theta, ..., \theta^{n-1}$  spans K and are linearly independent.

Take  $f(x) \in F[x]$  then f(x) + ((p(x)) = r(x) + ((p(x))) where f(x) = q(x)p(x) + r(x) where deg(r) < n. and  $f(\theta) = r(\theta) = a_0 + a_1\theta + \dots + a_{n-1}\theta^{n-1}$ , so the  $\theta_i$  span F[x].

Suppose that  $a_0 + a_1\theta + ... a_{n-1}\theta^{n-1} = 0$  then  $\theta$  is a zero of  $g(x) = a_0 + a_1x + ... + a_{n-1}x^{n-1}$ . but then p(x)|g(x) but this is impossible since deg(p) = n > deg(g) = n - 1. So each  $a_i = 0$  so the  $\theta_i$  are linearly independent.

- Let K = F[x]/((p(x))) and  $\theta = x + p(x)$ . Sometimes we write this as  $K = F(\theta) = \{a_0 + a_1\theta + ... a_{n-1}\theta^{n-1} : a_i \in F\}$ . if we take  $a(\theta), b(\theta) \in K$  then  $a(\theta)b(\theta)$  is a polynomial. If deg(ab) > n then  $ab \equiv r \mod p$  with deg(r) < n.
- Ex  $\mathbb{Z}_2[x]/(x^3+x+1)$ ,  $\theta$  is a zero of  $x^3+x+1$ . Consider  $\theta^2(\theta^2+1) = \theta^4+\theta^2$ . Then  $\theta^3+\theta+1 = 0 \Rightarrow \theta^3 = \theta+1$ . So  $\theta^4 = \theta^2 + \theta$ . then  $\theta^2(\theta^2+1) = \theta^2 + \theta + \theta^2 = \theta$ . Which tells us that remainder of  $x^4 + x^2$  divided by  $x^3 + x + 1$  is x (can confirm with long division).
- ex  $\theta^{-1}$ ?

 $p(x) = b_0 + b_1 x + \dots + x^n$  then

$$0 = p(\theta) = b_0 + b_1\theta + \dots + \theta^n$$
$$-b_0 = \theta(b_1 + b_2\theta + \dots + \theta^{n-1})$$

 $\mathbf{SO}$ 

$$1 = \theta \underbrace{\frac{-1}{b_0}(b_1 + b_2\theta + \dots + \theta^{n-1})}_{\theta^{-1}}$$

Given  $a(\theta)$  with deg(a) < n then to find  $(a(\theta))^{-1}$  use the euclidean algorithm to express  $1 = a(\theta)b(\theta) + p(\theta)c(\theta)$ .

For example the inverse of  $(\theta^2 + \theta + 1)$  from above is  $(\theta^2 + \theta + 1)^{-1} = \theta^2$ .

# 20 March 9

### 20.1 More on Fields

- To construct a field with  $p^n$  elements where p is prime we construct  $\mathbb{Z}_p[x]/(p(x))$  where p(x) is irreducible in  $\mathbb{Z}_p[x]$  of degree n.
- Ex: In  $\mathbb{Z}_2[x] x^3 + x + 1$  is irreducible, so  $\mathbb{Z}_2[x]/(x^3 + x + 1)$  is a field with 8 elements.

 $K = \mathbb{Z}_2[x]/(x^2 + x + 1)$  field with 4 elements. There is no subfield of K isomorphic to L. If  $\theta$  is a root  $x^2 + x + 1$  then we have  $\theta^2 + \theta + 1 = 0 \Rightarrow \theta^2 = \theta + 1$ . We can produce the following multiplication table.

•	0	1	$\theta$	$\theta + 1$
0	0	0	0	0
1	0	1	$\theta$	$\theta + 1$
$\theta$	0	$\theta$	$\theta + 1$	1
$\theta + 1$	0	$\begin{array}{c} 0 \\ 1 \\ \theta \\ \theta + 1 \end{array}$	1	$\theta$

• if  $F \subset K$  is a field extension  $A = \{a_i\}, A \subset K$  the subfield of K generated by F and A is F(A) - the smallest subfield of K containing F and A. If  $A \in \{\alpha_1, ..., \alpha_s\}$  then  $F(A) = F(\alpha_1, ..., \alpha_s)$ . IN this case we call F(A) a finitely generated subfield of K (does not imply finite basis).

Ex:  $F = \mathbb{Q}$   $K = \mathbb{C}$  and  $A = \{e\}$ . Since *e* is not algebraic, *e* is not the zero of any polynomial with coefficients in *Q*. The smallest subfield of  $\mathbb{C}$  containing  $\mathbb{Q}$  and *e* will be  $\mathbb{Q}(e) = \{\frac{p(e)}{q(e)} : p, q \in \mathbb{Q}[x], q \neq 0\} \cong \mathbb{Q}(x)$ .

• Def: A simple extension of F is of the form  $F(\alpha)$ .

• 
$$F \subseteq K \cong \frac{F[x]}{m_{\alpha,F}(x)} := F(\alpha) = \{b_0 + b_1\alpha + \dots + b_{n-1}\alpha^{n-1}\}.$$

• Isomorphism extension Theorem: Let F, F' be fileds and  $\phi : F \to F'$  be an isomorphism. Suppose  $p(x) = a_0 + a_1 x + \dots + a_{n-1} x^{n-1} + x^n$  is irreducible with  $a_i inF$  and  $p'(x) = \phi(a_0) + \phi(a_1) x + \dots + \phi(a_{n-1}) x^{n-1} + x^n$  is irreducible in F'[x] then there is an isomorphism  $\Phi : F(\alpha) \to F(\beta)$  where  $\alpha$  is a root of p(x) and  $\beta$  is a root of p'(x) such that  $\Phi|_F = \phi$ .

$$F(\alpha) \xrightarrow{\Phi} F'(\beta)$$

$$\downarrow \qquad \qquad \downarrow \qquad \qquad \downarrow$$

$$F \xrightarrow{\phi} F'$$

Proof.  $\phi$  extends to an isomorphism  $\psi : F[x] \to F'[x]$  by  $\psi(c_0 + c_1x + ... + c_mx^m) = \phi(c_0) + \phi(c_1)x + ... + \phi(c_m)x^m$ . Clearly we alve  $\psi(g(x) + h(x)) = \psi(g(x)) + \psi(h(x))$  and  $\psi(g(x)h(x)) = \psi(g(x))\psi(h(x))$  and  $\psi$  is a bijection. Now let  $\Phi : F[x]/(p(x)) \to F'[x]/(p(x))$  since we have  $\psi(p(x)) = p'(x)$ . then  $\tilde{\psi} : F[x] \to \frac{F'[x]}{(p(x))}$  with  $ker(\tilde{\psi}) = (p(x))$  so by the first isomorphism theorem  $F(\alpha) \cong F[x]/(p(x)) \cong F'[x]/(p'(x)) \cong F'(\beta)$ .

- example:  $\mathbb{Q}(\sqrt[3]{2} \cong \mathbb{Q}[x]/(x^3 2))$ . Then  $\sqrt[3]{2}$  is a zero of  $x^3 2 = 0$ . Other roots of this are  $\sqrt[3]{2}\zeta_3^i$  where  $\zeta_3$  is third root of unity i = 1, 2. We have  $\mathbb{Q}(\sqrt[3]{2}\zeta_3) \cong \mathbb{Q}[x]/(x^3 2)$  but these fields are not equal.
- We say a field extension K of F if for every  $\alpha \in K$  there is a polynomial  $f \in F[x]$  with  $f(\alpha) = 0$ .
- example of extension where you only get 1 zero:  $K = \mathbb{Z}_2(t), Z_2(t^4) = F$ . then  $x^4 t^4$  is irreducible but  $x^4 t^4 = (x t)^4 \in K[x]$  so the only root is t.
- Prop: If  $\alpha$  is algebraic then  $F(\alpha) \cong F[x]/(m_{\alpha,F}(x))$  and  $[F(\alpha):F] = deg(m_{\alpha,F}(x))$ .

*Proof.*  $1, \alpha, ..., \alpha^{n-1}$  is a basis for  $F(\alpha)$  then  $1, \alpha, ..., \alpha^n$  are linearly dependent. so  $\alpha^n + a_{n-1}\alpha^{n-1} + ... + a_0 = 0$  is the minimum polynomial is the minimum polynomial for  $\alpha, F$ .

• Prop: Every finite extension of a field F is an algebraic extension.

*Proof.* Let [K:F] = n be finite. Take  $\alpha \in K$  then  $1, \alpha, ..., \alpha^n$  must be linearly dependent so there are  $a_i$  with so  $\alpha^n + a_{n-1}\alpha^{n-1} + ... + a_0 = 0$  and  $\alpha$  is a root of a polynomial in F[x].

• Note: Algebraic extensions are not always finite:  $A = \{\sqrt{p} : p \text{ prime}\}$  then  $\mathbb{Q}(A)$  is algebraic but not finite.

Ex:  $\sqrt{2} + \sqrt{3} \in \mathbb{Q}(A)$ . then can write

.

$$\alpha = \sqrt{2} + \sqrt{3} \Rightarrow$$
$$\alpha - \sqrt{2} = \sqrt{3} \Rightarrow \alpha^2 - 2\alpha\sqrt{2} + 2 = 3 \Rightarrow$$
$$\alpha^2 - 1 = 2\alpha\sqrt{2} \Rightarrow \alpha^4 - 10\alpha^2 + 1 = 0$$

• next time: Thm: if  $F \subseteq K \subseteq L$  with  $[L:K] < \infty$  and  $[K:F] < \infty$  then  $[L:F] = [L:K][K:F] < \infty$ .

# 21 March 11

### 21.1 Tower theorem, degrees of field extensions

- Homework: 13.1 2,6,7, 13.2 4,7,9,14
- Thm: if  $F \subseteq K \subseteq L$  with  $[L:K] < \infty$  and  $[K:F] < \infty$  then  $[L:F] = [L:K][K:F] < \infty$ .

*Proof.* Suppose n = [L : K], m = [K : F]. Then there are  $\alpha_1, ..., \alpha_n$  that form a basis for L over K and there are  $\beta_1, ..., \beta_m$  which form a basis over F.

Claim:  $\{\alpha_i\beta_j\}_{ij\in\{1,\dots,n\}}$  form a basis of L over F. Pick  $a \in L$  then  $a = \sum_{i=1}^{m} a_i \alpha_i$  with  $a_i \in K$  then  $a_i = \sum_{j=1}^{m} b_{ij}\beta_j$  with  $b_{ij} \in F$  then

$$a = \sum_{i=1}^{n} \left(\sum_{j=1}^{m} b_{ij}\beta_{j}\right)\alpha_{i} = \sum_{i=1}^{n} \sum_{j=1}^{m} b_{ij}\beta_{j}\alpha_{i} =$$
$$\sum_{i=1}^{n} \sum_{j=1}^{m} b_{ij}\alpha_{i}\beta_{j}$$

so the  $\alpha_i, \beta_j$  span L over F. So need to show  $\alpha_i\beta_j$  are linearly independent. if  $\sum b_{ij}\alpha_i\beta_j = 0$  then  $\sum_{i=1}^{n} (\sum_{j=1}^{m} b_{ij}\beta_j)\alpha_i =$ but then each  $\sum_{j=1}^{m} b_{ij}\beta_j = 0$  since  $\alpha_i$  are linearly indep. but then  $b_{ij} = 0$  since  $\beta_j$  are linearly indep. Now there are mn products in  $\{\alpha_i\beta_j\}$  and the result follows.

- K is a finite extension of F if and only if K is generated by finitely many algebraic elements. If  $K = F(\alpha_1, ..., \alpha_s)$  with  $degm_{\alpha_i, F}(x) = n_i$  then  $[K : F] \leq n_1 n_2 \cdots n_s$ .

Proof. if  $[K:F] = n < \infty$  then there is a basis  $\alpha_1, ..., \alpha_n$  with  $\alpha_i$  algebraic.  $\alpha_i$  are the zero of polynomials of degree  $\leq n$ . so  $K = F(\alpha_1, ..., \alpha_n)$ .  $(\Rightarrow) K = F(\alpha_1, ..., \alpha_n)$  with  $\alpha_i$  algebraic. Then  $F[\alpha_i) : F] = n_i$  then  $[K:F(\alpha_1, ..., \alpha_{s-1})][F(\alpha_1, ..., \alpha_{s-1}) : F(\alpha_1, ..., \alpha_{s-2})] \cdots [F(\alpha_1) : F]$ . If  $K_i = F(\alpha_1, ..., \alpha_i$  then  $K_s = K$  and since  $m_{\alpha_i, K_{i-1}}(x)$  divides  $m_{\alpha_i, F(x)}$  so  $[K_i : K_{i-1}] \leq [F(\alpha_i) : F]$  so  $[K:F] = [K_s : K_{s-1}]...[K_1:F] \leq n_s n_{s-1}...n_1$ .

- example where degree is less. Take  $\mathbb{Q} = F$ ,  $\alpha_1 = \sqrt[3]{2}$ ,  $\alpha_2 = \sqrt[3]{2}\zeta_3$ . Then  $[\mathbb{Q}(\alpha_1) : \mathbb{Q}] = [\mathbb{Q}(\alpha_2 : \mathbb{Q}] = 3$  but  $[\mathbb{Q}(\alpha_1, \alpha_2) : \mathbb{Q}] = 6 \le 9$  since a basis for  $\mathbb{Q}(\alpha_1, \alpha_2)/\mathbb{Q}$  is  $1, \sqrt[3]{2}, \sqrt[3]{4}, \zeta_3, \sqrt[3]{2}\zeta_3, \sqrt[3]{2}\zeta_3$ .
- Prop: If  $\alpha$ , bet $a \in K$  algebraic over F then  $\alpha \pm \beta$ ,  $\alpha\beta$ ,  $\alpha/\beta$  are all algebraic over F.

*Proof.*  $\alpha, \beta$  are algebraic then  $F(\alpha, \beta)$  is algebraic over  $F, \alpha \pm \beta, \alpha\beta, \alpha/\beta \in F(\alpha, \beta)$  so are algebric over F.

• Prop: IF  $F \subseteq K \subseteq L$  and K is algebraic over F and L is algebraic over K then L is algebraic over F.

Proof. Take  $\alpha \in L$ . Since L is algebraic over K there is a polynomial  $p(x) = a_0 + a_1 x + ... a_n x^n \in K[x]$  with  $p(\alpha) = 0$ . Since  $a_0, a_1, ..., a_n$  in K and K algebraic over F then  $[F(a_0, ..., a_n : F] < \infty$  so we have produced a finite extension then  $[F(a_0, ..., a_n, \alpha) : F(a_0, ..., a_n] \leq n$ . Then  $[F(a_0, ..., a_n, \alpha) : F] = [F(a_0, ..., a_n, \alpha) : F(a_0, ..., a_n, \alpha)$ 

• Suppose  $K_1$  and  $K_2$  are fields. The composite field  $K_1K_2$  is the smallest field containing  $K_1$  and  $K_2$ . If  $\{K_i\}_{i\in I}$  are fields then  $\prod_{i\in I} K_i$  (finite sums of finite products) is the smallest field containing each  $K_i$ .

• Prop: Let  $K_1$ ,  $K_2$  be field extensions of F with  $[K_1:F] < \infty$  and  $[K_2:F] < \infty$  then  $[K_1K_2:F] \le [K_1:F][K_2:F]$ .

*Proof.*  $[K_1K_2:F] = [K_1K_2:K_1][K_1:F] = [K_1K_2:K_2][K_2:F]$ . but  $[K_1K_2:K_1] \le [K_2:F]$  and  $[K_1K_2:K_2 \le [K_1:F]$  so we have  $[K_1K_2:F] \le [K_1:F][K_2:F]$ 

### 21.2 splitting fields

- def: a polynomial  $f(x) \in F[x]$  splits in K if  $F(x) = (x \alpha_1) \cdots (x \alpha_n) \in K[x]$  with  $\alpha_i \in K$  (not necessarily distinct).
- Def: A field E (an extension of F) is a splitting field of  $f(x) \in F[x]$  over F if E is the smallest field where f splits. Similarly given  $\{f_i\}_{i \in I}$  a collection of polynomials we say E is the splitting field of  $\{f_i\}$  if E is the smallest field where all  $f_i$  split.
- Example:  $f(x) = x^3 2 \in \mathbb{Q}[x]$  The splitting field of f over  $\mathbb{Q}$  is  $\mathbb{Q}(\sqrt[3]{2}, \zeta_3) = \mathbb{Q}(\sqrt[3]{2}, i\sqrt{3})$ .
- Example  $x^4 + 4 = (x^2 + 2x + 2)(x^2 2x + 2)$  has roots  $\pm 1 \pm i$  so the splitting field is  $\mathbb{Q}(i)$
- Theorem: Splitting fields exist.

Proof. Suppose that  $f \in F[x]$  is a degree *n* polynomial. If n = 1 then *f* is linear so its roots are in *F*, so *f* splits over E = F. Now suppose n > 1 if *f* factors into linear polynomials in F[x] then done. Otherwise there is an irreducible factor, p(x) of degree  $\geq 2$ . Then  $f(x)/p(x) \cong F(\alpha)$  with  $\alpha$  a root of p(x) then  $f(x) = (x - \alpha)f_1(x) \in F(\alpha)[x]$  so  $f_1(x) \in F(\alpha)[x]$  with degree  $f_1 < 1$  so by induction there is *E* such that  $f_1$  factors into linear polynomials in E[x]. then  $K = \cap E$  over all *E* such that *f* factors into linear polynomials in E[x]. then K is the smallest field over which *f* splits and *K* is the desired splitting field.

# 22 March 13

### 22.1 more on field extensions

- A field extension K of F is normal if K is the splitting field of a collection of polynomials  $f_i(x) \in F(x)$ .
- Prop: If K is a splitting field for a degree n polynomial  $f \in F[x]$  then  $[K:F] \leq n!$ .

*Proof.* Take  $\alpha \in K$  then  $[F(\alpha) : F] \leq n$  where  $\alpha$  is a root of f(x). Let  $f(x) = (x - \alpha)f_1$ ,  $deg(f_1) < n$  then by induction  $[K : F(\alpha)] \leq (n - 1)!$  so  $[K : F] = [K : F(\alpha)][F(\alpha) : F] \leq (n - 1)!n = n!$ .

• A cyclotomic field extension is one of the form  $F(\zeta_n)$  where  $\zeta_n$  is a primitive *n*th root of unity over *F*. If  $F = \mathbb{Q}$  then  $[\mathbb{Q}(\zeta_n) : \mathbb{Q}] = \phi(n)$  where  $\phi(n)$  is the Euler- $\phi$  function i.e the number of integers *k* such that  $1 \le k \le n$  which are relatively prime to *n*.

 $F(\zeta_n)$  comes down to factoring  $x^n - 1$  over F. we have

$$x^{n} - 1 = (x - \zeta_{n})(x - \zeta_{n}^{2}) \cdots (x - \zeta_{n}^{n}) = (x - 1)(x - \zeta_{n}) \cdots (x - \zeta_{n}^{n-1})$$

For example over  $\mathbb{Q}$  we have  $x^6 - 1 = (x - 1)(x - \zeta_6) \cdots (x - \zeta_6^5)$  but these have relationships for example  $\zeta_6^2 = \zeta_3, \ \zeta_6^3 = -1$ . So the irreducible poly is  $\Phi_n = \prod_{(a,n)=1,1 \le a < n} (x - \zeta_n^a)$ 

Example: Consider  $x^2 + 1 \in \mathbb{Z}_3[x]$  this is irreducible. If  $\alpha$  is a root of then we have  $\alpha^2 = -1$ , and  $\alpha^{-1} = -\alpha$ , but  $\alpha$  is not *i* since *i* lives in the complex plane and but  $\mathbb{Z}_3$  does not.

Example:  $f(x) = x^p - 2$  (similar for  $x^p - q$  where q is not a p-th power). f can be factored as

$$f(x) = (x - \sqrt[p]{2})(x - \sqrt[p]{2}\zeta_p) \cdots (x - \sqrt[p]{2}\zeta_p^{p-1})$$

so the splitting field over  $\mathbb{Q}$  is  $\mathbb{Q}[\sqrt[2]{p}, \zeta_p)$  and  $[\mathbb{Q}(\sqrt[p]{2}) : \mathbb{Q}] = p(p-1)$ . Then the irreducible poly  $\Phi_p(x) = \prod_{1 \le a < p} (x - \zeta_p^a) = x^{p-1} + x^{p-2} + \ldots + 1$ . With  $\zeta_p \in \mathbb{Q}(\sqrt[p]{2})$ .

• Isomorphism extension theorem for splitting fields: Suppose F, F' are fields and  $\phi: F \to F'$  is a isomorphism. If  $f(x) = \sum_{i=0}^{n} a_i x^i \in F[x]$  and  $f'(x) = \sum_{i=0}^{n} \phi(a_i) x^i$  then there is an extension of  $\phi$  to the splitting fields of f, f'.

$$\begin{array}{ccc} K & \stackrel{\Phi}{\longrightarrow} & K' \\ & & & \downarrow \\ F & \stackrel{\phi}{\longrightarrow} & F' \end{array}$$

with  $\Phi|_F = \phi$ .

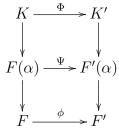
*Proof.* By the isomorphism extension theorem there is  $\psi : F(\alpha) \to F'(\beta)$  such that  $\Psi|_F = \phi$ . Where  $\alpha$  is a root of f and  $\beta$  is a corresponding root of f'(x).

$$F(\alpha) \xrightarrow{\Phi} F'(\alpha)$$

$$\downarrow \qquad \qquad \downarrow \qquad \qquad \downarrow$$

$$F \xrightarrow{\phi} F'$$

Since  $f(X) = (x - \alpha)f_1(x)$  and  $f'(x) = (x - \beta)f'_1(x)$ ,  $f_1$  and  $f'_1$  are degree n - 1 polynomials so by induction there is an extension  $\Phi$  of  $\Psi$  to K,



since  $\Phi|_{F(\alpha)} = \Psi$  and  $\Psi|_F = \phi$  we have  $\Phi|_F = \phi$ . so  $\Phi$  is an isomorphism extension of  $\phi$ .

• cor: If K and K' are splitting fields of f over F then  $K \cong K'$ 

*Proof.* Apply isomorphism extension theorem of splitting field to the identity



- Example: Splitting field of  $x^3 + x + 1$  over  $\mathbb{Z}_2$ . is  $\mathbb{Z}_2(\alpha)$  where  $\alpha$  is a root (can check by division algorithm).
- Def: Given field F the algebraic closure of F is the field  $\overline{F}$  so that every polynomial  $f(x) \in F[x]$  factors into linear factors in  $\overline{F}[x]$  and  $\overline{F}$  is an algebraic extension.
- Ex  $\mathbb{C}$  is not the algebraic closure closure over  $\mathbb{C}$  since  $\pi \in \mathbb{C}$  is not algebraic over  $\mathbb{Q}$  so  $\mathbb{C}$  is not an algebraic extension. But  $\mathbb{C}$  is an algebraic extension of  $\mathbb{R}$  and hence the algebraic closure.
- Def: we say a field K is algebraically closed if each  $f(x) \in K[x]$  factors into linear factors.
- Prop: the algebraic closure of F is algebraically closed.

*Proof.*  $\overline{F}$  is the algebraic closure of F.  $f(x) \in \overline{F}[x]$ ,  $\alpha$  is a root of f(x) then  $\overline{F}(\alpha)$  an algebraic extension over  $\overline{F}$  but  $\overline{F}$  is algebraic over F so  $\overline{F}(\alpha)$  is algebraic over F so  $\alpha$  is algebraic over F,  $\alpha \in \overline{F}$ ,  $\overline{F} = \overline{F}(\alpha)$ , so  $\overline{F}$  is algebraically closed.