

## Algebraic number theory

- (20) Integral dependence & dimension
- (21) Noetherian, Dedekind and discrete val. rings
- (22) Ramification
- (23) Number fields I: class number
- (24) Number fields II: units
- (25) Number fields III: discriminant
- (26) Quadratic fields again
- (27) Cyclotomic fields again
- (28) Abelian extensions: class field theory
- (29) Riemann and Dedekind  $\zeta$ -fns
- (30) Dirichlet  $L$ -functions
- (31) Local fields
- (32) Adeles & ideles, Hecke  $L$ -functions

## Key words in dio eqns

$f \in \mathbb{Z}[x_1, \dots, x_n]$ ,  $\deg f = d$

$X(\mathbb{Q}) = \{ \alpha \in \mathbb{Q}^n \mid f(\alpha) = 0 \}$ ,  $N_0 = |X(\mathbb{Q})|$  ;  $X(\mathbb{C})$

$X(\mathbb{F}_p) = \{ \alpha \in \mathbb{F}_p^n \mid f(\alpha) = 0 \}$ ,  $N_p = |X(\mathbb{F}_p)|$

Pblm 1 Understand  $N_0$ ; more generally  $X(\mathbb{Q})$  - finiteness properties

Pblm 2 Understand  $N_p$ , especially variation with  $p$ .

Pblm 3 Understand relation bet  $N_0$  &  $N_p$ 's (Hasse-Mink  
Birch & S-D  
(Swinnerton-Dyer))

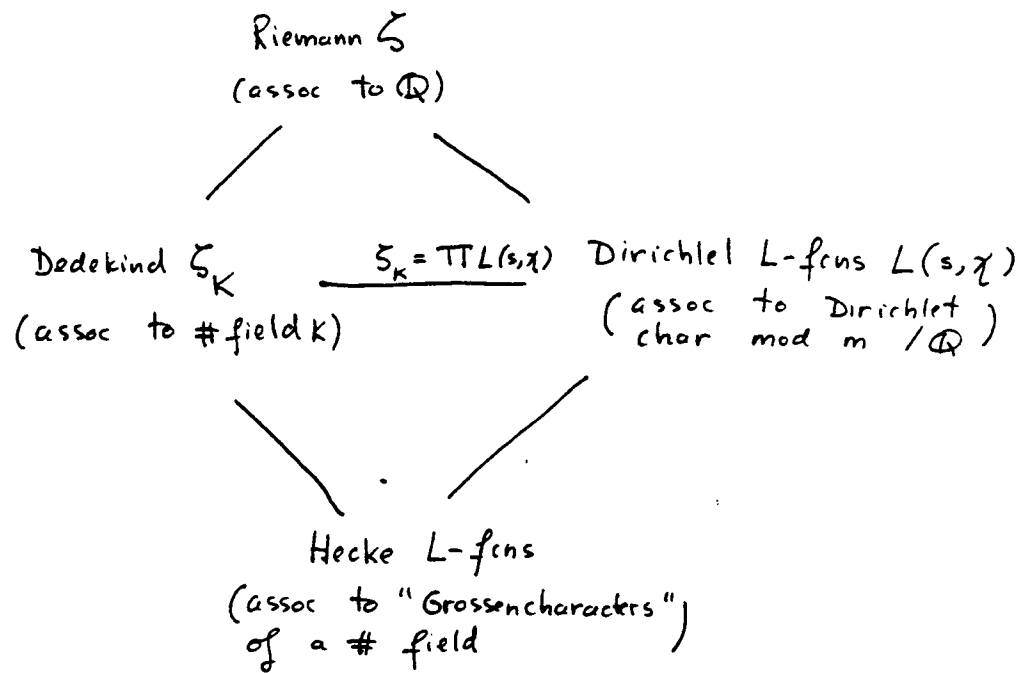
①

| $n \backslash d$ | 1 | 2                         | 3                                          | 4                                                                                            | .... |
|------------------|---|---------------------------|--------------------------------------------|----------------------------------------------------------------------------------------------|------|
| 1                | T | T                         | T                                          | T                                                                                            |      |
| 2                | T | quadr rec & Legendre Th   | Mordell Weil<br>But when is $N_0 < \infty$ | Mordell conj / Faltings th:<br>$N_0 < \infty$ unless reduced to smaller $d$<br>But $N_0 = ?$ |      |
| 3                | T | quadr rec &               |                                            |                                                                                              |      |
| 4                | T | Hasse-Minkowski principle |                                            |                                                                                              |      |
| ...              |   |                           |                                            |                                                                                              |      |

②

| $n \backslash d$ | 1 | 2                                                    | 3                                                                                                                                                | 4 | .... |
|------------------|---|------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------|---|------|
| 1                | T | quadr rec<br>$N_p = 2 \iff p \in \text{Varith prog}$ | class field theory, Dirichlet L-funcs<br>(e.g. $N_p = d$ for $\infty$ many $p$ 's & $s$ in "ab case"<br>for $p \in \text{Varith prog}$ )         |   |      |
| 2                | T |                                                      | Riemann hypothesis for funct fields (Hasse-Weil)<br>[ $N_p = p + O(\sqrt{p})$ ] But $N_p = ? \implies$ Hasse-Weil L-fun<br>$\implies$ Gauss sums |   |      |
| 3                | T |                                                      |                                                                                                                                                  |   |      |
| 4                | T |                                                      |                                                                                                                                                  |   |      |
| 5                | T |                                                      |                                                                                                                                                  |   |      |

Chevalley's theorem + homogenizing  
( $p$ -divisibility properties of  $N_p$ )  
[e.g.  $d < n \implies p \mid N_p$ ]  
But  $N_p = ? \implies$  Hasse-Weil L-funcs



$$\int g A dg = \int f g p/A$$

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$$B = \mathbb{C}[x]$$

also say

$B$  finite/A  
if  $\int g A dg$

## Integral. dependence and dimension

**Def.**  $A \subset B$  finite set of rings if  $B$  is f.g.  $\Rightarrow A$ -mod. **Def.**  $A \subset B$  rings. An elt  $x \in B$  is called integral/A if  $\exists a_i \in A$  s.t.  $x^n + a_1 x^{n-1} + \dots + a_n = 0$ .  
**Key Lemma.**  $M$  a finitely  $A$ -mod,  $a \in A$  an ideal,  $\varphi: M \rightarrow M$  homo with  $\varphi(M) \subset aM$ .  
Then  $\exists a_i \in a$  s.t.  $\varphi^n + a_1 \varphi^{n-1} + \dots + a_n I = 0$  in  $\text{End}(M)$ .

**Pf.** Let  $x_1, \dots, x_n$  gen of  $M$ ,  $\varphi(x_i) = \sum a_{ij} x_j$ ,  $a_{ij} \in a$  so  $\sum (\delta_{ij} \varphi - a_{ij}) x_j = 0$ .  
Let  $u_{ki} \in \text{End}(M)$  s.t.  $(u_{ki})$  is the adj of  $(\delta_{ij} \varphi - a_{ij})$  [ $u_{ki}$  is a poly in  $\varphi$  with coeff in  $A$ ]. We get  $\sum u_{ki} \varphi x_j = 0$ . But  $\sum u_{ki} \varphi x_j = \delta_{kj} d$  where  $d = \det(\delta_{ij} \varphi - a_{ij})$ .  
So  $d \cdot 1 = 0$  so  $d(M) = 0$ . Now we expand  $d$ .

**Cor.** (Nakayama's lemma). If  $A$  is local and  $M$  is finitely  $A$ -mod s.t.  $aM = M$ . Then  $M = 0$ .

**Pf.** Apply Key L. to  $\varphi = 1_M$  and note that  $1 + a_1 + \dots + a_n \in A^*$ .  
**Prop.**  $A \subset B \ni x$ . The foll are equiv:  
1)  $x$  integral/A  
2)  $A[x]$  is a f.g.  $A$ -module  
3)  $A[x] \subset C =$  subring of  $B$ , f.g. as an  $A$ -module.  
4)  $\exists$  faithful  $A[x]$ -module  $M$  which is fin gen as an  $A$ -module.  
To prove 4)  $\Rightarrow$  1) take  $\varphi =$  mult by  $x$  in  $M$ .  
**Cor.**  $A \subset B$ ,  $x_i \in B$  integral/A ( $1 \leq i \leq n$ ). Then  $A[x_1, \dots, x_n]$  is a f.g.  $A$ -module.  
**Cor.**  $A \subset B$ . The set  $C$  of integral elts of  $B$  over  $A$  is a subring of  $B$  [Pf. ...].  
**Cor.**  $A \subset B$  integral,  $B \subset C$  integral  $\Rightarrow A \subset C$  integral.  
**Def.** A domain  $A$  is called integrally closed if  $(\forall x \in \mathbb{Q}(A), x \text{ integral/A} \Rightarrow x \in A)$ .  
**Cor.**  $A$  a domain. Then the ring  $A$  of elts of  $\mathbb{Q}(A)$  which are integral/A is integrally closed (and called integr. closure of  $A$ ).  
**Def.**  $C$  in  $B$  is called the integr. closure of  $A$  in  $B$  (by any  $x \in B$  integral/A belongs to  $C$ ).  
**Prop.**  $A$  fact  $\Rightarrow A$  int. closed. Pf. ...  
**Prop.** Let  $A \subset B$  integral. Then  
1) If  $b \in B$  ideal  $\Rightarrow A/bA \subset B/bB$  integral.  
2) If  $S \subset A$  mult. closed  $\Rightarrow S^{-1}A \subset S^{-1}B$  integral.  
**Pf.** 1) clear; 2) if  $x \in B$ ,  $x^n + a_1 x^{n-1} + \dots + a_n = 0$ . For  $s \in S \Rightarrow (x/s)^n + (a_1/s)(x/s)^{n-1} + \dots + a_n/s^n = 0$ .  
**Prop.** Let  $A \subset B$  integral, both domains. Then  $A$  field  $\Leftrightarrow B$  field.  
**Pf.**  $\Rightarrow$  if  $x \in B$ ,  $x^n + a_1 x^{n-1} + \dots + a_n = 0 \Rightarrow x(x^{n-1} + \dots + a_n) = -a_n$ . Let  $x \in A \Rightarrow x^{-1} \in B$  integral/A  $\Rightarrow x^{-1} \in A$ .  
**Def.** Prime field of  $A$  at a prime  $\mathfrak{p}$  is  $\mathbb{Q}(A_{\mathfrak{p}})$ .  
**Cor.** Let  $A \subset B$  integral. Then  
1) If  $\mathfrak{q} \in B$  prime s.t.  $\mathfrak{q} \cap A = \mathfrak{p}$  then  $\mathfrak{q} \cap A = \mathfrak{p}$ .  
2) If  $\mathfrak{q} \in B$  prime then  $\mathfrak{q} \cap A = \mathfrak{p}$  max  $\Leftrightarrow \mathfrak{q} \cap A = \mathfrak{p}$ .  
3)  $\forall \mathfrak{p} \in A$  prime  $\exists \mathfrak{q} \in B$  prime s.t.  $\mathfrak{q} \cap A = \mathfrak{p}$ .  
**Cor.**  $A$  is a UFD  $\Leftrightarrow A$  is integrally closed and  $\dim A = \dim A_{\mathfrak{p}}$  for all  $\mathfrak{p}$ .  
**Cor.**  $B$ -module  $M$  is faithful if  $bM = 0 \Rightarrow b = 0$ . In other words  $B \rightarrow \text{End}(M)$  is injective.  
**Cor.**  $A[x] = \{ \sum a_i x^i \mid a_i \in A \}$ . More generally  $A[x_1, \dots, x_n] = \{ \sum a_i x_1^{i_1} \dots x_n^{i_n} \mid a_i \in A \}$ .  
**Cor.**  $\text{End}(M) = \text{Hom}(M, M)$ ; it is a non-comm ring. But the poly in  $\varphi$  with coeff in  $A$  form a comm ring  $R$  so theory of determ (assumed known) extends to comm rings from undergrad which applies to  $R$ .

For 2)  $A/qnA \rightarrow B/q$  integral.....

For 3).  $A_p \rightarrow B_p \exists 1 \neq 0$  & take  $m \in B_p$  max. Then  $m \cap A_p = pA_p$  hence  $m \cap A = p$ .

Prop  $A \subset B$  rings,  $C =$  integral cls of  $A$  in  $B$  &  $S \subset A$  mult. reg. Then  $S^{-1}C =$  int. cls of  $S^{-1}A$  in  $S^{-1}B$ . (15) where

Def. And b/s int /  $S^{-1}A$  or  $(b/s)^n + (a_1/s_1)(b/s)^{n-1} + \dots + a_n/s_n = 0$ . Mult by  $\sqrt[n]{t = s_1 \dots s_n}$  where

5) Prop. Let  $A$  be an integral domain. Then  $A$  is integrally closed iff  $A_p$  is so for all prime  $p$ , iff  $A_m$  is so for any max  $m$ .

$A_m$  is so for any max  $m$ .  
~~Proposition 1.1. Let  $C$  be a chain of finite length. If  $C = \text{int cl of } A$  &  $f: A \rightarrow C$ . A int~~  
 $\text{cl} \Leftrightarrow f_m: A_m \rightarrow C_m \text{ bij} \Leftrightarrow f_m: A_m \rightarrow C_m \text{ bij}$  ( $C_m = \text{int cl of } A_m$  so good).  
 chain of length  $n$

Def Let  $A$  be any ring. By  $\dim A$  we understand the max n s.t  $\exists$  primes  $P_0 \subsetneq P_1 \subsetneq \dots \subsetneq P_n$ .

(Ex)  $\dim(\text{field}) = 0$  ;  $\dim \mathbb{Z} = 1$ ,  $\dim k[x, y, z] \geq 3$  (we will see  $= 3$ ) for we have  $0 \subset (x) \subset (x, y) \subset (x, y, z)$ .

(Prop)  $A \subset B$  integral  $\Rightarrow \dim A = \dim B$ .

2) Prop  $A \subset B$  integral  $\Rightarrow \dim A = \dim B$ .  
 pf. Any chain  $B \supseteq q_0 \subsetneq \dots \subsetneq q_m \Rightarrow q_0 \cap A \subsetneq \dots \subsetneq q_m \cap A$  so  $\dim A \geq \dim B$ . ( $m = \dim B$ )

Now let  $p_0 \in \mathfrak{p}_1 \subset \dots \subset \mathfrak{p}_n \subset A$  ( $n = \dim A$ ). We lift it inductively. Assume  $\dots \subset \mathfrak{p}_i \subset \mathfrak{p}_{i+1}$ .

Since  $A/p_i \rightarrow B/q_i$  intgr  $\exists$  prime in  $B/q_i$  over  $p_{i+1}/p_i \Rightarrow$  g.e.d.  $\dots \rightarrow q_i$

3) (Th (Noether normalis))  $k$  an infinite field,  $A$  a f.g.  $k$ -alg.  $\exists y_1, \dots, y_d \in A$  alg ind/ $k$  s.t.  $A$  integral /  $k[y_1, \dots, y_d]$  (holds also for  $k$  finite but diff arg!)

not needed

Pf. Induction on min number of gen. Ass O.K for  $\leq n-1$  &  $A = k[x_1, \dots, x_n]$ . If  $x_1, \dots, x_n$  alg ind/k, C.K. If not (after renumbering  $x_i$ )  $x_n$  alg /  $k[x_1, \dots, x_{n-1}]$ ,  $f(x_1, \dots, x_{n-1}; x_n) = 0$

Let  $F$  be the form of highest degree in  $f$  and put  $x_i = x'_i + \lambda_i x_m$  where  $F(x_1, \dots, x_m, 1) \neq 0$   
 $\Leftrightarrow x_m$  integral  $/ k[x'_1, \dots, x'_m]$  & apply induction.  $\bigcirc \bigcirc F_{x_m} \text{ form, } k \text{ infinite} \Rightarrow F \text{ does not van. on } \hat{\mathbb{A}}^m$

7. (Prop) If in the stat above  $A = k[x_1, \dots, x_m]/(f)$ ,  $f \neq 0$  then we can take  $d = m-1$ .

pf. Let  $F$  be the form of highest degree in  $f$ , put  $x_i = x'_i + \lambda_i x_n$  ( $i < n$ ) &  $x_n = x'_n$  as...

So  $\bar{x}_n \in A$  integral /  $k[\bar{x}_1, \dots, \bar{x}_{n-1}]$ . Now  $\bar{x}_1, \dots, \bar{x}_{n-1}$  alg ind /  $k$  [if  $h(\bar{x}_1, \dots, \bar{x}_{n-1}) = 0 \Rightarrow h = f \cdot g$  in  $k[\bar{x}_1, \dots, \bar{x}_n]$  so  $\exists$  for  $\deg_{\bar{x}_n} h = 0$ ,  $\deg_{\bar{x}_n}(fg) \geq e!$ ].

8) (P704)  $\dim k[x_1, \dots, x_n] = n$

Pf. Ind on  $n$ . Let  $d = \dim_k[x_1, \dots, x_n]$ ,  $0 = p_0 < p_1 < \dots < p_d$ ,  $f \in p_1 \Rightarrow f = \pi$  previous.  
~~replace~~ replace  $f$  by a prime factor as  $f$  prime;  $(f) \subset p_1 \Rightarrow p_1 = (f)$ . We have

then  $\alpha[x_1, \dots, x_n]/p_1 = n-1$  so the chain  $\frac{p_1}{p_1} \subset \dots \subset \frac{p_1}{p_1}$  has length  $\leq n-1$

So  $d-1 \leq n-1$  or  $d \leq n$ . On the other hand clearly  $d \geq n$  [  $c \in (x_1) \subset (x_1, x_2) \subset \dots \subset (x_1, \dots, x_n)$  ]

(Th). Let  $A$  be a domain  $f: \mathcal{F}/k$ . Then  $\dim A = \text{tr. deg } \mathcal{Q}(A)/k$ .

$$Pf \text{ (3) + (8)}$$
[illegible]

⑤ If  $A \xrightarrow{u} B$  and  $p \in A$  prime we put  $B_p := S^{-1}B$  where  $S = \{A \setminus \{p\}\}$ .

(T<sub>4</sub>) (Hilbert's Nullstellensatz)  $k$  alg. closed field,  $a \notin k[x_1, \dots, x_n]$  ideal  $\Rightarrow \exists \alpha \in k^n, f(\alpha) = 0 \nmid f \in a$

pf. May ass  $a = m \max$ . Set  $K = k(x_1, \dots, x_4)/m$ . Noether normalis  $\Rightarrow K$  integral /  $k(z_1, \dots, z_3) = x$

(21) Dedekind rings  
Noetherian rings ~~and valuation rings~~

**Def** An  $A$ -module  $M$  is called Noetherian if  $\uparrow$  ascending chain of submod is stationary.  
 $(M_1 \subseteq M_2 \subseteq \dots \Rightarrow \exists i \text{ s.t. } M_i = M_j \ \forall j > i)$ . A ring is called Noeth if...

**Prop**  $M$  is Noeth iff any submod is fin gen [Pf: ...]

**Prop**  $0 \rightarrow M' \rightarrow M \rightarrow M'' \rightarrow 0$  exact (i.e.  $M'' = M/M'$ ). Then  $M$  Noeth  $\Leftrightarrow M' \& M''$  Noeth

**Cor** A Noeth ring,  $M$  fin gen  $A$ -mod  $\Rightarrow M$  Noeth [Pf:  $M = A^n/N$ ]

**Th** (Hilbert basis th.) A Noeth  $\Rightarrow A[x_1, \dots, x_n]$  Noeth.

don't need  $\rightarrow$

Pf. Ind  $\Rightarrow$  suff for  $n=1$ . Let  $B = A[x]$ . Let  $\underline{b} \subset B$  ideal. Let  $\underline{a} = \{a \in A \mid \exists f \in \underline{b} \text{ whose leading coeff is } a\}$  i.e.  $f = ax^n + \dots$ .  $\Rightarrow \underline{a} = (a_1, \dots, a_n) \Rightarrow \exists f_i = a_i x^{n_i} + \dots \in \underline{b}$ .  
 Let  $k = \max k_i$ ,  $\underline{b}' = (f_1, \dots, f_n) \subset \underline{b}$ . Let  $M = A + Ax + \dots + Ax^{k-1} \subset B$ .

Claim:  $\underline{b} = (\underline{b} \cap M) + \underline{b}'$  (this does the pf for  $\underline{b} \cap M$  is f.g.  $A$ -mod by (a) & (b))

~~It is clear~~  $\Rightarrow$  clear: for  $\subset$  induction on degrees. Let  $f \in \underline{b} \Rightarrow f = ax^n + \dots \Rightarrow a = \sum u_i a_i$   
 $\Rightarrow f - \sum u_i f_i \in \underline{b}$  and has degree  $< n$  ind  $\Rightarrow f - \sum u_i f_i \in (\underline{b} \cap M) + \underline{b}' \Rightarrow f \in (\underline{b} \cap M) + \underline{b}'$

don't need  $\rightarrow$

**Cor** A Noeth,  $B$  is fin gen  $A$ -alg  $\Rightarrow B$  Noeth.

**Prop**  $A$  Noeth  $\Rightarrow S^{-1}A$  Noeth [use  $\underline{b} = S^{-1}(\underline{b}')$ ]

**Prop** Let  $A$  be Noeth. Any radical  $\underline{a}$  (i.e.  $\underline{a} = \sqrt{\underline{a}}$ ) can be uniquely written as  $p_1 \cap \dots \cap p_n$ ,  $p_i$  primes. [ $p_i$  are called the components of  $\underline{a}$ ].

Pf: call an ideal  $\underline{a}$  irreducible if  $\underline{a} = \underline{b} \cap \underline{c} \Rightarrow \underline{a} = \underline{b}$  or  $\underline{a} = \underline{c}$ .

Claim 1: any ideal = ~~finite~~ finite inters of irreducibles [Let  $\Sigma$  be the set of ideals which are not finite inters of irred; if  $\Sigma \neq \emptyset$  it has a max ~~id~~ (by Noeth)  $\underline{a} \Rightarrow \underline{a} = \underline{b} \cap \underline{c}$ ,  $\underline{a} \subset \underline{b}$ ,  $\underline{a} \subset \underline{c} \Rightarrow \underline{b} \notin \Sigma$ ,  $\underline{c} \notin \Sigma \Rightarrow \underline{a} \notin \Sigma$ ]. Claim 2. Any irreducible ideal  $\underline{a}$  is prime [passing to  $A/\underline{a}$  we can assume  $\underline{a} = (0)$ . So let  $(0)$  be irred  $xy = 0$  but  $x \neq 0, y \neq 0$ . Let  $\text{Ann}(x) \subset \text{Ann}(x^2) \subset \dots \Rightarrow \text{Ann}(x^n) = \text{Ann}(x^{n+1}) \Rightarrow (x^n) \cap (y) = 0$

$\Rightarrow (x^n) = 0 \Rightarrow x = 0$  do ] Uniqueness easy.

**Prop** A Noeth (or Noeth)  $\Rightarrow \exists u, (u_i)^n \in \underline{a}$ .

**Def**  $K$  a field. A discrete val is a map  $v: K^* \rightarrow \mathbb{Z}$  s.t.  $\begin{cases} v(xy) = v(x) + v(y) \\ v(x+y) \geq \min\{v(x), v(y)\} \end{cases}$

**Ex**  $K = \mathbb{Q}$ ,  $v_p$  exponent of  $p$  in  $x$ ;  $K = \mathbb{C}((t))$ ,  $v = \text{order}$

**Prop** If  $v: K^* \rightarrow \mathbb{Z}$  is a discr val  $\Rightarrow A = \{x \in K \mid v(x) \geq 0\}$  is a local ring with max ideal  $\mathfrak{m} = \{x \in K \mid v(x) > 0\}$ . Moreover if  $v(x) = 1 \Rightarrow$  any ideal has the form  $(x^n)$ .

Pf:  $v(1) = 0$ . If  $v(x) \geq 0 \Rightarrow 0 \leq v(x^2) = v((-x)^2) = 2v(-x) \Rightarrow v(-x) \geq 0 \Rightarrow A$  subring.

**Def** A domain  $A$  is called DVR if  $\exists$  val  $v: Q(A) \rightarrow \mathbb{Z} \cup \{\infty\}$  s.t.  $A = \{x \in K \mid v(x) \geq 0\}$ . So a DVR is local, Noeth of dim = 1. Any  $x \in A$  s.t.  $v(x) = 1$  is called a parameter of  $A$ .

**Th** A Noeth domain,  $A$  local,  $\dim A = 1$ ,  $\mathfrak{m}$  its max id,  $k = A/\mathfrak{m}$  (res field).  $\Leftrightarrow$  equiv:

- 1)  $A$  is DVR
- 2)  $A$  is integrally closed
- 3)  $\mathfrak{m}$  principal ( $\mathfrak{m} = (x)$ )

4)  $\lim_k \mathfrak{m}/\mathfrak{m}^2 = 1$

5)  $\mathfrak{m} = (x)$  &  $\forall$  non-zero ideal has form  $(x^k)$ .

+ radical  $\heartsuit$  may assume  $b, c$  radical ( $a = \sqrt{a} = \sqrt{b} \cap \sqrt{c}$ )

enough  
1, 2, 5  
equiv

Pf. 1)  $\Rightarrow$  2) Let  $x \in Q(A)$ ,  $x^n + a_1 x^{n-1} + \dots + a_n = 0$ ,  $a_i \in A$ . If  $v(x) > 0$  O.K. If  $v(x) < 0 \Rightarrow v(x^{-1}) > 0 \Rightarrow x^{-1} \in A \Rightarrow x + a_1 x^{-1} + \dots + a_n x^{-n} = 0 \Rightarrow x \in A$ .

2)  $\Rightarrow$  3) Let  $0 \neq a \in m \Rightarrow \sqrt{(a)} = m$  (claim  $m = \sqrt{(a)}$ ).  $\exists n \in \mathbb{N}$  s.t.  $m^n \subset (a)$ ,  $m^{n-1} \not\subset (a)$ . Choose  $b \in m^{n-1} \setminus (a)$ ,  $x = a/b \in Q(A)$ . We have  $x^{-1} \notin A$  (for  $b \notin (a) \Rightarrow x^{-1}$  not integral/A so  $x^{-1}m \not\subset m$  (for  $m$  is a faithful  $A[x^{-1}]$ -module otherwise). But  $x^{-1}m \subset A$  (for  $x^{-1}m = \frac{b}{a}m$  and  $bm \subset m^n \subset (a)$ ) so  $x^{-1}m = A$  so  $m = xA = (x)$ .

3)  $\Rightarrow$  4) ~~Let  $a \in A$  ideal  $\neq (0), (1)$ .  $\Rightarrow \sqrt{(a)} = m \Rightarrow \exists n \in \mathbb{N}$  s.t.  $a \in m^n$~~  by Nakayama. (Lect 2)

~~area) 3)  $\Rightarrow$  5) Let  $a \in A$  ideal  $\neq (0), (1)$ .  $\Rightarrow \sqrt{(a)} = m \Rightarrow \exists n \in \mathbb{N}$  s.t.  $a \in m^n$~~   $\Rightarrow \exists n \in \mathbb{N}$  s.t.  $a \in (x^n)$ ,  $a \notin (x^{n+1})$ .

Choose  $y \in (x^n) \setminus (x^{n+1})$  and write  $y = ax^n$  ( $a \in A$ ).  $\Rightarrow a \notin m \Rightarrow a$  invertible  $\Rightarrow x^n \in (a) \Rightarrow a = (x^n)$ .

5)  $\Rightarrow$  1)  $(x^k) \neq (x^{k+1})$  by Nakayama so  $\forall y \in A$ ,  $(y) = (x^k)$  for exactly one  $k$ . Put  $v(y) = k$  and  $v(y/z) = v(y) - v(z)$ . Check it is OK.

Def) A Dedekind domain is a Noether domain  $A$  integrally closed of  $\dim A = 1$ .

Prop) Let  $A$  be Noether of  $\dim A = 1$ . Equiv are:

1)  $A$  is integrally closed (i.e.  $A$  is Dedekind)

2)  $\forall p \neq 0$  prime,  $A_p$  is a DVR.

[Pf. Lect 2, ① and ② above Th.]

② Prop) Let  $A$  be DVR with parameter  $x$ . Then if  $k = A/(x^n) \Rightarrow \dim_k \frac{A}{(x^n)} = n$ .

don't need

Pf. Ex. reg.  $0 \rightarrow \frac{(x^n)}{(x^{n+1})} \rightarrow \frac{A}{(x^{n+1})} \rightarrow \frac{A}{(x^n)} \rightarrow 0$ . So suff<sup>d</sup>  $\dim \frac{(x^n)}{(x^{n+1})} = 1$ . That's clear. That's of Nakayama.



Ex)  $f \in k[x, y]$  red polyn  $f(0,0) = 0$  (i.e.  $f \in (x, y)$ ) and  $A = (k[x, y]/(f))_{(x, y)}$ .

Then  $A$  is a local domain of  $\dim 1$  (Lect 2, ②). It is a DVR iff either  $a := \frac{\partial f}{\partial x}(0,0) \neq 0$  or  $b := \frac{\partial f}{\partial y}(0,0) \neq 0$ . For if  $f = ax + by + (\text{higher terms})$  then  $\frac{m}{m^2} = \frac{R\bar{x} + k\bar{y}}{k(a\bar{x} + b\bar{y})}$  because  $m = (x, y)/(f)$ ,  $m^2 = ((x, y)^2 + (f))/(f)$  so  $\frac{m}{m^2} = \frac{(x, y)}{(x, y)^2 + (f)} = \frac{(x, y)}{(x, y)^2 + (ax + by)}$  so if  $a \neq 0$ ,  $\bar{x}$  is a par while if  $b \neq 0$ ,  $\bar{y}$  is a par.

Ex)  $A = (k[x, y]/(y^2 - x^3))_{(x, y)} \xrightarrow{x \mapsto t^2, y \mapsto t^3} k[t^2, t^3]_{(t^2, t^3)} \hookrightarrow k[t]_{(t)} = \text{integral loc of } A$

③ Lemma)  $M$  f.g.  $A$ -mod,  $A$  local with max id  $m$ . Any  $x_1, \dots, x_n \in m$  are s.t.  $\bar{x}_1, \dots, \bar{x}_n \in M/mM$  are  $A/m$ -linearly indep. Then  $x_1, \dots, x_n$  are  $M$ -linearly indep. Pf.  $M/M' = \sum A x_i$ . Then  $m(M/M') = \sum m A x_i = M' \Rightarrow M = M'$  so  $M = 0$  so  $M = M'$ .

(ω) (Prop) A Dedekind dom  $A$   $\mathfrak{a} \subset A$  ideal. Set for each prime id  $p \subset A$ ,  $\mathfrak{a}_p = p^{m_p} A_p$  (OK bec  $A_p$  is DVR). Then  $m_p = c$  for almost all  $m_p$  &  $\mathfrak{a} = \prod p^{m_p}$ . Call  $m_p = m_p(\mathfrak{a})$ . In partic if  $\mathfrak{a}, \mathfrak{b} \subset A$  ideals  $\mathfrak{a} \subset \mathfrak{b} \Rightarrow \exists c \in A$  s.t.  $\mathfrak{a} = \mathfrak{b}c$ .  
 If  $m_p = 0$ , almost all  $p$  bec in  $\forall$  noeth ring  $\forall$  rad id is  $\cap$  of fin many primes (if not take max elt in set of rad id that are not  $\cap$  of fin many pr. id.)  
 Now if  $\mathfrak{a}' := \prod p^{n_p}$  we have  $\mathfrak{a}_p = \mathfrak{a}'_p \forall p$  so if  $M = (\mathfrak{a} + \mathfrak{a}')/\mathfrak{a}$  get  $M_p = 0 \forall p$  so  $M = 0$  so  $\mathfrak{a} = \mathfrak{a}'$ .  
 set of non zero ideals above prop

(Def) A Ded dom with fr. field  $K$ . Set  $I(A)$  free absgp gen by pr id of  $A$ .  
 &  $P \subset I(A) \subset I$  semigr of principal ideals. More gen if  $c \in I$  let  $I(c) =$  semi-gr of all  $a \in I$  prime to  $c$  &  $P_c^+ = \{(\alpha) \in P \mid \alpha \equiv 1 \pmod{c}\}$  where  $\alpha \equiv 1 \pmod{c}$  means that " $m_p(c) > 0 \Rightarrow \alpha \equiv 1 \pmod{p^{m_p(c)}}$ " & " $\sigma \in \text{Hom}(K, \mathbb{R}) \Rightarrow \sigma \alpha > 0$ ". "totally pos."

(Def) Let  $I$  be any abelian semigr &  $P \subset I$  any ab subsemigr. Call  $P$  full if  
 1)  $x, y \in I$  &  $x, y \in P \Rightarrow y \in I$  & 2)  $\forall x \in I, \exists y \in I$  s.t.  $xy \in P$ . Then may def a group  $I/P := I/\sim$  where  $x \sim y \Leftrightarrow \exists a, b \in P$  s.t.  $ax = by$ . [2) insures  $\exists$  of inverse] [1) insures that  $\exists$  bij bet full subgr of  $I$  containing  $P$  & subgr of  $I/P$ ]

(Prop) If  $A$  is Dedekind  $P$  is a full semigr of  $I$  &  $P_c^+$  full in  $I(c)$ . The gr homo  $I(c)/P_c^+ \rightarrow I/P$  is surj. ( $I/P$  called class gr;  $I(c)/P_c^+$  ray class gr)

Pf. If  $q \in I(c)$  by Chin Rem th  $\dagger\dagger$

(Ex) If  $A = \mathbb{Z}$ ,  $c = m\mathbb{Z} \Rightarrow I(c)/P_c^+ \cong (\mathbb{Z}/m\mathbb{Z})^*$   
 & ray classes in  $\mathbb{Z}$  are arithm progr.

equiv cl in  $I(c)$  are called ray classes.

## 22) Ramification

(α) (Th) (Fin. of rel. int. clos)  $A$  int closed Noeth. dom,  $K = Q(A)$ ,  $L/K$  fin sep.,  $B =$  int clos of  $A$  in  $L \Rightarrow B$  if finite  $A$ -module (So if  $A$  Dedekind  $\Rightarrow B$  Dedekind)  
 Pf. Let  $u_1, \dots, u_n \in L$  basis  $/K$ , Mult by some  $a \in A \Rightarrow$  may ass  $u_i \in B$ .  
 Let  $v_1, \dots, v_n \in L$  s.t.  $\text{Tr}(u_i v_j) = \delta_{ij}$  (by (17)). (Claim  $B \subset A v_1 + \dots + A v_n$  (2 done))  
 Write  $b \in B$  as  $b = \sum \lambda_i v_i$ ,  $\lambda_i \in K$ . Note  $\forall \sigma \in \text{Hom}_K(L, \bar{K}) \Rightarrow \sigma B = B$ .  
 So  $\text{Tr } B \subset A$ . So  $\text{Tr}(u_i b) \in A$ . But  $\text{Tr}(u_i b) = \sum \lambda_j \text{Tr}(u_i v_j) = \lambda_i$ . Done.

(Prop) Not as in (α),  $S = A \setminus \{0\} \Rightarrow L = S^{-1}B$  [ $K \rightarrow S^{-1}B$  integral,  $K$  field  $\Rightarrow S^{-1}B$  fidd]  $\Rightarrow L = B$

(ε) (Th) Not as in (α). Ass  $L/K$  Galois. Let  $p \subset A$  prime &  $\mathfrak{p}, \mathfrak{q} \subset B$  primes lying over  $p$  i.e. whose  $\cap$  with  $A$  is  $p$ . Then  $\exists \sigma \in G(L/K)$  s.t.  $\sigma \mathfrak{p} = \mathfrak{q}$ .

Pf. Localizing may ass  $p$  max. Ass  $\mathfrak{p} \neq \sigma \mathfrak{q}$ ,  $\forall \sigma \in G(L/K)$ . (Chinese rem th  $\Rightarrow$

$\Rightarrow \exists x \in B$  s.t.  $x \equiv 0 \pmod{\mathfrak{p}}$  &  $x \equiv 1 \pmod{\sigma \mathfrak{q}}$ ,  $\forall \sigma$ . As in (α)  $\sigma B = B$  so  $\text{Tr } B \subset A$  so  $\text{Tr } x \in A$ .  
~~moreover we have~~  $Nx = \prod \sigma x \in \mathfrak{p} \cap A = p$  (bec  $\sigma x \in \mathfrak{p}$  for  $\sigma \in G(L/K)$ ). But  $x \notin \sigma \mathfrak{q} \forall \sigma$  so  $\sigma x \notin \mathfrak{q} \forall \sigma$  so  $Nx \notin \mathfrak{q}$ .

(+) use  $A = \bigcap A_p$  [more gen, this is true for  $\forall$  domain:  $A = \bigcap A_u$ ,  $u \in \text{Max } A$ . Pf. Let  $x \in K$ ,  $x \notin \bigcap A_u$ .

(+) if  $p \subset I$  arbitrary semigr,  $I/P$  is only semigr.

(H)  $\exists \alpha \in A$ ,  $\alpha \equiv 0 \pmod{\mathfrak{a}}$  &  $\alpha \equiv 1 \pmod{p^{m_p(c)}}$  if  $m_p(c) > 0$ . So  $(\alpha^2) \subset \mathfrak{a}$   
 so by (ω)  $\exists \mathfrak{b} \in I$  s.t.  $(\alpha^2) = \mathfrak{a} \mathfrak{b}$ . Clearly  $\alpha^2$  tot pos so  $\alpha^2 \equiv 1 \pmod{c}$   
 so axiom 2) in def of "full" is OK - so is ax 1). For "surj" use again Chinese



(Cor) Not as in (α) ⇒ over each  $p$  in  $A$   $\exists$  only fin many  $p$  in  $B$  [embed L into Galois  $\mathbb{F}_K$ ]

(P) (Def) Not as in (α),  $p \in A$  max,  $H \subset B$  over it. Ass L/K Galois with gr  $G$ .

Define decompos gr  $G_H = \{\sigma \in G / \sigma H = H\}$ . Get  $G_H \rightarrow G(B/H/A/p)$ ,  $\sigma \mapsto \bar{\sigma}$ .

Define decompos field  $q$  s.t.  $L^{G_H} = q$ . Clearly  $B^{G_H} \neq B \cap L^{G_H}$  is the int/clos of  $A$  in  $L^{G_H}$ .  
 $\exists$  prime in  $B^{G_H}$  lying over  $A$ . (namely  $H \cap B^{G_H}$ )

(Prop) Not as in (B) Define inertia gr  $T_H = \ker(G_H \rightarrow G(\frac{B}{H}/\frac{A}{p}))$ .

(J) (Def) Not as in (α) & ass  $A, B$  Dedekind. Define ramif ind

$e_H = e(H/p)$  by formula  $p B_H = \mathfrak{p}^{e(H/p)} B_H$  & residue class degree

by  $f_H = f(H/p) = [\frac{B}{H} : \frac{A}{p}]$ . Define norm  $N_{LK} H = p^{f_H}$

Have 2 homo 1)  $N: I(B) \rightarrow I(A)$  obtained by extending by linearity.

2)  $I(A) \rightarrow I(B)$  obtained by extndy  $p \mapsto pB$  by lin.

Both are comp with compos of separ ext

Say  $p$  splits completely if  $e_H = f_H = 1 \forall H/p$  (not for  $H \cap A = p$ )

$p$  ramifies if  $\exists H/p$  s.t.  $e(H/p) \geq 2$ .

(P) (Prop) Not as in (B) ~~dedekind~~ Then  $[L:K] = \sum e_H f_H$ .

Pf May ass  $A$  a DVR. So  $B$  free  $A$ -mod of rank  $n = [L:K]$

Write  $pB = \prod \mathfrak{p}_i^{e_i}$ . (Chinese rem th ⇒  $B/pB \cong \bigoplus B/\mathfrak{p}_i^{e_i}$  as  $\frac{A}{p}$ -vect sp.

~~$B/pB \cong \prod \mathfrak{p}_i^{e_i}$  as  $\frac{A}{p}$ -vect sp.~~

Now estimate  $\dim_{A/p}$  & done [for  $B/\mathfrak{p}_i^{e_i}$  use  $B/\mathfrak{p}_i^{e_i} = B/\mathfrak{p}_i^{e_i} \otimes B/\mathfrak{p}_i^{e_i}$  & filter with powers of  $\mathfrak{p}_i$ ]

(Cor) Not as in (J). For  $a \in I(A)$ ,  $N(aB) = a^{[L:K]}$  [use above prop].

(W) (Cor) Not as in (J) & ass L/K Galois with group  $G$  as (B). Let  $p = \mathfrak{p}_1^{e_1} \dots \mathfrak{p}_r^{e_r}$

(write  $e_i = e_{\mathfrak{p}_i}, f_i = f_{\mathfrak{p}_i}$ ). Then  $e_1 = \dots = e_r = e$ ;  $f_1 = \dots = f_r = f$  &  $(N_{LK} H) = \prod_{\sigma \in G} \sigma H$

Pf By Th (E)  $G$  oper trans on  $\{\mathfrak{p}_1, \dots, \mathfrak{p}_r\}$  & isotr gr of  $\mathfrak{p}_i$  is decomp gr  $G_{\mathfrak{p}_i}$

So  $e_i = e, f_i = e$  &  $n = efr$  (by (F)) &  $|G_{\mathfrak{p}_i}| = ef$  &  $N_{LK} H = p^f B = (\prod \mathfrak{p}_i^{e_i})^f = (\prod \mathfrak{p}_i)^{ef} = \prod \sigma H$

$N_{LK} H = p^f B = (\prod \mathfrak{p}_i^{e_i})^f = (\prod \mathfrak{p}_i)^{ef} = \prod \sigma H$

(Prop) Notations as in (P) & (J) (so  $A, B$  ded & L/K normal) & finite res fields. Ass  $p$

prime in  $A$ ,  $H \subset B$ ,  $H/p$ . & ass  $e(H/p) = 1$ . Then  $G_H \rightarrow G(\frac{B}{H}/\frac{A}{p})$

is an isomorphism.

Pf By (W) both  $G_H$  &  $G(\frac{B}{H}/\frac{A}{p})$  have same order. So it is enough

to prove homo  $G_H \rightarrow G(\frac{B}{H}/\frac{A}{p})$  is surjective.

Spec case  $r=1$  (i.e.  $G = G_H$ ) Let  $\bar{\sigma} \in G(\frac{B}{H}/\frac{A}{p})$  be a gen of  $G(\frac{B}{H}/\frac{A}{p})$ .

injective. Assume  $\bar{\sigma} \in G_H$  is identity on  $B/H$ . By induction

$\cap \mathfrak{p}_i^n B_H = 0 \Rightarrow \bar{\sigma} = \text{id}$  on  $B_H$  hence on  $B$ . qed.

Express any elt of  $\frac{B}{p}$  as  $\theta + \pi \beta$  where  $\pi \beta = (\pi)$   
 $\theta \pi^{-1} = 1$  (cf Hensel,  $q = \# \frac{B}{H}$ ) and  $\beta \in B/H$

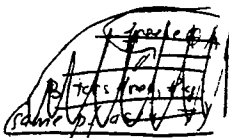
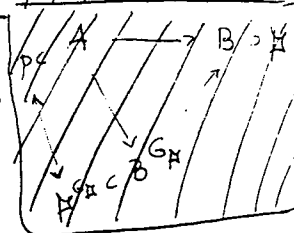


image of  $x \in B$ . Set  $f = \text{Irr}(\alpha, K)$ . Since  $G \subset B \Rightarrow \text{coeff of } f \in B \cap K = A$ .  $\left( \text{Let } \sigma \in G \left( \frac{B}{A} \right) \right)$

So  $f = \prod (x - \alpha_i)$  in  $B[x]$ . So its red mod  $p$ ,  $\bar{f} = \prod (x - \bar{\alpha}_i) \in \frac{B}{p}$  in  $\frac{B}{p}[x]$ . Let  $\bar{\sigma} \in G \left( \frac{B/p}{A/p} \right)$ . Must have  $\bar{\sigma} \bar{\alpha} = \bar{\alpha}_j$  for some  $j$ . L/K Gal  $\Rightarrow$

$\exists \sigma \in G(L/K)$ ,  $\sigma \alpha = \alpha_j$ . Clearly  $\sigma$  induces  $\bar{\sigma}$ .

Gen. case  $\sigma$  arbitrary  $\checkmark$  Replace  $B$  by  $L^{G_H}$

~~(work left to check that  $L^{G_H}$  is Galois over  $A$ )~~ By  $\textcircled{a}$   $G_H$  acts trans on primes in  $B$  lying over  $p$ .  $B^{G_H} \subset B^{G_H}$ ; but  $G_H$  acts triv on  $H$ . So  $B^{G_H} = H$ ; one is left to check  $\frac{A}{p} = \frac{B^{G_H}}{p \cap B^{G_H}}$ ; use smth. sim to H. of  $\textcircled{a}$

Def  $\textcircled{a}$  Not as in  $\textcircled{a}$ , L/K Galois. Let  $p \subset A$  prime &  $p \subset B$ ,  $p \nmid p$ . Ass  $p$  not ramif i.e.  $e(H/p) = 1$  &  $A/p$  finite. Then by  $\textcircled{b}$   $G \left( \frac{B/p}{A/p} \right)$  is gen by Frob  $\phi x = x^p$ . By  $\textcircled{c}$   $\exists!$  aut den by  $(H, L/K) \in G_H$  inducing  $\phi$ . If L/K abelian all  $(H, L/K)$  coincide. Call this  $\sigma = (p, L/K) \in G$  the "Artin symbol". If let  $N(p) = \text{card } \frac{A}{p}$  (absolute norm) have  $\sigma x \equiv x^{N(p)} \pmod{H}$ , all  $x \in B$ , all  $H/p$ .

~~(L/K abelian, L/K Galois,  $p \nmid p$ ,  $p \nmid p$ ,  $p \nmid p$ )~~

Def  $\textcircled{b}$  Ass not as in  $\textcircled{a}$ , L/K Galois abelian. Ass  $A/p$  finite & prime & let  $c \in A$  be s.t.  $p$  prime  $p \subset A$ ,  $p \nmid c$  is non-ramif  $\checkmark$ . Then extend Artin symbol by linearity to sym homo ("reciprocity map")

$$(-, L/K): I(c) \rightarrow G(L/K)$$

Let  $I(cB) \subset I(c)$  be the sym of elts of  $I(cB)$  prime to  $cB$ .

Remark  $\textcircled{c}$ : if  $b \in I(cB)$  then  $(Nb, L/K) = 1$  [Indeed, suff  $b = p$  prime. But then  $N_{L/K} p = p^f$  where  $p = p \cap A$ ,  $f = [ \frac{B}{p} : \frac{A}{p} ]$ . So  $(N_{L/K} p, L/K) = (p, L/K)^f$ . But  $(p, L/K)$  generates  $G_H \cong G \left( \frac{B}{p} / \frac{A}{p} \right)$  & latter has order  $f \Rightarrow$  done].

Remark  $\textcircled{d}$  if  $p \subset A$  not ramif then:  $p$  splits compl.  $\Leftrightarrow G_H = 1 \forall H/p \Leftrightarrow (p, L/K) = 1$  (L/K abelian)

Take  $x \in B'$  & seek an elt  $z \in A$  s.t.  $z \equiv x \pmod{H'}$ . Do as follows. By Chinese rem  $\exists y \in B'$  s.t.  $y \equiv x \pmod{H'}$  &  $y \equiv 1 \pmod{\sigma^{-1} H \cap B'}$ ,  $\forall \sigma \in G \setminus G_H$ . Hence in partic have  $y \equiv x \pmod{H}$  &  $\sigma y \equiv 1 \pmod{H}$ ,  $\forall \sigma \in G \setminus G_H$ . Set  $z = N_{L/K} y = \prod_{\sigma \in G} \sigma y \equiv x \pmod{H}$  hence mod  $H'$ .  $\Rightarrow z \in A$   $\checkmark$

$\textcircled{e}$  So have induced homo  $(-, L/K): I(c)/N_{L/K} I(cB) \rightarrow G(L/K)$ ; but  $\text{ngen } (p, L/K) \neq 1$ !  $\checkmark$  such a  $c$  exists in  $A$  field case  $\Rightarrow$  we shall see.

### (23) Number fields ~~algebraic integers~~ $I$ : class number

By (7),  $\mathcal{O}$  is a free ab gr ( $\mathbb{Z}$ -module) of rank  $[K:\mathbb{Q}]$

**Def** A number field means a fin ext  $K$  of  $\mathbb{Q}$ . One lets  $\mathcal{O} := \mathcal{O}_K = \text{int cl of } \mathbb{Z} \text{ in } K$ . (called its ring of integers : it is dedekind by (22), (2)). Prime ideals of  $\mathcal{O}_K$  are called primes of  $K$ . If  $K \subset L$  # fields &  $p$  prime of  $K$  say  $p$  ramifies in  $L$  or splits compl in  $L$  (a.s.o.) if it does so in  $\mathcal{O}_L$ . Set  $Cl(K) = I(\mathcal{O}_K)/P(\mathcal{O}_K)$ , a.s.o. Have norm  $N = N_{K/\mathbb{Q}} : K \rightarrow \mathbb{Q}$  inducing  $N : \mathcal{O}_K \rightarrow \mathbb{Z}$ . cf (17) Also have  $N : I(\mathcal{O}_K) \rightarrow I(\mathbb{Z})$  if (22). Easy to see  $N(a) = (Na)$  (i.e.  $N(a\mathcal{O}_K) = Na\mathbb{Z}$ ) for  $a \in \mathcal{O}_K$ . [Indeed mult of both  $N$ 's  $\Rightarrow$  may ass  $K/\mathbb{Q}$  normal & use for (22) in (22) : use also that  $a^n \mathbb{Z} = p^n \mathbb{Z}$ ,  $a, p \in \mathbb{Z} \Rightarrow a\mathbb{Z} = p\mathbb{Z}$ ] Also easy to see for  $\mathfrak{a} \subset \mathcal{O}_K$  ideal have  $N\mathfrak{a} = (\#\mathcal{O}_K/\mathfrak{a})\mathbb{Z}$  (set  $N\mathfrak{a} = \#\mathcal{O}_K/\mathfrak{a}$ ) [by Chinese rem th may ass  $\mathfrak{a} = \mathbb{Z}^n$  ; for this case compute dim as  $\mathbb{F}_p$ -vec space].

**(L) (Hurwitz lemma)** Let  $K$  be a # field. Then  $\exists M \in \mathbb{Z}^+$  s.t.  $\forall \alpha, \beta \in \mathcal{O} = \mathcal{O}_K$  ("Generalized Euclid division")  $\exists$  integer  $t$ ,  $1 \leq t \leq M$  &  $\exists \omega, \rho \in \mathcal{O}$  s.t.  

$$t\alpha = \omega\beta + \rho \quad \& \quad |N(\rho)| < |N(\beta)|.$$

**Pf.** Let  $\omega_1, \dots, \omega_n$  be  $\mathbb{Z}$ -basis of  $\mathcal{O}$ . Embed  $\varphi : K \rightarrow \mathbb{R}^n$ ,  $\varphi(\sum \lambda_i \omega_i) = (\lambda_1, \dots, \lambda_n)$ ,  $\lambda_i \in \mathbb{Q}$ . Let  $|\cdot| : \mathbb{R}^n \rightarrow \mathbb{R}_+$  be  $|\lambda_1, \dots, \lambda_n| = \max_i |\lambda_i|$ . Clearly  $\exists C > 0$  s.t.  $\forall \alpha \in \mathcal{O}$   
 $|N(\alpha)| \leq C |\varphi(\alpha)|^n$  [indeed  $|N(\alpha)| = |N(\sum \lambda_i \omega_i)| = |\prod (\lambda_i \sigma_i)| \leq \dots$ ]. (choose integer  $m \geq \sqrt[n]{C}$  &  $M = m^n + 1$ . Set  $\delta = \alpha/\beta \in K$ , want  $\omega \in \mathcal{O}$  s.t.  $t\delta = \omega + \rho$  with  $|N(\rho)| < 1$ . For  $\forall \theta \in K$  write  $\theta = \sum \lambda_i \omega_i$ ,  $\lambda_i \in \mathbb{Q}$  write  $\theta = [\theta] + \{\theta\}$  where  $[\theta] = \sum [\lambda_i] \omega_i$ ,  $\{\theta\} = \sum \{\lambda_i\} \omega_i$ ,  $[\lambda] = \text{int part}$ ,  $0 \leq \{\lambda\} = \lambda - [\lambda] < 1$ . Consider the  $m^n + 1$  pts  $\varphi(\{\delta\}) \in \mathbb{R}^n$ ,  $1 \leq t \leq m^n + 1$ .  $\varphi(\{\delta\}) \in [0, 1]^n$  "unit cube". Divide  $[0, 1]^n$  into  $m^n$  cubes of side  $1/m$ . Pigeonhole principle  $\Rightarrow \exists t_1, t_2$  s.t.  $\varphi(\{t_1 \delta\}), \varphi(\{t_2 \delta\}) \in$  same subcube. Consider  $t_1 \delta = [t_1 \delta] + \{t_1 \delta\}$ ,  $t_2 \delta = [t_2 \delta] + \{t_2 \delta\}$ . Their diff is  $(t_1 - t_2)\delta = ([t_1 \delta] - [t_2 \delta]) + (\{t_1 \delta\} - \{t_2 \delta\})$ . Write  $[t_1 \delta] - [t_2 \delta] = \omega \in \mathcal{O}$  &  $\{t_1 \delta\} - \{t_2 \delta\} = \rho \in K$ . Note  $|\varphi(\rho)| \leq 1/m$ . Get  $|N(\rho)| \leq C \cdot (1/m)^n \leq C/(1/m)^n < 1$ . Also  $t = t_1 - t_2$  satisfies  $1 \leq t \leq m^n \leq M$ . (if  $t_1 = t_2$ ,  $\rho = 0$ )

**(Th)** Let  $K$  be a # field. Then  $Cl(K)$  is finite [ $h_K := |Cl(K)|$  called class #]  
**Pf** Let  $\mathfrak{a} \subset \mathcal{O}_K$  be any ideal &  $\beta \in \mathfrak{a}$  s.t.  $|N(\beta)|$  minimum. By (L) above  $\forall \alpha \in \mathfrak{a}$   $\exists t, 1 \leq t \leq M$  s.t.  $t\alpha = \omega\beta$  for some  $\omega \in \mathcal{O}_K$ . So  $M! \mathfrak{a} \subset \beta \mathcal{O}$  ( $M!$  mod of  $\mathfrak{a}$ ). Set  $\mathfrak{b} := \beta^{-1} M! \mathfrak{a} \subset \mathcal{O}$ .  $\Rightarrow M! \mathfrak{a} = \beta \mathfrak{b}$ . Since  $\beta \in \mathfrak{a} \Rightarrow M! \beta \in \beta \mathfrak{b} \Rightarrow M! \in \mathfrak{b} \Rightarrow \exists$  only fin many poss for  $\mathfrak{b}$  ( $P^e | \mathfrak{b} \Rightarrow P^e | (M!) \mathfrak{O}$ ), Since  $\mathfrak{a} \equiv \mathfrak{b} \pmod{P(\mathcal{O})}$  done.

$m+1$

$\delta$  instead of  $\delta' \Rightarrow$

## 24) Number fields $\mathbb{K}$ : units

gr of elts of norm 1

**Def** Let  $K$  be a # field. Set  $U_K := \mathcal{O}_K^\times = \{\text{inv elts of } \mathcal{O}_K\}$ . Clearly  $\text{Tors}(U_K) = \{\text{roots of } 1 \text{ in } K\}$ .

**Th** (Dirichlet)  $U_K$  is a f.g. group.

**Pf** Let  $\text{Hom}(K, \bar{\mathbb{Q}}) = \text{Hom}(K, \mathbb{C}) = \{\tau_1, \dots, \tau_n\} = \{\tau_1, \dots, \tau_s, \bar{\tau}_{s+1}, \dots, \bar{\tau}_{s+t}, \bar{\tau}_{s+t+1}, \dots, \bar{\tau}_{s+2t}\}$  where  $\tau_i(K) \subset \mathbb{R}$  for  $1 \leq i \leq s$  &  $s$  max with this prop. ( $n = [K:\mathbb{Q}] = s + 2t$ ). Let  $\psi: K \rightarrow V := \mathbb{R}^s \times \mathbb{C}^t = \mathbb{R}^{s+2t}$ ,  $\psi(\alpha) = (\sigma_1 \alpha, \dots, \sigma_{s+2t} \alpha)$  (This map is quite diff from  $\psi$  in (23))

**Claim 1**  $\psi(\mathcal{O}_K)$  is a lattice in  $\mathbb{R}^{s+2t}$  (f.g.)

[Indeed, let  $\alpha_1, \dots, \alpha_n$  be  $\mathbb{Z}$ -basis of  $\mathcal{O}_K$ . By (24)  $\det(\tau_i \alpha_j) \neq 0$ .

~~Thus,  $\psi(\alpha_j)$  are  $\mathbb{R}$ -lin indep.~~  $\Rightarrow \tau_1 \alpha_1, \dots, \tau_n \alpha_n \in \mathbb{C}^n$ ,  $\mathbb{C}$ -lin indep. i.e.  $\sigma_1 \alpha_1, \dots, \sigma_s \alpha_s, \bar{\sigma}_{s+1} \alpha_1, \dots, \bar{\sigma}_{s+t} \alpha_1, \dots, \bar{\sigma}_{s+2t} \alpha_1$   $\mathbb{C}$ -lin indep.  $\Rightarrow \sigma_1 \alpha_1, \dots, \sigma_s \alpha_s, \text{Re } \sigma_{s+1} \alpha_1, \text{Im } \sigma_{s+1} \alpha_1, \dots, \text{Re } \sigma_{s+t} \alpha_1, \text{Im } \sigma_{s+t} \alpha_1$   $\mathbb{R}$ -lin indep.  $\Rightarrow \psi(\alpha_j) \in \mathbb{R}^{s+2t}$  by  $\gamma \mapsto \gamma + \bar{\gamma}$ , so  $\mathbb{R}$ -lin indep.]

Let  $\lambda: (\mathbb{R}^*)^s \times (\mathbb{C}^*)^t \rightarrow \mathbb{R}^{s+2t}$  be def by  $\lambda(\beta) = (\log |\beta_1|, \dots, \log |\beta_s|, 2 \log |\beta_{s+1}|, \dots, 2 \log |\beta_{s+t}|, \dots, 2 \log |\beta_{s+2t}|)$  &  $\mu: K^* \rightarrow \mathbb{R}^{s+2t}$ ,  $\mu(\alpha) = \lambda(\psi(\alpha))$ . Group homo. Note  $\lambda^{-1}(\text{compact}) = \text{compact}$ .

**Claim 2**  $U_K \cap \text{Ker } \mu = \{\text{roots of } 1 \text{ in } K^*\}$  [Indeed if  $\mu(\alpha) = 0 \Rightarrow |\tau \alpha| = 1, \forall \tau \Rightarrow |\tau \alpha^n| = 1 \forall n \Rightarrow$  all conj of  $\alpha^n$  have modulus 1,  $\forall n \Rightarrow$  modulus of coeff of  $\text{Irr}(\alpha^n, \mathbb{Q})$  are bounded (indep of  $n$ )  $\Rightarrow$  these polyn are in fin. # so  $\exists$  one of them,  $f$ , s.t.  $\alpha^n$  root of  $f$  for  $\infty$  many  $n \Rightarrow \exists n \neq m$  s.t.  $\alpha^n = \alpha^m \Rightarrow \alpha^d = 1$ ]

**Claim 3**  $\mu(U_K)$  is a lattice in  $\mathbb{R}^{s+2t}$  [Indeed enough to check  $\mu(U_K)$  discrete. Let  $B = B(0, R) \subset \mathbb{R}^{s+2t}$  ball of radius  $R$  about 0.  $\Rightarrow \lambda^{-1}(\mu(U_K) \cap B) \subset \lambda^{-1}(B) \Rightarrow \lambda^{-1}(\mu(U_K) \cap B)$  bounded  $\Rightarrow \mu(U_K) \cap B$  finite (bec.  $\psi(\mathcal{O}_K)$  lattice by claim 1). But clearly  $\lambda^{-1}(\mu(U_K) \cap B) \cap \psi(\mathcal{O}_K) \rightarrow \mu(U_K) \cap B$  surj. So  $\mu(U_K) \cap B$  finite, qed.]

Now claims 2 & 3 close pf.

**(\*) Remark** In proof, if  $u \in U_K \Rightarrow Nu = \pm 1 \Rightarrow |Nu| = 1$ . But  $\mu(u)$  is  $\log |\sigma_1 u| + \dots + \log |\sigma_s u| + 2 \log |\bar{\sigma}_{s+1} u| + \dots + 2 \log |\bar{\sigma}_{s+t} u| = \log |Nu| = 0$ . So  $\mu(U_K) \subset \{(\xi_1, \dots, \xi_{s+2t}) \in \mathbb{R}^{s+2t} \mid \xi_1 + \dots + \xi_{s+2t} = 0\}$  so  $\text{rank } \mu(U_K) \leq s+2t-1$ , so  $\text{rank } U_K \leq s+2t-1$ . Actually Dirichlet proved " $=$ ".

**(A)** hence  $\text{Ker } \mu$  is finite because if  $\zeta_m \in K^*$  prim  $m$ -th root of 1, by (16)  $\zeta_m$  has degree  $\phi(m)$  over  $\mathbb{Q}$ , so  $\phi(m) \leq [K:\mathbb{Q}]$ . This implies  $m$  bounded [Ex:  $\phi(p^a) = p^{a-1}(p-1)$ ]

**+++** Use fact: if  $w \in \mathbb{C}^n$  then  $\mathbb{C}w + \mathbb{C}\bar{w} = \mathbb{C} \text{Re } w + \mathbb{C} \text{Im } w$ .

**+++** A lattice in  $\mathbb{R}^n$  is a subgr of form  $\mathbb{Z}v_1 + \dots + \mathbb{Z}v_m$  with  $v_1, \dots, v_m$   $\mathbb{R}$ -lin indep. [Ex:  $\mathbb{Z}i \subset \mathbb{C}$  is discrete as a lattice ("discrete" means  $\#$  in usual top)]

May ass sgr  $\Gamma \subset \mathbb{R}^n$  spans  $\mathbb{R}^n$

choose  $v_1, \dots, v_n \in \Gamma$   $\mathbb{R}$ -lin indep; may ass  $v_i$  stand. basis,  $\Gamma' = \sum \mathbb{Z}v_i = \mathbb{Z}^n$ . Then  $\Gamma/\Gamma'$  finite bec  $\Gamma \cap [0,1]^n$  finite. So  $\Gamma$  is fin gen of rank  $n$ . So  $\Gamma$  free of rank  $n$ .

## (25) Number fields II: discriminant

**Def** Let  $L/K$  be fin sep ext of deg  $n$  &  $\alpha_1, \dots, \alpha_n \in L$ . Set  $\Delta(\alpha_1, \dots, \alpha_n) = \det \left( \text{Tr}_{L/K}(\alpha_i \alpha_j) \right)$ .  
By (7)  $\Delta \neq 0 \Leftrightarrow \alpha_1, \dots, \alpha_n$  basis

(2) **Prop** Ass  $\alpha_1, \dots, \alpha_n$  &  $\beta_1, \dots, \beta_n$  2 basis &  $\alpha_i = \sum \delta_{ij} \beta_j$ ,  $\delta_{ij} \in K \Rightarrow \Delta(\alpha_1, \dots, \alpha_n) = (\det \delta_{ij})^2 \Delta(\beta_1, \dots, \beta_n)$   
**Pf**  $\alpha_i \alpha_k = \sum_j \sum_l \delta_{ij} \delta_{kl} \beta_j \beta_l \Rightarrow \text{Tr}(\alpha_i \alpha_k) = \sum_j \sum_l \delta_{ij} \delta_{kl} \text{Tr}(\beta_j \beta_l)$ . If  $A = (\text{Tr}(\alpha_i \alpha_j))$ ,  $B = (\text{Tr}(\beta_i \beta_j))$ ,  $C = (\delta_{ij}) \Rightarrow A = C B C^T \Rightarrow \det A = (\det C)^2 \det B$  qed

(3) **Prop** If  $\alpha_1, \dots, \alpha_n$  basis of  $L/K$  &  $\text{Hom}_K(L, \bar{K}) = \{\sigma_1, \dots, \sigma_n\} \Rightarrow \Delta(\alpha_1, \dots, \alpha_n) = (\det(\sigma_i \alpha_j))^2$   
**Pf**  $\text{Tr}(\alpha_i \alpha_j) = \sigma_1 \alpha_i \sigma_1 \alpha_j + \dots + \sigma_n \alpha_i \sigma_n \alpha_j$ . Set  $A = (\text{Tr}(\alpha_i \alpha_j))$ ,  $B = (\sigma_i \alpha_j)$ . Then  $A = B^T B$   $\Rightarrow \det A = (\det B)^2$

(4) **Prop** Ass  $L = K(\beta)$  &  $f = \text{Irr}(f, K)$ . Then  $\Delta(1, \beta, \beta^2, \dots, \beta^{n-1}) = (-1)^{\frac{n(n-1)}{2}} N_{L/K}(f'(\beta))$   
**Pf**  $\Delta(1, \beta, \dots, \beta^{n-1}) = (\det(\sigma_i \beta^j))^2 = \left( \prod_{i < j} (\sigma_i \beta - \sigma_j \beta) \right)^2 = \prod_{i < j} (\sigma_i \beta - \sigma_j \beta)^2$   
on other hand  $f(x) = \prod_i (x - \sigma_i \beta)$  so  $f'(x) = \sum_i (x - \sigma_1 \beta) \dots (x - \sigma_{i-1} \beta) \dots (x - \sigma_n \beta)$  so  
 $f'(\beta) = \prod_{i \neq j} (\sigma_i \beta - \sigma_j \beta)$  so  $N(f'(\beta)) = \prod_i \sigma_i f'(\beta) = \prod_i f'(\sigma_i \beta) = \prod_{i < j} (\sigma_i \beta - \sigma_j \beta)$   $\Rightarrow$  qed

**Def** Let  $K$  be a # field &  $\mathfrak{a} \subset \mathcal{O}_K$  ideal ( $\Rightarrow \mathfrak{a}$  free ab gr of rk  $n = [K:\mathbb{Q}]$ , bec it is so for  $\mathfrak{a} = A$  &  $\forall$  id  $\exists$  princ id by Chinremth). Let  $\alpha_1, \dots, \alpha_n$  be a  $\mathbb{Z}$ -basis of  $\mathfrak{a}$  & set  $\Delta(\mathfrak{a}) = \Delta(\alpha_1, \dots, \alpha_n)$ ; by (2) it doesn't depend on choice of  $\alpha$ 's. Call  $\delta_K := \Delta(\mathcal{O}_K)$  the discriminant of  $K$ . ( $\mathcal{O}_K \in \mathbb{Z}$  by (3))

**Prop** Let  $K$  be a # field, with discriminant  $\delta_K \in \mathbb{Z}$ . Let  $p$  be a prime in  $\mathbb{Q}$ .  $p \nmid \delta_K$  iff  $p$  does not ramify in  $K$ . In partic  $\exists$  only fin many primes of  $\mathbb{Q}$  that ramify.

**Pf** Ass  $p$  ramifies in  $K \Rightarrow p \mathcal{O}_K = \mathfrak{p}^2 \mathfrak{o}$  for ideals  $\mathfrak{p}, \mathfrak{o} \subset \mathcal{O}_K$ ,  $\mathfrak{p}$  prime.  $\exists \alpha \in \mathfrak{p} \mathfrak{o}$ ,  $\alpha \notin p \mathcal{O} = \mathfrak{p}^2 \mathfrak{o}$ . Claim  $\forall \beta \in \mathfrak{o}$  have  $p \mid \text{Tr}(\alpha \beta)$  [Indeed suff to check  $p \mid (\text{Tr} \alpha)^p$ . But  $(\text{Tr} \alpha)^p \equiv \text{Tr}(\alpha^p) \equiv 0 \pmod{p}$ , because  $\alpha^2 \in \mathfrak{p}^2 \mathfrak{o} \subset p \mathcal{O}$ ]

Since  $\alpha \notin p \mathcal{O}$ , its image  $\bar{\alpha} \in \mathcal{O}/p \mathcal{O}$  is  $\neq 0$ . Complete it to an  $\mathbb{F}_p$ -basis  $\bar{\alpha}_1 = \bar{\alpha}, \bar{\alpha}_2, \dots, \bar{\alpha}_n$  of  $\mathcal{O}/p \mathcal{O}$ , where  $\alpha_i \in \mathcal{O}$ . Let  $\beta_1, \dots, \beta_n$  be a  $\mathbb{Z}$ -basis of  $\mathcal{O}$  & write  $\alpha_i = \sum \delta_{ij} \beta_j$ . Get  $p \mid \Delta(\alpha_1, \dots, \alpha_n) \equiv (\det \delta_{ij})^2 \Delta(\beta_1, \dots, \beta_n) \Rightarrow p \mid \Delta(\beta_1, \dots, \beta_n) = \delta_K$  qed. (Converse won't be proved)  
 $\uparrow$  bec  $p \mid \text{Tr}(\alpha_i \alpha_j)$  not div by  $p$  because  $(\delta_{ij})$  invert

~~deleted text~~

(5) **Th** (Minkowski) ~~deleted text~~ 1) If  $n = [K:\mathbb{Q}]$  &  $d = |\delta_K|$  then  $\exists \alpha \in \mathcal{O}_K$  s.t.

2) If  $K \neq \mathbb{Q} \Rightarrow \exists$  primes of  $\mathbb{Q}$  that ramify (hence  $\delta_K \neq \pm 1$ )

3) There  $\exists$  only fin many  $K$ 's with given value of  $\delta_K$

4) The quotient  $[K:\mathbb{Q}] / \log |\delta_K|$  is bounded for all  $K \neq \mathbb{Q}$ .

$$|N\alpha| \leq \frac{n!}{n^n} \left[ \frac{4}{\pi} \right]^t d^{1/2}$$

Pf Note 1)  $\Rightarrow d > 1$  (because  $|N\alpha| \geq 1 \Rightarrow 2$ ). Also easy to see 1)  $\Rightarrow 4$ .  
So need to prove 1) & 3). Need:

- ② (Minkowski's lemma) Let  $L \subset \mathbb{R}^n$  be a lattice of rank  $n$  & let  $F$  be a fundom. domain for  $L$  (cf.  $\bullet \oplus$ ). Let  $C \subset \mathbb{R}^n$  be a closed convex symmetric  $+++$  Lebesgue meas set  $+++$ . If  $V(C) \geq 2^n V(F)$  then  $C \cap L \neq \{0\}$ .

Pf. May ass  $V(C) > 2^n V(F)$ . Have  $\frac{1}{2}C = \bigcup_{x \in L} (\frac{1}{2}C \cap (F+x))$  (disjoint) so  
 $V(\frac{1}{2}C) = \sum_{x \in L} V(\frac{1}{2}C \cap (F+x)) = \sum_{x \in L} V((\frac{1}{2}C - x) \cap F)$ . Can't have all  $\frac{1}{2}C - x, x \in L$  disjoint (would get last sum  $\leq V(F)$ ; but  $V(\frac{1}{2}C) = \frac{1}{2^n} V(C) > V(F)$ ). So  $\exists c_1, c_2 \in C$  &  $x_1, x_2 \in L$  s.t.  $\frac{1}{2}c_1 - x_1 = \frac{1}{2}c_2 - x_2$ ,  $\Rightarrow \frac{1}{2}(c_1 - c_2) = x_1 - x_2 \in L$  qed //

$\nwarrow$  because  $-c_2 \in C$  by symm so  $\frac{1}{2}c_1 + \frac{1}{2}(-c_2) \in C$  by conv.

Back to pf of (P). 1) Use embedding  $\psi: K \rightarrow \mathbb{R}^s \times \mathbb{C}^t = \mathbb{R}^n$  from (24)(3) & consider lattice  $L = \psi(\mathcal{O}_K)$  (cf. (24)(5)) & convex symm domain  $C \subset \mathbb{R}^n$  defn

$$C_a = \{(\xi_1, \dots, \xi_s, z_1, \dots, z_t) \in \mathbb{R}^s \times \mathbb{C}^t \mid |\xi_1| + \dots + |\xi_s| + 2|z_1| + \dots + 2|z_t| \leq a\}$$

- ③ Claim 1  $V(C_a) = 2^s 4^{-t} (2\pi)^t \frac{1}{n!} a^n$  [exerc. in real analysis]

- ④ Claim 2 If  $F$  fundom for  $L \Rightarrow V(F) = 2^{-t} d^{1/2}$

[Indeed by (25)(3)  $d$  is the <sup>(modulus of the)</sup> square of

$\alpha_1, \dots, \alpha_n$  a  $\mathbb{Z}$ -basis of  $\mathcal{O}_K \rightarrow$

$$\begin{vmatrix} \sigma_1 \alpha_1 & \dots & \sigma_1 \alpha_n \\ \vdots & & \vdots \\ \sigma_s \alpha_1 & \dots & \sigma_s \alpha_n \\ \overline{\sigma_{s+1}} \alpha_1 & \dots & \overline{\sigma_{s+1}} \alpha_n \\ \vdots & & \vdots \\ \overline{\sigma_{s+t}} \alpha_1 & \dots & \overline{\sigma_{s+t}} \alpha_n \\ \overline{\sigma_{s+t}} \alpha_1 & \dots & \overline{\sigma_{s+t}} \alpha_n \end{vmatrix} = 2^t \begin{vmatrix} \sigma_1 \alpha_1 & \dots & \sigma_1 \alpha_n \\ \vdots & & \vdots \\ \sigma_s \alpha_1 & \dots & \sigma_s \alpha_n \\ \operatorname{Re} \sigma_{s+1} \alpha_1 & \dots & \operatorname{Re} \sigma_{s+1} \alpha_n \\ \operatorname{Im} \sigma_{s+1} \alpha_1 & \dots & \operatorname{Im} \sigma_{s+1} \alpha_n \\ \vdots & & \vdots \\ \operatorname{Re} \sigma_{s+t} \alpha_1 & \dots & \operatorname{Re} \sigma_{s+t} \alpha_n \\ \operatorname{Im} \sigma_{s+t} \alpha_1 & \dots & \operatorname{Im} \sigma_{s+t} \alpha_n \end{vmatrix} \quad \text{[done]}$$

Now ~~claim 1~~ from ②, ③, ④ applied to  $C = C_a$ ,  $a^n = 4^t n! \pi^{-t} d^{1/2} \Rightarrow$

$\exists 0 \neq \psi(\alpha) \in C_a \cap L$ . So  $|\sigma_1 \alpha| + \dots + |\sigma_s \alpha| + 2|\overline{\sigma_{s+1}} \alpha| + \dots + 2|\overline{\sigma_{s+t}} \alpha| \leq a$ . But  
 $|N\alpha|^{1/n} \leq \frac{1}{n} (|\sigma_1 \alpha| + \dots + 2|\overline{\sigma_{s+t}} \alpha|) \leq \frac{a}{n} \Rightarrow |N\alpha| \leq \frac{a^n}{n^n} \Rightarrow q \in d //$   
geo mean  $\leq$  arith mean

$+++$  denote by  $V$  Lebesgue measure.

$+++$  i.e.  $x \in C \Rightarrow -x \in C$

$++$  convex means  $x, y \in C, 0 \leq t \leq 1 \Rightarrow tx + (1-t)y \in C$

$\oplus F = \{\sum \lambda_i w_i \mid 0 \leq \lambda_i \leq 1\}$  where  $L = \sum \mathbb{Z} w_i$ ,  $w_1, \dots, w_n$  lin ind.

# Assume $K/\mathbb{Q}$ Galois to simplify

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pf of (8) 3). By 1) enough to prove  $\exists$  only fin many  $K$ 's with given degree  $n$  & discr  $\delta$ . 2 cases  $t \neq 0$  &  $t=0$ . Analyse ~~the case~~ <sup>(say)</sup>  $t=0$  ~~so  $n=5$~~  ~~the case~~

Consider  $D_a = \{(\xi_1, \dots, \xi_n) \in \mathbb{R}^n \mid |\xi_1| \leq a \cdot d^{1/2}, |\xi_2| \leq \frac{1}{2}, \dots, |\xi_n| \leq \frac{1}{2}\}$

condition  $V(D_a) \geq 2^n V(F) (= 2^n d^{1/2})$  is realized for  $a = 2^{n-1}$

||obvious

$$2a \cdot d^{1/2}$$

So for  $a = 2^{n-1}$  get by Mink's Lemma  $\exists \alpha \neq 0 \in D_a \cap L$   <sup>$\alpha \in \mathcal{O}_K$</sup>  so  $|\sigma_1 \alpha| \leq a \cdot d^{1/2}, |\sigma_2 \alpha| \leq \frac{1}{2}, \dots, |\sigma_n \alpha| \leq \frac{1}{2}$ . Since  $1 \leq |N\alpha| = |\sigma_1 \alpha| |\sigma_2 \alpha| \dots |\sigma_n \alpha| \Rightarrow |\sigma_1 \alpha| \geq 2^{n-1}$ . So  $a = \sigma_1 \alpha \neq \sigma_i \alpha$  for  $i \neq 1$ . So  $K = \mathbb{Q}(\alpha)$ . Now corff of  $f = \text{Irr}(\alpha, \mathbb{Q})$  are  $\in \mathbb{Z}$  & are symm fctns in  $\sigma_1 \alpha, \dots, \sigma_n \alpha$  so have abs value  $\leq \text{const}$  dep only on  $n$  &  $d \Rightarrow \exists$  only fin many choices for  $f$  hence for  $K$ .

## Remarks

1) Similar proof  $\Rightarrow \forall$  ideal  $\mathfrak{a} \subset \mathcal{O}_K$  contains  $\alpha \in \mathfrak{a}$  s.t.

$$|N\alpha| \leq \frac{n!}{n^n} \left(\frac{4}{\pi}\right)^t d^{1/2} N\mathfrak{a}.$$

2) Writing  $\mathfrak{a} = (\alpha) \mathfrak{b}$  get  $N\mathfrak{a} = (N\alpha)(N\mathfrak{b})$  so

$$N\mathfrak{b} \leq \frac{n!}{n^n} \left(\frac{4}{\pi}\right)^t d^{1/2}. \text{ So any class in } \mathcal{C}(\mathcal{O}_K) \text{ contains}$$

an ideal of norm  $\leq \frac{n!}{n^n} \left(\frac{4}{\pi}\right)^t d^{1/2}$ .

3) Discr compat with localis & completion. Since  $\mathbb{Q}_p$  has only fin many ext  $K_p$  of fixed degree up to iso (p. 56)

$\Rightarrow$  there are only fin many discriminants

$\delta_{K_p} = \Delta(\mathcal{O}_{K_p})$  for such ext's so only fin many powers of  $p$  dividing such ext's. So if consider ext's of  $K$  of  $\mathbb{Q}$  of fixed degree  $n$  then  $\forall p$  the exponent of  $p$  in  $\delta_K$  is bounded by a const  $C(n, p)$ . So if you fix  $n$  & the primes dividing  $\delta_K$  then you bound  $\delta_K$  hence you find only fin many  $K$ 's.

Hence:

(Th) (Hermite)  $\exists$  only fin many  $\#$  fields of fixed degree, unramif outside fin set of primes of  $\mathbb{Q}$

## 26 Quadratic fields again

Def "Quadratic field" means  $K$  s.t.  $[K:\mathbb{Q}] = 2$ . Note  $K$  quadr  $\Rightarrow \exists d \in \mathbb{Z}$ , s.t.  $K = \mathbb{Q}(\sqrt{d})$ .  $K/\mathbb{Q}$  Galois,  $G(K/\mathbb{Q}) = \{1, \sigma\}$ ,  $\sigma\sqrt{d} = -\sqrt{d}$ . Note  $N: K \rightarrow \mathbb{Q}$ ,  $N(a + b\sqrt{d}) = a^2 - db^2$ ,  $\text{Tr}(a + b\sqrt{d}) = 2a$ .

(a) Prop If  $d \equiv 2, 3(4) \Rightarrow \mathcal{O}_K = \mathbb{Z}[\sqrt{d}]$ . If  $d \equiv 1(4) \Rightarrow \mathcal{O}_K = \mathbb{Z}[-\frac{1}{2} + \frac{\sqrt{d}}{2}]$   
 Pf. Prove 1st that  $\alpha \in \mathcal{O}_K \Leftrightarrow \text{Tr} \alpha, N\alpha \in \mathbb{Z}$  (" $\Rightarrow$ " by (22); " $\Leftarrow$ "  $\alpha^2 - (\text{Tr} \alpha)\alpha + N\alpha = 0$ )  
 Then  $x + y\sqrt{d} \in \mathcal{O}_K$  ( $x, y \in \mathbb{Q}$ )  $\Leftrightarrow 2x \in \mathbb{Z}$  &  $x^2 - dy^2 \in \mathbb{Z} \Rightarrow 4dy^2 \in \mathbb{Z}$ .  
 $2y = m/n$  ( $m, n \in \mathbb{Z}$ )  $\Rightarrow dm^2 = 4n^2 \Rightarrow (\forall \text{ prime } p | n \Rightarrow p^2 | dm)$ .  
 Write  $2x = a$ ,  $2y = b$ ,  $a, b \in \mathbb{Z}$ ;  $\Rightarrow a^2 - db^2 = 4(x^2 - dy^2) \equiv 0(4)$ .  
 If  $d \equiv 3(4) \Rightarrow a^2 - db^2 \equiv a^2 + b^2(4) \Rightarrow$  both  $a, b$  even  $\Rightarrow$  done. Similar for  $d \equiv 2(4)$ .  
 If  $d \equiv 1(4) \Rightarrow a^2 - db^2 \equiv a^2 - b^2(4) \Rightarrow a \equiv b(2)$  same parity  $\Rightarrow \frac{a+b}{2} \in \mathbb{Z}$ .

(b) Prop If  $K = \mathbb{Q}(\sqrt{d})$  &  $\delta_K = \text{discrim}$   $\Rightarrow \delta_K = 4d$  if  $d \equiv 2, 3(4)$   
 $\delta_K = d$  if  $d \equiv 1(4)$   
 Pf Just compute  $\det(\text{Tr}(\alpha_i, \alpha_j))$  where  $\alpha_1 = 1, \alpha_2 = \sqrt{d}$  in 1st case &  $\alpha_1 = 1, \alpha_2 = -\frac{1}{2} + \frac{\sqrt{d}}{2}$  in 2nd.

(c) Prop Let  $p$  be a prime  $\in \mathbb{Z}$ , odd,  $K = \mathbb{Q}(\sqrt{d})$ .  $p$  ramifies  $\Leftrightarrow p | \delta_K$ .  
 If  $p \nmid \delta_K$  then  $p$  splits (completely) in  $K \Leftrightarrow (\frac{d}{p}) = 1$   
 Pf. Ass  $d \equiv 2, 3(4)$ . Then  $\mathcal{O}_K/p\mathcal{O}_K = \mathbb{Z}[\sqrt{d}]/p\mathbb{Z}[\sqrt{d}] = \mathbb{F}_p[x]/(x^2 - \bar{d})$  as in (5).  
 As there if  $(\frac{d}{p}) = -1 \Rightarrow x^2 - \bar{d}$  irr  $\Rightarrow p\mathcal{O}_K$  prime.  
 If  $(\frac{d}{p}) = 1 \Rightarrow x^2 - \bar{d} = (x - \lambda)(x + \lambda)$ ,  $\lambda \in \mathbb{F}_p$ ,  $\lambda^2 = \bar{d}$  & the 2 factors are not assoc [bec  $\lambda \neq -\lambda$ , bec  $2\lambda \neq 0$  bec  $2 \neq 0$  &  $\bar{d} \neq 0$ ]. So  $\mathbb{F}_p[x]/(x^2 - \bar{d})$  has 2 prime ideals  $\Rightarrow \exists$  2 prime id in  $\mathbb{Z}[\sqrt{d}]$  containing  $p$ .  $\Rightarrow$  OK.  
 Ass  $d \equiv 1(4)$ . Then  $\mathcal{O}_K = \mathbb{Z}[\alpha]$ ,  $\alpha = \frac{1}{2} + \frac{\sqrt{d}}{2}$ . Since  $\text{Tr} \alpha = 1$ ,  $N\alpha = \frac{1-d}{4}$  so  $\alpha$  sat  $x^2 + x + \frac{1-d}{4} = 0$  & clearly this is irr (a,  $\mathbb{Q}$ ) so if  $d = 4k+1$  get  $\mathbb{Z}[\alpha] = \mathbb{Z}[x]/(x^2 + x - k)$  so  $\mathcal{O}_K/p\mathcal{O}_K \cong \mathbb{F}_p[x]/(x^2 + x - \bar{k})$ .  
 $\Leftrightarrow 4x^2 + 4x - 4\bar{k}$  irr  $\Leftrightarrow (2x+1)^2 - \bar{d}$  irr  $\Leftrightarrow y^2 - \bar{d}$  irr & do as above.

(d) Cor Let  $d \in \mathbb{Z}$ ,  $d \not\equiv 0(4)$ . Then  $\{p \in \mathbb{Z} | p \text{ prime}, p \text{ splits completely in } K\}$  is a finite union of arithmetic progressions.  
 Pf cf (c) + multiplic of  $(\frac{d}{p})$  & quadratic reciprocity.  
 Rem: This is the kind of stat generalized through "class field th".

(e) Prop Let  $K = \mathbb{Q}(\sqrt{d})$ ,  $d < 0$ . Then  $U_K = \begin{cases} \pm 1, \pm i & \text{if } d = -1 \\ \pm 1, \pm \omega, \pm \omega^2 & \text{if } d = -3 \\ \pm 1 & \text{otherwise} \end{cases}$  [if  $z \in U_K \Leftrightarrow Nz = 1$ ]  
 Tr equiv,  $p$  is a norm  $N\alpha$ , cf (22) (4)  $a^2 - db^2 = 1$



Prop Let  $K = \mathbb{Q}(\sqrt{d})$ ,  $d > 0$ . Then  $\mathcal{O}_K = \{\pm u^m \mid m \in \mathbb{Z}\}$  for some  $u \in \mathcal{O}_K$ ,  $u$  not a root of 1.

Pf If  $r, s$  are as in (25)  $r=2, s=0$  so rank  $U_K \leq 1$  cf (25)(d). Let's prove rank=1.

$u \in U_K \Leftrightarrow Nu = \pm 1$  ~~(...)~~. So enough to find  $\infty$  sol to "Pell's eqn": ~~(...)~~

(E) (Lagrange)  $x^2 - dy^2 = 1$  ( $d > 0$ ) has  $\infty$  many integral sol's.

Need:

(D) (Dirichlet) If  $\xi \in \mathbb{C} \setminus \mathbb{Q} \Rightarrow \exists \infty$  many  $\frac{x}{y} \in \mathbb{Q}$ ,  $x, y \in \mathbb{Z}$ ,  $(x, y) = 1$  s.t.  $|\frac{x}{y} - \xi| < \frac{1}{y^2}$

Pf Enough to prove (\*)  $\forall m \in \mathbb{N} \exists x_m, y_m \in \mathbb{Z}$ ,  $(x_m, y_m) = 1$  s.t.  $|\frac{x_m}{y_m} - \xi| < \frac{1}{y_m^2}$  [Indeed

~~(...)~~  $\frac{1}{y_m^2} \leq \frac{1}{y_m^2}$  &  $|\frac{x_m}{y_m} - \xi| \rightarrow 0$  as  $m \rightarrow \infty$  so  $(x_m, y_m)$  must form an  $\infty$  set]

To check (\*) divide  $[0, 1]$  into  $m$  equal segments & consider the  $m+1$  #'s

$0, \{\xi\}, \{\xi^2\}, \dots, \{\xi^m\}$  where  $\{x\} = x - \lfloor x \rfloor$ . By pigeon hole princ  $\exists 0 \leq p < k \leq m$  s.t.  $|\{k\xi\} - \{p\xi\}| < \frac{1}{m}$ . Get  $|k\xi - [k\xi] - p\xi + [p\xi]| < \frac{1}{m}$ . Get  $|\frac{k\xi - [k\xi] - p\xi + [p\xi]}{k-p} - \xi| < \frac{1}{(k-p)m}$

Pf of (E) Claim  $\exists M \in \mathbb{R}$  s.t. eqn  $|x^2 - dy^2| < M$  has  $\infty$  sol in  $\mathbb{Z}^2$ .

[Indeed By (D) have  $\infty$  pairs  $(x_m, y_m)$  s.t.  $|\frac{x_m}{y_m} - \sqrt{d}| < \frac{1}{y_m^2}$ . Multiply by  $|\frac{x_m}{y_m} + \sqrt{d}|$

Get  $|\frac{x_m^2}{y_m^2} - d| \leq \frac{1}{y_m^2} |\frac{x_m}{y_m} + \sqrt{d}| \leq \frac{1}{y_m^2} (2\sqrt{d} + 1)$  because  $\frac{x_m}{y_m} \rightarrow \sqrt{d}$  because (\*)]

By Claim  $\exists k, M \leq k \leq M$  &  $\exists \infty$  many pairs  $(x_m, y_m)$  s.t.  $x_m^2 - dy_m^2 = k$ .

Let  $\alpha = x_m + y_m\sqrt{d}$ . Get  $x_m - \sqrt{d}y_m = \frac{k}{\alpha}$  &  $x_m \equiv x_u \pmod{k}$  &  $y_m \equiv y_u \pmod{k}$

$\alpha\beta \equiv \pm k \pmod{k}$  Set  $d = x_u - y_u\sqrt{d}$ ,  $\beta = x_u - y_u\sqrt{d}$ . Then  $\alpha\beta = (x_u - y_u\sqrt{d})(x_u + y_u\sqrt{d}) = x_u^2 - y_u^2d = k$ . Taking  $N: \mathbb{Q}(\sqrt{d}) \rightarrow \mathbb{Q}$  get  $k^2 = N(\alpha)N(\beta) = k^2 N(a + b\sqrt{d}) \Rightarrow N(a + b\sqrt{d}) = 1 \Rightarrow a^2 - b^2d = 1$ . Have  $b \neq 0$  by (\*) so  $a + b\sqrt{d}$  is not a root of 1  $\Rightarrow$  done.

( $\sqrt{d}$  only real roots of  $t^2 - d = 0$ )

Prop  $p$  odd prime,  $d \in \mathbb{Z}$ ,  $K = \mathbb{Q}(\sqrt{d})$ ,  $\sigma_q = (q, \mathbb{Q}(\sqrt{d})/\mathbb{Q})$  Artin symbol. Then

$\sigma_q(\sqrt{d}) = (\frac{d}{q})\sqrt{d}$ . Indeed  $\sigma_q = \text{id} \Leftrightarrow q$  splits completely  $\Leftrightarrow (\frac{d}{q}) = 1$ . (This

justifies calling the Artin symbol "the recipr. map".

[E.g. if say  $p, q = 3(4)$  &  $(\frac{4}{3}) = -1$ . Have

$\sqrt{p} = \bar{x} \in \mathcal{O}_K = \mathbb{Z}[\sqrt{p}] = \mathbb{Z}[x]/(x^2 - p)$

$\mathcal{O}_K/\mathfrak{q}\mathcal{O}_K = \mathbb{F}_q[x]/(x^2 - \bar{p}) \cong \mathbb{F}_{q^2} \ni \bar{x}$

$\Downarrow$

$\sigma_q$

$\downarrow$

$\downarrow$

$\sigma_q \text{ mod } \mathfrak{q} = \text{Frob}_q$

$-\sqrt{p} = -\bar{x} \in \mathbb{Z}[x]/(x^2 - p)$

$\mathbb{F}_q[x]/(x^2 - \bar{p})$

$\ni -\bar{x} = \bar{x}^q$

Remark (7) may be proved "directly" using Minkowski's Lemma (27)(E) applied to  $L\psi(\mathcal{O}_{\mathbb{Q}(\sqrt{d})}) \subset \mathbb{R}^2$  &  $C_\varepsilon = \{(z_1, z_2) \in \mathbb{R}^2 \mid |z_1| \leq \varepsilon, |z_2| \leq a/\varepsilon\}$

$\varepsilon \rightarrow 0, (V(C_\varepsilon)) = a \geq 2^2 d^{1/2} \geq 4\sqrt{d} \Rightarrow \exists \alpha \in \mathcal{O}_K \cap C_\varepsilon \Rightarrow |N\alpha| \leq a \frac{a}{\varepsilon} = a^2$

## (27) Cyclotomic fields again

Set as usual  $\zeta_m = e^{2\pi i/m}$ . Recall from (16) proof of (3) that  $\Phi_m = \text{Irr}(\zeta_m, \mathbb{Q}) \in \mathbb{Z}[x]$  & has degree  $\varphi(m)$ . Also  $G(\mathbb{Q}(\zeta_m)/\mathbb{Q}) \cong (\mathbb{Z}/m\mathbb{Z})^*$ ,  $\sigma \mapsto j$ ,  $\sigma \zeta_m = \zeta_m^j$ . (Note  $\Phi_m \in \mathbb{Z}[x]$  also foll from gen theory in (22) :  $\text{Irr}(\text{integer}, \mathbb{Q}) \in \mathbb{Z}[x]$ .)

(2) (Prop) If  $K = \mathbb{Q}(\zeta_m)$  then  $\mathcal{O}_K = \mathbb{Z}[\zeta_m]$ .

Pf (only case  $m = p$  prime). In this case  $f = \text{Irr}(\zeta_p, \mathbb{Q}) = x^{p-1} + \dots + x + 1$ . Set  $\zeta = \zeta_p$ . Take  $\alpha = a_0 + a_1 \zeta + \dots + a_{p-2} \zeta^{p-2} \in \mathcal{O}_K$ . Note  $\text{Tr} \zeta^j = -1$  if  $j = 1, \dots, p-1$  (because  $\zeta^j$  also prim root of 1 so has irr polyn  $f$ ). Get  $\text{Tr}(\alpha \zeta^{-s}) = -a_0 - a_1 - \dots + (p-1)a_s - \dots - a_{p-2}$ . So  $\mathbb{Z} \ni \text{Tr}(\alpha \zeta^{-s} - \alpha \zeta) = p a_s$  for  $0 \leq s \leq p-2$ .

Need:

(A) (L) If  $\lambda = 1 - \zeta$  then  $(\lambda)^{p-1} = (p)$ . [Indeed  $x^{p-1} + \dots + 1 = \prod_{j=1}^{p-1} (x - \zeta^j)$  - Setting  $x=1$  get  $p = \prod_{j=1}^{p-1} (1 - \zeta^j)$ . Enough to check  $1 - \zeta \sim 1 - \zeta^j$ . Clearly  $1 - \zeta \mid 1 - \zeta^j$ . But now we may interchange roles of  $\zeta$  &  $\zeta^j$ , bec  $\zeta^j$  is also prim. So  $1 - \zeta^j \mid 1 - \zeta$ .]

Pf of (2) continued. By above  $p\alpha \in \mathbb{Z}[\zeta] = \mathbb{Z}[\lambda]$  so  $p\alpha = b_0 + b_1 \lambda + \dots + b_{p-2} \lambda^{p-2}$ ,  $b_i \in \mathbb{Z}$ . Claim:  $p \mid b_i$  for all  $i$  (this will close pf.) Ass not so ass  $p \nmid b_{s+1}$ ,  $p \nmid b_{s+1}$  for some  $s \geq -1$ . Then dividing by  $\lambda^{s+1}$  get  $\lambda \mid b_{s+1}$ . Taking norms  $\Rightarrow p \mid b_{s+1}$  also. (+)

(Prop) If  $K = \mathbb{Q}(\zeta_p)$ ,  $p$  prime  $\Rightarrow |\mathcal{O}_K| = p^{p-2}$  [By (25) (r),  $|\mathcal{O}_K| = |N(f'(\zeta))| = |N((\zeta - \zeta^2)(\zeta - \zeta^3) \dots (\zeta - \zeta^{p-1}))| = (N\lambda)^{p-2} = p^{p-2}$ ] So all primes  $q \neq p$  are unramified in  $K$ .

(f) (Prop) If  $K = \mathbb{Q}(\zeta_p)$  &  $q \in \mathbb{Z}$  prime  $\neq p$  then  $q$  splits completely in  $K$   $\Leftrightarrow q \equiv 1 \pmod{p}$ .

Pf.  $q$  splits compl in  $K \Leftrightarrow \mathcal{O}_K / q \mathcal{O}_K$  has  $p-1$  prime ideals  $\Leftrightarrow$  (bec  $\mathcal{O}_K / q \mathcal{O}_K = \mathbb{Z}[\zeta_p] / q \mathbb{Z}[\zeta_p] = \mathbb{Z}[x] / (x^{p-1} + \dots + 1) \simeq \mathbb{F}_q[x] / (x^{p-1} + \dots + 1)$ )

$\mathbb{Z}[\zeta_p] / q \mathbb{Z}[\zeta_p] = \mathbb{Z}[x] / (x^{p-1} + \dots + 1) \simeq \mathbb{F}_q[x] / (x^{p-1} + \dots + 1)$

splits into linear factors in  $\mathbb{F}_q[x] \Leftrightarrow$  all roots of  $x^{p-1} + \dots + 1 \in \mathbb{F}_q[x]$  lie in  $\mathbb{F}_q$ .

If last happens, since roots of  $x^{p-1} + \dots + 1$  is a subgrp of ord  $p$  of  $\mathbb{F}_q^*$  which has ord  $q-1 \Rightarrow p \mid q-1 \Rightarrow p \equiv 1 \pmod{q}$ . (conversely, if  $p \equiv 1 \pmod{q}$ , let  $q-1 = pm$

& let  $\mathbb{F}_q^* = \langle \omega \rangle$ . Then  $1, \omega^m, \omega^{2m}, \dots, \omega^{(p-1)m}$  are distinct roots of  $x^{p-1} + \dots + 1 \in \mathbb{F}_q[x]$ .

(Prop) If  $K = \mathbb{Q}(\zeta_p) \Rightarrow (U)_K = \{1, \zeta_p, \dots, \zeta_p^{p-1}\}$  [Indeed if  $u \in U_K \Rightarrow u = \zeta_m^i$  for some  $m$  so  $\mathbb{Q}(\zeta_m) \subset \mathbb{Q}(\zeta_p)$ . One concludes by (16) & its cor that  $m = p$ ]

(+) Note that  $(\lambda)$  prime in  $\mathcal{O}_K = \mathbb{Z}[\zeta_p]$  because if not then one contradicts  $[q(\zeta_p) \mathcal{O}_K] = \mathbb{Z}$  e.g.

⑧ Prop Let  $K = \mathbb{Q}(\zeta_p)$  &  $n \in \mathbb{Z}$ ,  $p \nmid n$  &  $\sigma_n = (n\mathbb{Z}, K/\mathbb{Q}) \in G(K/\mathbb{Q})$  the Artin symbol

Then  $\sigma_n \zeta_p = \zeta_p^n$  [This is stronger than ⑦ because we get  $\sigma_q = \text{id} \Leftrightarrow q \equiv 1 \pmod{p}$ ]  
But ⑧ was proved "directly".

Pf Enough to check for  $n=q$  prime. Let  $\tau_q \in G(K/\mathbb{Q})$ ,  $\tau_q \zeta_p = \zeta_p^q$ .

Enough to check  $\tau_q w \equiv w^q \pmod{p}$  for all  $w \in \mathbb{Z}[\zeta_p]$  (bec it then holds mod any  $\nmid 1, p$ ,  $K \subset \mathbb{Q}_K$ )

Let  $w = a_0 + a_1 \zeta_p + \dots + a_{p-2} \zeta_p^{p-2}$ . Get  $\tau_q w = a_0 + a_1 \zeta_p^q + \dots + a_{p-2} \zeta_p^{q(p-2)} \equiv$

$$\equiv (a_0 + a_1 \zeta_p + \dots + a_{p-2} \zeta_p^{p-2})^q = w^q \pmod{p}.$$

bec  $a_i^q \equiv a_i \pmod{p}$

$\sum q \mid \binom{p}{j}, j \neq 0, q$

Another pf. of quadratic reciprocity (without Gauss sums)

Let  $p, q$  be odd primes,  $p \neq q$ . Set  $K = \mathbb{Q}(\zeta_p)$ . By pf of ③ have

$p = (1 - \zeta_p) \dots (1 - \zeta_p^{p-1})$ . But  $(1 - \zeta_p^i)(1 - \zeta_p^{p-i}) = (1 - \zeta_p^i)(1 - \zeta_p^{-i}) = -\zeta_p^{-i}(1 - \zeta_p^i)^2$

$p = (-1)^{\frac{p-1}{2}} \zeta_p^b \prod_{i=1}^{\frac{p-1}{2}} (1 - \zeta_p^i)^2$ ,  $b \in \mathbb{Z}$ . Since  $(\zeta, p) = 1$ ,  $\zeta_p^b = \zeta_p^{2c}$ ,  $c \in \mathbb{Z}$  so

$p^* := (-1)^{\frac{p-1}{2}} p = \tau^2$ ,  $\tau \in \mathbb{Z}[\zeta_p]$ . With notations of ⑧

$$\sigma_q \tau = \tau \Leftrightarrow \sigma_q \in G(\mathbb{Q}(\zeta_p)/\mathbb{Q}(\tau)) \Leftrightarrow \sigma_q \text{ is a square in } G(\mathbb{Q}(\zeta_p)/\mathbb{Q})$$

bec  $G(\mathbb{Q}(\zeta_p)/\mathbb{Q}) \simeq (\mathbb{Z}/p\mathbb{Z})^*$  is cyclic  
so  $G(\mathbb{Q}(\zeta_p)/\mathbb{Q}(\tau))$   
is the unique gr of index 2.

$\tau$  is a square in  $(\mathbb{Z}/p\mathbb{Z})^*$

$$\left(\frac{q}{p}\right) = 1$$

$$\text{So } \sigma_q \tau = \left(\frac{q}{p}\right) \tau.$$

Now  $\sigma_q|_{\mathbb{Q}(\tau)} \in G(\mathbb{Q}(\tau)/\mathbb{Q})$  coincides with the Artin symbol  $(q\mathbb{Z}, \mathbb{Q}(\tau)/\mathbb{Q})$   
(obvious functoriality: condition  $\sigma_q x \equiv x^q \pmod{q} \Rightarrow \sigma_q x \equiv x^q \pmod{q \cap \mathbb{O}_{\mathbb{Q}(\tau)}}$ )

so by Prop ④ in ③ (which was not using quadr. rec.) have

$$\sigma_q \tau = \left(\frac{p^*}{q}\right) \tau. \quad \text{conclude } \left(\frac{q}{p}\right) = \left(\frac{p^*}{q}\right) = \left(\frac{-1}{q}\right)^{\frac{p-1}{2}} \left(\frac{p}{q}\right) = (-1)^{\frac{p-1}{2} \frac{q-1}{2}} \left(\frac{p}{q}\right)$$

qed

$$\oplus \text{ so } \tau = \sqrt{p^*}$$

## 28) Abelian extensions: class field th.

that may be  $\phi$ 

**Def** Let  $K$  be a # field &  $S$  a set of primes of  $K$  den by  $I(S)$  the semigr  $I(\prod_{p \in S} p)$  of all ideals  $\mathfrak{a} \in I(\mathcal{O}_K)$  prime to  $S$  i.e. not div by any  $p \in S$ . For  $\nexists$  abel ext  $L/K$  unramif outside  $S$  (i.e.  $\forall p \in S \Rightarrow p$  unram. in  $L$ ) we have **(22)**  $\phi$  the recipr. map (Artin map)

$$\mathcal{A}_{L/K}^S := (\cdot, L/K) : I(S) \rightarrow G(L/K)$$

Then  $\text{Ker } \mathcal{A}_{L/K}^S$  is a semigr  $\subset I(S)$  (a priori not full: it satisfies axiom 2) in def of "full" but a priori not 1)). Call  $\text{Ker } \mathcal{A}_{L/K}^S$  the Artin kernel.

**Th1**  $\mathcal{A}_{L/K}^S$  surj &  $\exists C \in I(\mathcal{O}_K)$ ,  $\text{Supp } C = S$  ( $\nexists \pm$ ) s.t.  $P_C^+ \subset \text{Ker } \mathcal{A}_{L/K}^S$ . In partic  $\text{Ker } \mathcal{A}_{L/K}^S$  is full & have gr. iso  $I(C)/\text{Ker } \mathcal{A}_{L/K}^S \xrightarrow{\sim} G(L/K)$ . Moreover gr.  $\text{Ker } \mathcal{A}_{L/K}^S / P_C^+$  is gen by  $N_{L/K} I(C\mathcal{O}_L)$ . So have

$$\frac{I(C)/P_C^+}{\langle N_{L/K} I(C\mathcal{O}_L) \rangle} \xrightarrow{\sim} G(L/K)$$

**Obs.** This shows that the primes  $p \in I(C)$  that split completely in  $L$  are precisely the primes lying in a finite union of ray classes

**Th2** The map  $L/K \mapsto \text{Ker } \mathcal{A}_{L/K}^S$  establishes a bij between  $\Pi$  abelian ext of  $K$  unramif outside  $S$  &  $\Pi$  full semigr of  $I(S)$  that contain a suitable  $P_C^+$  for some  $C \in I(\mathcal{O}_K)$ ,  $\text{Supp } C = S$ . This corresp reverses inclus.

**(Obs) i)** If a semigr  $\Gamma \in \Pi$  corresponds to  $L/K \in \Pi$  say  $L/K$  is a class field.

2) Note that  $P$  (= semigr of principal  $\in I(\mathcal{O}_K)$ ) belongs to  $\Pi$  for  $S = \emptyset$ . The class field to  $P$  is called Hilbert class field of  $K$  & is den by  $H(K) = H$ . Note  $H/K$  unramif,  $[H:K] = |Cl(K)|$ , more precisely  $Cl(K) \xrightarrow{\sim} G(H/K)$ .

3) Similarly  $P^+$  (= semigr of pr id  $\alpha \in \mathcal{O}_K^\times$ ,  $\alpha \in \mathcal{O}_K^\times$ ,  $\alpha$  totally ~~positive~~ positive i.e.  $\sigma \alpha > 0$ ,  $\forall \sigma \in \text{Hom}(K, \mathbb{R})$ ) belongs to  $\Pi$  for  $S = \emptyset$  (is the minimal elt). The class field to  $P^+$  is then the max abelian non-ram ext of  $K$  & is den by  $H^+(K)$  (so  $H^+(K) \supset H(K)$ ). By def & **Th1**  $I/P^+ \cong G(H^+/K)$ .

4) If  $S \neq \emptyset$  arb,  $\Pi$  has no minimal elt, so  $\Pi$  has no max elt! For each  $C \in I(\mathcal{O}_K)$   $\text{Supp } C = S$  the corr class field is called the ray class field of  $C$ , denoted  $H_C^+$ .

$$P \supset P_C^+ \text{ for } C = \mathcal{O}_K.$$

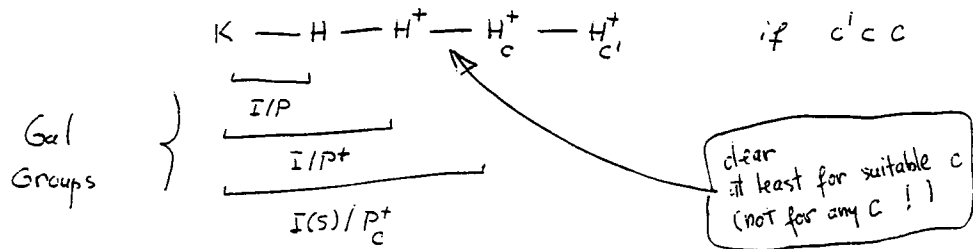
**(+)** we stress that "abel ext" are "finite Galois ext with abel Gal group"

$$\text{if } S = \emptyset \text{ set } \prod_{p \in S} p \stackrel{\text{def}}{=} \mathcal{O}_K \text{ so } I(S) = I(\mathcal{O}_K)$$

**(+)** i.e. The primes that divide  $C$  are precisely those in  $S$ . So  $I(C) = I(S)$

**(+)** or Hilbert+ class field/

Have  $I(S)/P_c^+ \cong G(H_c^+/K)$ . So picture is



(Ex) Case  $K = \mathbb{Q}$ ,  $H = H^+ = \mathbb{Q}$ ,  $H_{m\mathbb{Z}}^+ = \mathbb{Q}(\zeta_m)$ . (Cor)  $\forall$  ab ext of  $\mathbb{Q}$  contained in some  $\mathbb{Q}(\zeta_m) \leftarrow$  Kronecker-Wiefer Th.

In gen  $\forall L \in \mathcal{I}$  is contained in some  $H_c^+$ . Any such  $c$  is called Artin conductor.

(Rem) Strategy of pfs (cf Artin) It is suff to prove (in order to prove Th 1 & 2) (12e)

- (surj of Artin map):  $\alpha_{L/K}^S$  surj for  $\forall$  ab ext  $L/K$  unr outside  $S$ .
- (universal norm index th):  $\left[ \frac{I(c)}{P_c^+} : \langle N_{L/K} I(c \mathcal{O}_L) \rangle \right] \leq [L:K]$  for any  $L/K$  as in a) &  $\forall c \in I(\mathcal{O}_K)$ ,  $\text{Supp } c = S$ .
- (existence of Artin conductor): for any ab ext  $L/K$  unr outside  $S$ ,  $\exists c \in I(\mathcal{O}_K)$ ,  $\text{Supp } c = S$  s.t.  $\text{Ker } \alpha_{L/K}^S \supset P_c^+$ .
- (uniquity of class fields): If  $\text{Ker } \alpha_{L_1/K}^S = \text{Ker } \alpha_{L_2/K}^S$  for 2 ab ext  $L_1, L_2$  unr out  $S \Rightarrow L_1 = L_2$ .
- (existence th for cl fields): For  $\forall$  full semi-gr  $\Gamma$  containing a  $P_c^+$   $\exists$  ab ext  $L/K$  unr out  $S$  s.t.  $\Gamma = \text{Ker } \alpha_{L/K}^S$ .

[We shall prove later a), b), d) but not c) & e)]  
Need: zeta fns for a), d) &  $L$ -fns for b)

c) & e) are proved algebraically by adjoining suitable roots of 1, applying cycl th + Kummer th & going back.

# Riemann & Dedekind

## Zeta functions $\zeta$ & $\zeta_K$

**Def** For  $n \in \mathbb{Z}^+ \neq 1$  set  $n^s = e^{(\log n)s} \in \mathbb{C}^*$ . So  $|n^s| = n^{\text{Re } s}$ . Write  $s = x + iy$ ,  $x, y \in \mathbb{R}$

**(a) Th** (Convergence of Dirichlet series) Let  $(a_n)_{n \geq 1}$  be a seq  $a_n \in \mathbb{C}$ . Assume  $\sum_{n \leq T} a_n = O(T^r)$  for some  $r \geq 0$  (cf  $\oplus$ ). Then  $\sum_{n=1}^{\infty} \frac{a_n}{n^s}$  converges unif<sup>++</sup> on any compact subset of  $\{\text{Re } s > r\}$  (hence is hol in  $s$  on  $\{\text{Re } s > r\}$ .)

**Pf** Analysis.

**(Ex)**  $\zeta(s) := \sum_{n=1}^{\infty} \frac{1}{n^s} = 1 + \frac{1}{2^s} + \frac{1}{3^s} + \dots$  conv & is hol on  $\{\text{Re } s > 1\}$ . Also  $f(s) := 1 - \frac{1}{2^s} + \frac{1}{3^s} - \dots$  conv & is hol on  $\{\text{Re } s > 0\}$ .

**(b) Prop**  $(s-1)\zeta(s)$  extends<sup>(\*)</sup> to a hol fcn on  $\{\text{Re } s > 0\}$ . So  $\zeta(s)$  extends<sup>(equiv)</sup> to mer fcn on  $\{\text{Re } s > 0\}$  with 1 pole of ord 1 at  $s=1$ .

$$\text{Pf. } (1 - \frac{2}{2^s})\zeta(s) = (1 + \frac{1}{2^s} + \frac{1}{3^s} + \frac{1}{4^s} + \dots) - 2(\frac{1}{2^s} + \frac{1}{4^s} + \frac{1}{6^s} + \frac{1}{8^s} + \dots) \stackrel{(\nabla)}{=} 1 - \frac{1}{2^s} + \frac{1}{3^s} - \frac{1}{4^s} + \dots =: f(s)$$

$$(1 - \frac{3}{3^s})\zeta(s) = (1 + \frac{1}{2^s} + \frac{1}{3^s} + \frac{1}{4^s} + \dots) - 3(\frac{1}{3^s} + \frac{1}{6^s} + \frac{1}{9^s} + \frac{1}{12^s} + \dots) \stackrel{(\nabla)}{=} 1 + \frac{1}{2^s} - \frac{2}{3^s} + \frac{1}{4^s} - \frac{2}{5^s} + \dots =: g(s)$$

for  $\text{Re } s > 1$ . By  $\oplus$   $f$  &  $g$  are hol on  $\{\text{Re } s > 0\}$ . By

$$\zeta(s) = \frac{f(s)}{1 - \frac{2}{2^s}} = \frac{g(s)}{1 - \frac{3}{3^s}} \quad (\text{Re } s > 1)$$

& fact that  $1 - \frac{2}{2^s}$ ,  $1 - \frac{3}{3^s}$  have only  $s=1$  as common zero  $\nabla \nabla$  & this is a simple 0  $\nabla \nabla \nabla$ .  
Now to define zeta fcn of Dedekind need:

**(\*) (L)** Let  $K$  be a # field,  $[K:\mathbb{Q}] = N$ . Then  $\exists$  constant  $\gamma \in \mathbb{R}$  with the foll prop.  
For any dirclass  $\mathcal{C} \subset I(\mathcal{O}_K)$   $\smile$  we have  $\# \{ \underline{a} \in \mathcal{C} \mid |N\underline{a}| \leq T \} = \gamma \cdot T + O(T^{1-\frac{1}{N}})$ .

**Pf** (idea<sup>unique</sup> only). Let  $\underline{b}_0 \in I$  s.t.  $\underline{a}_0 \underline{b}_0 \in \mathcal{P}$ ,  $\underline{a}_0 \in \mathcal{C}$ .

$$\{ \underline{a} \in \mathcal{C} \mid |N\underline{a}| \leq T \} \xrightarrow{\sim} \{ (\underline{a}) \subset \underline{b}_0 \mid |N\underline{a}| \leq (N\underline{b}_0) \cdot T \} \approx \{ \underline{a} \in \underline{b}_0 \mid |N\underline{a}| \leq (N\underline{b}_0)T \} / U_K$$

(If  $U_K$  finite our # is  $|U_K|^{-1} \cdot \# \{ \underline{a} \in \underline{b}_0 \mid |N\underline{a}| \leq (N\underline{b}_0)T \}$  a.s.o. if  $U_K$  infinite more subtle. ...)

**(\*)** i.e.  $\mathcal{C} =$  equiv class wrt  $\underline{a}_1 \sim \underline{a}_2 \Leftrightarrow \exists \underline{d}_1, \underline{d}_2 \in \mathcal{O}_K$  s.t.  $\underline{a}_1 \underline{d}_1 = \underline{a}_2 \underline{d}_2$ ; i.e.  $\mathcal{C}$  f.h.c of  $I(\mathcal{O}_K) \xrightarrow{K} I(\mathbb{P})$

$\nabla$  but not by the original series

$\nabla \nabla \nabla$  look at derivative

$\nabla \nabla$   $\exists \eta$   $n^s = 1$  has sol's  $s \in \{ \frac{2k\pi i}{\log n} \mid k \in \mathbb{Z} \}$

$\nabla$  for  $\text{Re } s > 1$  where everything is abs conv by  $\oplus$  I can change order of summation

$\nabla$  If  $f, g: [1, \infty) \rightarrow \mathbb{R}$  (or  $\mathbb{N} \rightarrow \mathbb{R}$ ). Say  $f = O(g)$  if  $\exists C \in \mathbb{R}_+$  s.t.  $|f| \leq C \cdot g$

$\oplus$  The "Riem zeta fcn" (introduced by Euler).

$\nabla \nabla$  but not absolutely.

(1)  $O_{\mathcal{C}}(T^r)$  means a fcn  $\in O(T^r)$  that depends on  $\mathcal{C}$ .

$\nabla$   $s=1$  is really a pole for  $\zeta$  b/c.  
if  $\zeta$  were hol at  $s=1$  we would get  $f(1)=0$  which is not so  
 $f(1) = (1 - \frac{1}{2}) + (1 - \frac{1}{3}) - (1 - \frac{1}{4}) + \dots > 0$

$\mathcal{C}(K)$

(f) Rem More is true:  $\forall c \in I(\mathcal{O}_K) \exists$  const  $\gamma_c^+ \in \mathbb{R}$  s.t.  $\forall$  ray class  $\mathcal{R} \subset I(c)$  (i.e. fibre of  $I(c) \rightarrow I(c)/P_c^+$ ) we have  $\#\{a \in \mathcal{R} \mid Na \leq T\} = \gamma_c^+ T + O(T^{1-\frac{1}{N}})$ .

(Def) Let  $K$  be a # field,  $[K:\mathbb{Q}] = N$ . Define the Dedekind zeta fn by

$$\zeta_K(s) = \sum_{n=1}^{\infty} \frac{j_n}{n^s}, \quad j_n = \#\{a \in I(\mathcal{O}_K) \mid Na = n\}; \text{ by (L) } \sum_{n \leq T} j_n \leq h_K \gamma_c^+ T + O(T^{1-\frac{1}{N}}) = O(T) \text{ so by (x) } \zeta_K \text{ conv. \& hol on } \{Re s > 1\}.$$

$$\zeta_K(s) = \sum_{a \in I} \frac{1}{Na^s}, \quad Re s > 1 \quad (\text{no order of } \sum \text{ specified because positive terms so abs. conv})$$

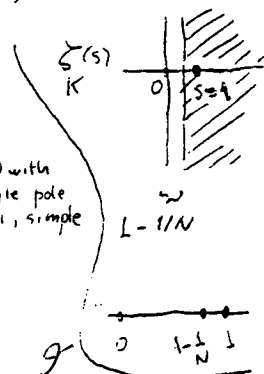
(also clearly  $\zeta_{\mathbb{Q}} = \zeta$ ).

(E) Prop  $(s-1)\zeta_K(s)$  extends to a hol fn on  $\{Re s > 1 - \frac{1}{N}\}$ ,  $N = [K:\mathbb{Q}]$ .  
( $\Rightarrow$  equiv  $\zeta_K$  mer for  $Re s > 1 - \frac{1}{N}$ , with only 1 pole at  $s=1$ , which is simple).

Pf For  $Re s > 1$  have (setting  $h = h_K$  the class #):

$$\zeta_K(s) = \sum_{n=1}^{\infty} \frac{j_n - h}{n^s} + h \zeta(s)$$

by (x) & (x) conv & hol for  $Re s > 1 - \frac{1}{N}$  extends to mer fn on  $Re s > 0$ , cf (A) with a single pole at  $s=1$ , simple



(L)  $a_1, a_2, \dots \in \mathbb{C}$ ,  $|a_i| < 1$  &  $\sum_{i=1}^{\infty} |a_i| < \infty$ . Then  $\prod_{i=1}^{\infty} (1 - a_i)^{-1} = 1 + \sum_{i=1}^{\infty} \sum_{a_1, \dots, a_i \neq 1} a_1^{r_1} \dots a_i^{r_i}$   
(Moreover both sums absolutely convy & order of factors & terms doesn't matter).

Pf Analysis

(Prop) Let  $K$  be a # field. Then for  $Re s > 1$  have (denoting by  $X = I = I(\mathcal{O}_K)$  the set of prime ideals)

$$\zeta_K(s) = \sum_{a \in I} \frac{1}{Na^s} = \prod_{p \in X} \left(1 - \frac{1}{Np^s}\right)^{-1}, \quad \text{both } \sum, \prod \text{ abs. conv \& indep of order}$$

Pf. By (L) must check  $\sum_{p \in X} \frac{1}{Np^s} < \infty$ . Have  $\sum_{p \in X} \frac{1}{Np^s} = \sum_{p \in X} Np^{-s} = \sum_{a \in I} N a^{-s} < \infty$

similarly, for any set of primes  $Y \subset X$ , let  $[Y] \subset I$  be the semigr gen by  $Y$  then define: bec  $\zeta_K(s)$  conv for  $Re s > 1$

(a) Prop  $\zeta_{K,Y}(s) := \sum_{a \in [Y]} \frac{1}{Na^s} = \prod_{p \in Y} \left(1 - \frac{1}{Np^s}\right)^{-1}$  for  $Re s > 1$  (both members converge absol & order of factors/terms irrelevant) & give a hol fn on  $\{Re s > 1\}$ .

(E) Def If  $\zeta_{K,Y}^n(s)$  may be extended to a mer fn in a nbhd of  $s=1$  having a pole of ord  $m$  at  $s=1$  we say  $Y$  has polar density  $m/n$ . Here  $m, n \in \mathbb{Z}_+$ .

(7)  $Re s > 1 \Rightarrow$  abs conv  $\Rightarrow$  may interchange ord of summation

- (b) Prop Let  $K$  be a # field &  $Y$  a set of primes,  $Y \subset X$  ( $X$  = set of all primes).
- 1) If  $Y$  finite  $\Rightarrow Y$  has polar density 0 [because each "Euler factor"  $\frac{1}{1 - \frac{1}{Np^s}}$  is hol for  $\text{Re } s > 0$ ]
  - 2) If  $Y = X \Rightarrow Y$  has pol dens 1 [because  $\zeta_{K,X} = \zeta_K$  has a pole of ord 1 at  $s=1$ ]
  - 3) If  $Y \subset Z \subset X$  &  $Z \setminus Y$  has pol dens 0 then ( $Y$  has pol dens 0  $\Leftrightarrow X$  has pol dens 0).  
[Indeed  $\zeta_{K,Z} = \zeta_{K,Y} \cdot \zeta_{K,Z \setminus Y}$  & by hyp  $\exists m$  st  $\zeta_{K,Z \setminus Y}^m$  is hol around  $s=1 \Rightarrow$  easily]
  - 4) If  $(\forall p \in Y \Rightarrow Np \text{ is not prime})$ , then  $Y$  has polar dens 0 [indeed let's check  $\zeta_{K,Y}(s)$  extends hdo to  $\{ \text{Re } s > \frac{1}{2} \}$ . Have  $\zeta_{K,Y} = \prod_{p \in Y} (1 - \frac{1}{Np^s})^{-1}$ ; each  $Np$  is a power of a prime in  $\mathbb{Z}$ , at least a square, & each prime in  $\mathbb{Z}$  occurs at most  $[K:\mathbb{Q}]$  times. So splitting  $Y$  according to primes of  $\mathbb{Z} \Rightarrow \zeta_{K,Y}$  = prod of  $[K:\mathbb{Q}]$  subprod, & each subprod is expressible as  $\sum \frac{a_n}{n^s}$  with  $\sum a_n$  bounded by the similar prop. & done by (29) (2)]

- (c) Th Let  $L/K$  be a normal ext of # fields. Then the set  $Y_{L/K}$  of primes of  $K$  that split completely in  $L$  has polar density  $1/[L:K]$ . (In partic  $Y_{L/K}$  is infinite, with no complement in the set of all primes)
- Pf Let  $Y = Y_{L/K}$  & let  $Z$  be the set of primes of  $L$  lying over primes of  $Y$ .  
 $\forall p \in Y, \exists H := [L:K]$  primes  $\nexists Z$  lying over  $p$  &  $Np = NH$  (because  $f(H/p)=1 \Rightarrow \mathcal{O}_K/p = \mathcal{O}_L/H$ ). So  $\zeta_{L,Z} = \zeta_{K,Y}^H$ . Let  $X$  = set of all primes in  $L$  &  $R \subset X$  the primes lying over ramif. primes. Then  $\forall H \in X \setminus (Z \cup R) \Rightarrow NH$  not prime (indeed suff that  $N_{L/K}H$  not prime in  $\mathcal{O}_K$ . But  $N_{L/K}H = p^{f(H/p)}, p = H \cap \mathcal{O}_K$ . Recall that by normality (i.e. Galois) all primes over  $p$  have same  $f$  & same  $e$ . Since  $H \notin Z \Rightarrow p \notin Y \Rightarrow$  either  $e \neq 1$  or  $f \neq 1$ . Since  $H \in R \Rightarrow e=1$ . So  $f \neq 1, q \nmid f$ . By Prop (2) 4)  $X \setminus (Z \cup R)$  has pol dens 0. By (3) 2)  $\Rightarrow Z \cup R$  has pol dens 1. By (3) 1 & 3)  $\Rightarrow Z$  has pol dens 1  $\Rightarrow \zeta_{L,Z}^{\nu}$  has a pole of ord  $\nu$  at  $s=1 \Rightarrow \zeta_{K,Y}^{n\nu}$  has a pole of ord  $\nu \Rightarrow Y$  has pol dens  $\frac{\nu}{n} = \frac{1}{n}$  qed

- (d) Th Let  $L_1/K, L_2/K$  be 2 normal ext of # fields, cont in  $\bar{K}$ . Let  $Y_i = Y_{L_i/K}$  be the set of primes in  $K$  that split compl. in  $L_i$ . Ass  $Y_1 \setminus Y_2$  &  $Y_2 \setminus Y_1$  are finite. Then  $L_1 = L_2$  (hence also  $Y_1 = Y_2$ ).
- Pf Let  $Y = Y_1 \cap Y_2$ . Then any  $p \in Y$  splits completely in  $L_1 L_2$  [because if  $H$  prime where  $R_2 = p \cap K$  that ramif in  $L_2$  in  $L_1 L_2$  above  $p$  and  $\sigma \in G_H (= \text{decomp-gr}) \Rightarrow \sigma|_{L_1} \in G_{R_1} \in G(L_1/K)$ ,  $\sigma|_{L_2} \in G_{R_2} \in G(L_2/K)$ ]

equivalently  $\deg p \geq 2$ , where  $\deg p = f(p/p \cap \mathbb{Z})$

Let  $(f_p)$  fam of nat #s.  
Call  $n \in \mathbb{Z}$  an aperiodic if  $\sum_{p \in Y} \frac{1}{Np^n} = 0$

$a_n \in \{0, 1\} \mathbb{Z}$   
 $a_n = 0$  if  $n$  not an aperiodic f-power  
 So  $\sum_{n \in \mathbb{Z}} a_n = \# \{ \text{aperiodic f-powers} \} \leq 73$   
 $\sum_{n \in \mathbb{Z}} a_n = O(T^{1/2})$

$\{ \text{f-powers} \} \rightarrow \{ \text{squares} \} \rightarrow \{ \text{cubes} \} \rightarrow \dots$   
 $\prod_{p \nmid p} p \rightarrow \prod_{p \nmid p} p^2$  if  $p \nmid 2$   
 where  $p = 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20, 21, 22, 23, 24, 25, 26, 27, 28, 29, 30, 31, 32, 33, 34, 35, 36, 37, 38, 39, 40, 41, 42, 43, 44, 45, 46, 47, 48, 49, 50, 51, 52, 53, 54, 55, 56, 57, 58, 59, 60, 61, 62, 63, 64, 65, 66, 67, 68, 69, 70, 71, 72, 73, 74, 75, 76, 77, 78, 79, 80, 81, 82, 83, 84, 85, 86, 87, 88, 89, 90, 91, 92, 93, 94, 95, 96, 97, 98, 99, 100$



$\mathbb{H}_i = \mathbb{H} \cap \mathcal{O}_{L_i}$ . But  $G_{\mathbb{H}_i} = 1$  b.c.  $p$  splits compl in  $L_i$  so  $\sigma_{L_i} = \text{id}$   $i=1,2$   
 $\Rightarrow \sigma = \text{id} \in G(L_1 L_2 / K)$ . So  $G_{\mathbb{H}} = 1$ . So  $p$  splits compl in  $L_1 L_2$ .

Let  $Y_{12} = Y_{L_1 L_2 / K}$  = set of primes  $\mathfrak{p} \mid K$  that split compl in  $L_1 L_2$  - we just saw

$Y \subset Y_{12}$ . But also clearly  $Y_{12} \subset Y_1 \cap Y_2$ . Th (8) applied to  $L_i$  gives

$Y_i$  has pol density  $1/[L_i:K]$ . Th (8) appl to  $L_1 L_2$  gives  $Y_{12}$  has pol density  $1/[L_1 L_2:K]$ . By (3)(2), (3), since  $Y_1, Y_2, Y_{12}$  differ by finite sets  $\Rightarrow$   
 $[L_1:K] = [L_2:K] = [L_1 L_2:K]$ .  $\Rightarrow L_1 = L_2$ .

(e) (Rem) The above <sup>already</sup> proves the "unicity of class fields" <sup>(28)</sup> for the primes in  $\text{Ker } \text{id}_{L/S}$  are precisely the primes  $\notin S$  that split completely in  $L$ .

(f) (Th) Let  $L/K$  be a non ext of # fields &  $H \triangleleft G(L/K)$  a non-subgr.  
 Let  $S$  be a fin set of primes of  $K$  s.t.  $L/K$  unramif outside  $S$ . ~~Added~~  
 Then  $\{ \mathfrak{p} \text{ prime in } K \mid \mathfrak{p} \notin S, \exists \mathfrak{P} \text{ prime in } L, \mathfrak{P} \mid \mathfrak{p}, (\mathfrak{P}, L/K) \in H \}$  has polar density  $|H|/|G(L/K)|$ .

pf For  $\mathfrak{P} \mid \mathfrak{p}, \mathfrak{p} \notin S$  we have  $(\mathfrak{P}, L/K) \in H \Leftrightarrow (\mathfrak{P}, L/K)|_{L^H} = 1 \in G(L^H/K) \Leftrightarrow$

$\Leftrightarrow (\mathfrak{P}, L^H/K) = 1 \Leftrightarrow \mathfrak{P}$  splits compl in  $L^H$  & apply (5) to  $L^H/K$ .

Galois corr

$(\mathfrak{P}, L/K)|_{L^H} = (\mathfrak{P}, L^H/K)$   
 clearly functional  
 (use def of  $\sigma \times \sigma$  up and  $\mathfrak{P}$ )

(g) (Rem) (f) proves the "surj of Artin map" in (28)

(Rem) (c) proves that  $\exists \infty$  many primes  $\equiv 1(4)$  &  $\infty$  many  $\equiv 3(4)$  [Take  $\mathbb{Q}(i)/\mathbb{Q}$ ]

$\exists \infty$  many primes  $\equiv 1(p)$  where  $p$  given prime [Take  $\mathbb{Q}(\zeta_p)/\mathbb{Q}$ ] (27)

More generally: Dirichlet's th says  $\exists$  many primes  $\equiv a(m)$  if  $(a,m)=1$

(to prove this one needs  $L$ -facs not  $\mathbb{Q}$ -facs for  $K$ !)

# FACTS ABOUT DENSITIES

$Y \subset X = \{p \mid p \text{ prime in } \mathbb{Z}\}$

(Def)  $Y$  has polar density  $r \in \mathbb{Q}_+$  if  $\exists m, n \in \mathbb{Z}, r = \frac{m}{n}$  here  
 $\zeta^n$  can be mer. cont around  $s=1$  w/ pole of ord  $m$ .  $\zeta_{R,Y}(s) := \prod_{p \in Y} (1 - \frac{1}{p^s})^{-1}$

(Def)  $Y$  has Dirichlet density  $r \in \mathbb{R}_+$  if  $\lim_{\substack{S \in \mathcal{R} \\ S \downarrow 1}} \frac{\sum_{p \in Y} \frac{1}{p^s}}{\sum_{p \in X} \frac{1}{p^s}} = r$

(Def)  $Y$  has natural density  $r \in \mathbb{R}_+$  if  $\lim_{N \rightarrow \infty} \frac{\#\{p \in Y \mid p \leq N\}}{\#\{p \in X \mid p \leq N\}} = r$

## Facts

$Y$  has polar density  $r$

$Y$  has natural density  $r$



$Y$  has Dir. density  $r$

①, ② follow from the foll fact:  $Y = \{p \in X \mid \text{1st digit of } p \text{ is } 1\}$  has Dir. density  $\log_{10} 2$  (Bombieri) but no natural density (PNT) & no polar density (b/c  $\log_{10} 2 \notin \mathbb{Q}$ ).

## Dirichlet

(30) L-functions  $L$  &  $L_K$ 

(Def) Recall that for any finite gr  $G$  we set  $\hat{G} = \text{Hom}(G, \mathbb{C}^*)$ . Write  $\mathbb{Z}_m = \mathbb{Z}/m\mathbb{Z}$ . Embed naturally  $\hat{\mathbb{Z}}_m^* \hookrightarrow \text{Map}(\mathbb{Z}_m, \mathbb{C}^*)$  by letting  $\chi(n) = 0 \nmid \chi \in \hat{\mathbb{Z}}_m^*$ ,  $\nmid n$  not prime to  $m$ . For  $\chi \in \hat{\mathbb{Z}}_m^*$  define 
$$L(s, \chi) = \sum_{n=1}^{\infty} \frac{\chi(n)}{n^s} \quad (\text{by (29) } \textcircled{2} \text{ it is conv \& hol on } \{ \text{Re } s > 1 \} \text{ because } |\chi(1) + \dots + \chi(n)| \leq |\chi(1)| + \dots + |\chi(n)| \leq n \text{ so } \sum_{n \leq T} \chi(n) = O(T)) \text{ as in (30) } \textcircled{L} \text{ \& } \textcircled{2} \textcircled{3})$$

$$L(s, \chi) = \prod_{p \nmid m} \left(1 - \frac{\chi(p)}{p^s}\right)^{-1}, \quad \text{Re } s > 1. \quad \text{In partic } L(s, 1) = \zeta(s) \prod_{p|m} \left(1 - \frac{1}{p^s}\right).$$

So  $\textcircled{2}$  (Prop)  $L(s, 1)$  extends to mer fcn on  $\text{Re } s > 0$  with simple pole at  $s=1$   $\textcircled{29} \textcircled{3}$

$\textcircled{3}$  (Prop) For  $\chi \neq 1$ ,  $L(s, \chi)$  extends to hol fcn on  $\text{Re } s > 0$

Pf  $\sum_{n \leq T} \chi(n)$  is a periodic fcn in  $T$ , hence is  $O(1)$  & apply  $\textcircled{29} \textcircled{4}$

$\textcircled{8}$  (Prop) (Link bet  $\zeta_K$  &  $L$ ). Ass  $K = \mathbb{Q}(\zeta_m)$ , set  $G = G(K/\mathbb{Q})$ , consider iso  $(\mathbb{Z}/m\mathbb{Z})^* = G(\mathbb{Q}(\zeta_m)/\mathbb{Q})$  & hence iso  $\hat{G} \simeq \hat{\mathbb{Z}}_m^*$ . Let  $Y$  be the set of primes of  $K$  prime to  $m$ . Then

$$1) \zeta_{K,Y}(s) = \prod_{\chi \in \hat{G}} L(s, \chi), \quad \text{Re } s > 1$$

$$2) L(1, \chi) \neq 0 \text{ for } \chi \neq 1$$

Pf. Give pf only in case  $m=p$  prime (in general similar). For each prime  $q \in \mathbb{Z}$ ,  $q \neq p$  let  $f_q = f(q; 1/q)$ ,  $f_q = r$  where  $q^r \equiv 1 \pmod{p}$ ,  $q^0 \equiv 1 \pmod{p}$ .

(so  $f_q = r$ ). By  $\textcircled{27} \textcircled{8}$  if  $\sigma_q = (q, K/\mathbb{Q})$  then  $\sigma_q \zeta_p = \zeta_p^q$ . So

$$f_q = \underset{\substack{\uparrow \\ \langle \sigma_q \rangle = \text{decomp gr}}}{\text{order of } \sigma_q \text{ in } G(K/\mathbb{Q})} = \underset{\substack{\uparrow \\ G(K/\mathbb{Q}) \simeq (\mathbb{Z}/p\mathbb{Z})^*}}{\text{order of } q \text{ in } (\mathbb{Z}/p\mathbb{Z})^*}$$

So  $\chi(q)$  is a  $f_q$ -root of 1 [because  $(\chi(q))^{f_q} = \chi(q^{f_q}) = \chi(1) = 1$ ] &

moreover if  $\chi$  vary in  $\hat{G} \Rightarrow \chi(q)$  vary through whole set of  $f_q$ -roots of 1

Indeed look at homo  $\hat{G} \xrightarrow{\mathbb{F}_q^*} \{f_q\text{-roots of } 1\} \subset \mathbb{C}^*, \chi \mapsto \chi(q)$   $\textcircled{++}$

$\textcircled{+}$   $\chi(n) + \chi(n+1) + \dots + \chi(n+m) = 0 \nmid n$ , because more gen, if  $G$  fin gr &  $\chi \in \hat{G} \Rightarrow (\chi+1) \Rightarrow \sum_{g \in G} \chi(g) = 0$ ; bec if  $\chi(g_0) \neq 1$  then  $\chi(g_0) \sum_{g \in G} \chi(g) = \sum_{g \in G} \chi(g_0 g) = \sum_{g \in G} \chi(g)$ .

$\textcircled{++}$  This is surj b/c if  $\zeta \in \mathbb{F}_q^* \exists \chi' : \langle q \rangle \rightarrow \zeta$ ,  $\chi'(q) = \zeta$   
Can prolong  $\chi'$  to  $\chi : (\mathbb{Z}/p\mathbb{Z})^* \rightarrow \mathbb{C}^*$  b/c  $\mathbb{C}^*$  divisible

For each  $w$   
 $\chi(q) = w$  exactly  $r_q$  times as  $\chi(q)^{-1}$ .

so

$$\text{So } \left[ x^{f_q} - \frac{1}{q^{f_q s}} \right] = \left[ \prod_{\omega^{f_q}=1} \left( x - \frac{\omega}{q^s} \right) \right]^{r_q} = \prod_{\chi \in \hat{G}} \left( x - \frac{\chi(q)}{q^s} \right)^{r_q}$$

$$\text{So } \sum_{K, \chi} (s) \stackrel{\text{def}}{=} \prod_{\substack{q \neq p \\ q \text{ prime}}} \left( 1 - \frac{1}{q^{f_q s}} \right)^{-r_q} = \prod_{q \neq p} \prod_{\chi \in \hat{G}} \left( 1 - \frac{\chi(q)}{q^s} \right)^{-1} = \prod_{\chi \in \hat{G}} L(s, \chi)$$

above

which proves (ss1)

To check 2). copy above equality

$$\prod_{\chi \in \hat{G}} L(s, \chi) = \prod_{q \neq p} \left( 1 - \frac{1}{q^{f_q s}} \right)^{-r_q} \geq \prod_{q \equiv 1(p)} \left( 1 - \frac{1}{q^s} \right)^{-(p-1)} = \prod_{q \equiv 1(p)} 1 \geq 1$$

for  $\text{Re } s > 1$       If  $s \in \mathbb{R}$   
 $s > 1$

Now if  $L(s, \chi) = 0$  for some  $\chi \neq 1$ ,  
 $\Rightarrow L(s, \chi)$  would have a zero at  $s=1$   
cancelling the simple pole of  $L(s, 1)$  (2), hence by (2) & (3),  $\prod$  would be  
hol for  $\text{Re } s > 0$ . In partic for  $s \in \mathbb{R}$ ,  $s > 1$ ,  $s \rightarrow 1$ ,  $\prod$  would tend to  
a finite limit. But  $(\prod)^{1/(p-1)} = \zeta_{\mathbb{Q}, \{q \equiv 1(p)\}}(s)$  Since by (29)(c),  
for  $s \in \mathbb{R}$ ,  $s > 1$  [not as in (29)(d)]  
 $\{q \equiv 1(p)\}$  has polar density  $> 0$  [because  $\{q \equiv 1(p)\}$  is precisely the set of  
primes of  $\mathbb{Q}$  splitting in  $\mathbb{Q}(\zeta_p)$ , by (27), (8)]  $\Rightarrow \zeta_{\mathbb{Q}, \{q \equiv 1(p)\}}(s)$ ,  $\text{Re } s > 1$   
has a mer ext around  $s=1$  with a genuine pole at  $s=1$  (for some  $\nu \in \mathbb{Z}_+$ )  
 $\Rightarrow \lim_{\substack{s \in \mathbb{R} \\ s > 1 \\ s \rightarrow 1}} \zeta_{\mathbb{Q}, \{q \equiv 1(p)\}}^\nu(s) \rightarrow \infty$   $\nexists$  DONE.

Can be replaced by: if  $L(1, \chi) = 0$  for some  $\chi \neq 1$ ,  
then  $\prod_{\chi \in \hat{G}} L(s, \chi)$  hol at  $s=1$  so  $\sum_{K, \chi}$  hol at  $s=1$ ,  
so  $\chi$  has polar density 0; but  $\chi$  has polar density  $= 1$ .  $\Delta 6$

(8) (Th) (Dirichlet) For each  $m \in \mathbb{Z}$ ,  $a \in \mathbb{Z}_m^*$  the set  $\gamma_a = \{q \in \mathbb{Z}_+ \text{ prime} \mid q \equiv a(m)\}$   
has "Dir. density"  $\frac{1}{\varphi(m)}$  which by def means

$$\lim_{\substack{s \in \mathbb{R} \\ s > 1 \\ s \rightarrow 1}} \frac{\sum_{q \in \gamma_a} \frac{1}{q^s}}{\sum_{\substack{q \in \mathbb{Z}_+ \\ \text{all } q \in \mathbb{Z}_+}} \frac{1}{q^s}} = \frac{1}{\varphi(m)} \quad (\text{in partic } \# \gamma = \infty)$$

PF. Set  $G = (\mathbb{Z}/m\mathbb{Z})^*$ ,  $\mathcal{Y} = \{\text{set of all primes in } \mathbb{Z}_+ \text{ not dividing } m\}$ ,  $\mathcal{J} = \{\text{set of integers prime to } m\}$   
 $\phi: \mathcal{J} \rightarrow G$  canonical map of semigr,  $\hat{G} = \text{Hom}(G, \mathbb{C}^*)$   
so by def, for  $u \in \mathcal{J}$  have  $\chi(u) = \chi(\phi(u))$   
free ab semigr on  $\mathcal{Y}$

For  $\text{Re } s > 1$  have

$$\sum_{\chi \in \hat{G}} \chi(a^{-1}) \sum_{q \in Y} \frac{\chi(q)}{q^s} = \sum_{q \in Y} \frac{1}{q^s} \sum_{\chi \in \hat{G}} \chi(a^{-1} \phi(q)) = |G| \sum_{\phi(q)=a} \frac{1}{q^s}$$

" may change  
ord of sum  
by abs conv  $q \in G \Rightarrow \sum_{\chi \in \hat{G}} \chi(q) = \begin{cases} 0 & \text{if } q \neq 1 \\ |G| & \text{if } q = 1 \end{cases}$

$$\sum_{q \in Y} \frac{1}{q^s} + \sum_{\chi \in \hat{G}} \chi(a^{-1}) \sum_{q \in Y} \frac{\chi(q)}{q^s}$$

"  $\chi \neq 1$

Enough if prove that  $\sum_{q \in Y} \frac{\chi(q)}{q^s}$ ,  $\chi \neq 1$ ,

stays bounded for  $s \in \mathbb{R}$ ,  $s > 1$ ,  $s \rightarrow 1$ .

Notation: for  $f, g$  hol fcn on  $\mathbb{R}$  s.t.  $s > 1$  extends to a hol fcn on  $\mathbb{C}$  s.t.  $s > 1$ .

write  $f \sim g$  if  $f - g$  Since by (8)  $L(1, \chi) \neq 0$

for  $\chi \neq 1$  we shall be done if we check

(Claim)  $\sum_{q \in Y} \frac{\chi(q)}{q^s} \approx \log L(s, \chi)$  (any  $\chi$ )

stays bounded for  $s \in \mathbb{R}$ ,  $s > 1$ ,  $s \rightarrow 1$ .

Indeed  $\heartsuit -\log(1-x) = x + \frac{x^2}{2} + \frac{x^3}{3} + \dots$  for  $|x| < 1$  get

$$\log L(s, \chi) = \log \prod_{q \in Y} (1 - \frac{\chi(q)}{q^s})^{-1} = \sum_{q \in Y} \sum_{n \geq 1} \frac{\chi(q)^n}{n q^{ns}} = \sum_{q \in Y} \frac{\chi(q)}{q^s} + \sum_{q \in Y} \sum_{n \geq 2} \frac{\chi(q)^n}{n q^{ns}}$$

$$\text{But } |A(s)| \leq \sum_{q \in Y} \sum_{n \geq 2} \frac{1}{n q^{ns}} \leq \sum_{q \in Y} \sum_{n \geq 2} \frac{1}{n q^{2s}} = \sum_{q \in Y} \frac{1}{q^{2s}} \frac{1}{1 - \frac{1}{q^2}} \leq$$

$$\leq \frac{1}{1 - \frac{1}{2^s}} \sum_{q \in Y} \frac{1}{q^{2s}} \leq \frac{1}{1 - \frac{1}{2^s}} \zeta(2s) q \text{ ed // }$$

(7) **Remark** Let  $Z$  be a set of primes in  $\mathbb{Z}$ . Ass  $Z$  has polar density  $m/n$ . Then  $Z$  has Dir. density  $m/n$ . Indeed if  $\zeta_{QZ}^n(s)$  extends to a mer fcn around  $s=1$  with pole of ord  $m$  then  $\zeta_{QZ}^n(s) = (s-1)^{-m} g(s)$ ,  $g$  hol around  $s=1$ ,  $g(1) \neq 0$ . Taking log get  $n \log \zeta_{QZ}^n(s) \approx m \log \frac{1}{s-1}$ . Similarly  $\log \zeta_Q \approx \log \frac{1}{s-1}$ . As in (6)  $\log_{QZ} \zeta_{QZ}^n(s) \approx \sum_{q \in Z} \frac{1}{q^s}$ ,  $\log \zeta_Q(s) \approx \sum_{\text{all } q} \frac{1}{q^s}$ . Combining get

$$\log \zeta_{QZ}^n(s) \approx \frac{m}{n} \sum_{q \in Z} \frac{1}{q^s} \approx \sum_{q \in Z} \frac{1}{q^s} \Rightarrow q \text{ ed //}$$

(+) Make notation  $f \approx g$  [ $f, g: (1, 1+\epsilon) \rightarrow \mathbb{C}$ ] if  $\frac{f(s)}{g(s)}$  stays bounded as  $s \in \mathbb{R}$ ,  $s > 1$ ,  $s \rightarrow 1$ . So what we want is  $\sum_q \frac{\chi(q)}{q^s} \approx 0$ ,  $\chi \neq 1$

(++) log is of course multivalued. But if  $L: (a, b) \rightarrow \mathbb{C}^*$  is continuous then  $\log L: (a, b) \rightarrow \mathbb{C}$  makes sense as a usual, unival fcn if I fix a branch of log around  $L(c)$ .

So s real here is crucial!  $\downarrow$

(\*) To be able to assume throughout the same branch need to be sure  $L(s, \chi)$  does not wind around 0 as  $s \in \mathbb{R}$ ,  $s \downarrow 1$ . For  $\chi \neq 1$  clear

$\{z \in \mathbb{C} \mid |z| < \delta\}$   
Assume  $L$  is meromorphic, then  $\exists \delta < \epsilon$   
 $H = \{z_0 \mid z_0 \in [0, \delta)\}$ ,  $z_0 \in \mathbb{C}$ , s.t.  
Same for  $L: D^* \rightarrow \mathbb{C}$ ,  $\text{PER-}$   
can define  $\log L: (0, \delta) \rightarrow \mathbb{C}$   
where  $\log L: \mathbb{C} \setminus H \rightarrow \mathbb{C}$  is the branch s.t.  
 $-\log(1-x) = x + \frac{x^2}{2} + \frac{x^3}{3} + \dots$  on  $\{x \mid |x| < 1\}$

$$L_K(s, \chi) := \sum_{\underline{a} \in \mathbb{I}(c)} \frac{\chi(\underline{a})}{N \underline{a}^s} \quad (\text{generalizes } L(s, \chi) \text{ above that corr to } K = \mathbb{Q}, c = m\mathbb{Z})$$

for  $\text{Re } s > 1$ ,  $\gamma = \{\text{prime id, pr to } c\}$ ,  $L_K(s, 1)$  extends to mer fcn on  $\{ \text{Re } s > 1 - \frac{1}{N} \}$  with 1 pole, simple at 1.  $\odot$   $L_K(s, \chi)$ ,  $\chi \neq 1$  extends hol to  $\{ \text{Re } s > 1 - \frac{1}{N} \}$ .

of property  $L(1, \chi) \neq 0$  for  $\chi \neq 1$  (⑤ above) this is more subtle; for ⑥ we used the explicit form of Artin map for  $\mathbb{Q}(\zeta_m)/\mathbb{Q}$ . In what follows give an alternat pf in more gen case of  $L_K$ ; we prove simult. the univ norm index  $n_K$ .

1) [Non-van of  $L_K$  at  $s=1$ ] If  $1 \nmid \chi \in \widehat{G/N} \subset \widehat{G}$   $\xRightarrow{F_c} L_K(1, \chi) \neq 0$

Write  $L_K(s, \chi) = (s-1)^{m(\chi)} g(s, \chi)$ ,  $g(s, \chi)$  hol around 1,  $g(1, \chi) \neq 0$

$$\log \frac{1}{s-1} \left[ 1 - \sum_{\substack{\lambda \neq 1 \\ \lambda \in \widehat{G/N}}} m(\lambda) \right]$$

$$= \prod_{a \in G/N} \left( \prod_{\hat{g} \in \hat{G}/N} \chi(a|\hat{g}) \right) \prod_{p \in Y} \left( \frac{1}{N p^s} \right) = |G/N| \sum_{\substack{p \in Y \\ \phi(p) \in N}} \frac{1}{N p^s} \approx \dots^{++}$$

$$\begin{aligned} & \approx |G/N| \sum_{p \in Y_{L/K}} \frac{1}{N p^S} \approx \frac{|G/N|}{[L:K]} \sum_H \frac{1}{N H^S} \approx \frac{|G/N|}{[L:K]} \sum_H \frac{1}{N H^S} \approx \frac{|G/N|}{[L:K]} \cdot \frac{i}{i+1} \\ & (\chi) = \mathbb{Z}_L. \text{ But then get } |G/N| \end{aligned}$$

Get  $m(\chi) = 0$  because  $m(\chi) \in \mathbb{Z}_+$ . But then get  $\frac{|G|}{|L:K|} \leq 1$ .

$f \geq g$  means for  $g(s)$  is bounded from below for  $s \in \mathbb{R}$ ,  $s > 1$ ,  $s \rightarrow 1$ . In our case

⑦ ②④ ③ & hexag of ③①

⊕  $L(s, 1)$  differs from  $\zeta_K$  by fin many Euler factors

we have  $\geq$  bec  $\forall p \in Y$  LK  
(i.e.,  $\textcircled{25}$ ) any prime of  $K$   
that splits completely in  $L$   
is the norm of a prime of  $L$   
by definition

(w) (or) (uses  $\exists$  of class fields (28)),  $K$  a field,  $c \in \mathbb{F}(\mathbb{Q}_K)$ ,  $G = I(c)/P_c^+$ . Then

$$1) L_K(1, \lambda) \neq 0, \forall \lambda \in \hat{G}, \lambda \neq 1$$

2) The set of primes in each ray class (i.e. coset of  $P_c^+$  in  $J(c)$ ) has Dir. dens  $\frac{1}{|G|}$ .

Pr Take  $L = H_c^+$  in (4). Then  $N=0 \Rightarrow 1^{st}$  stat. 2<sup>nd</sup> stat foll ex. as in (6)

# 30 bis Dirichlet's class # formula

Recall from (29) p. 45

(L) Let  $K$  be a # field of deg  $N$  over  $\mathbb{Q}$ . Then  $\exists \delta \in \mathbb{R}, \forall$  div class  $\mathcal{C} \subset I(\mathcal{O}_K)$  we have  $\# \{ \underline{a} \in \mathcal{C} \mid N\underline{a} \leq T \} = \delta \cdot T + O_{\mathcal{C}}(T^{1-\frac{1}{N}})$ .

Rem (p. 45) if  $\zeta_K(s) = \sum_{n \geq 1} \frac{dn}{n^s} \Rightarrow \zeta_K(s) = \sum_{n \geq 1} \frac{dn-h\delta}{n^s} + h\delta \zeta(s)$   
 hol for  $\text{Res} > 1 - \frac{1}{N}$

(P) Notations as above

$$\delta = \frac{2^{t+s} \pi^t \text{reg}(\mathcal{O}_K)}{w |d_K|^{1/2}}$$

where  $s = \#$  real emb's,  $t = \#$  pairs of non-real emb's,  $w = \#$  roots of 1 in  $K$ ,  
 $\text{reg}(\mathcal{O}_K) = \text{vol}(H/\Lambda_U) / \sqrt{s+t}$ ,  $H =$  hyperplane  $y_1 + \dots + y_{s+t} = 0$  in  $\mathbb{R}^{s+t}$ ,  
 $\Lambda_U = (\text{image of } U_K \text{ via } K^{\times} \xrightarrow{\Psi} (\mathbb{R}^{\times})^s \times (\mathbb{C}^{\times})^t \xrightarrow{\lambda} \mathbb{R}^{s+t})$ , cf (24) p 35

Pf. Not given: geo of #'s, see Marcus

Next: amplification of (30), p 49 (same pf in loc cit  $K = \mathbb{Q}(\zeta_m)$ )

(P2) Let  $K \subset \mathbb{Q}(\zeta_m)$ ,  $G = G(K/\mathbb{Q}) \leftarrow G(\mathbb{Q}(\zeta_m)/\mathbb{Q}) = (\mathbb{Z}/m\mathbb{Z})^{\times}$  so  $\hat{G} \subset (\mathbb{Z}/m\mathbb{Z})^{\times}$   
 Let  $Y = \{ \text{primes in } K \text{ coprime to } m \}$ . Then

$$\zeta_{K,Y}(s) = \prod_{\chi \in \hat{G}} L(s, \chi)$$

(P3)  $h = \delta^{-1} \left[ \prod_{q|m} (1 - \frac{1}{q}) (1 - \frac{1}{q^t})^{-r_q} \right] \prod_{\substack{\chi \in \hat{G} \\ \chi \neq 1}} L(1, \chi)$ , for  $K \subset \mathbb{Q}(\zeta_m)$  as in P2

Pf By Rem above  $h\delta = \lim_{s \downarrow 1} \frac{\zeta_K(s)}{\zeta(s)}$ . Now by (P2) get

$$\frac{\zeta_K(s)}{\zeta(s)} = \frac{\prod_{q|m} (1 - \frac{1}{q^t})^{-r_q} \cdot \prod_{1 \neq \chi \in \hat{G}} L(s, \chi) \cdot L(s, 1)}{\zeta(s)} = (\text{RHS in P3}) \square.$$

Rem For any  $z_0 \in \mathbb{C}, |z_0|=1$ , we denote by  $\log(1-z_0) \in \mathbb{C}$  the value of the log of  $1-z_0$  obtained by moving on a path where in  $\{z \mid |z| < 1\}$  we take the branch  $\log(1-z) = -\sum z^n$ .

$$-52 + 2\varepsilon -$$

$$\text{i.e. } \log(1-z_0) \stackrel{\text{def}}{=} \lim_{\substack{z \rightarrow z_0 \\ |z| < 1, z, z_0 \in \mathbb{R}}} \log(1-z) \stackrel{\text{def}}{=} \lim_{\substack{z \rightarrow z_0 \\ |z| < 1 \\ z \in \mathbb{C}}} \left( - \sum_{n \geq 1} \frac{z^n}{n} \right).$$

Then for  $\zeta$  a root of 1

$$\log(1-\zeta) = \lim_{\substack{z \rightarrow \zeta \\ |z| < 1 \\ z \in \mathbb{C}}} \left( - \sum_{n \geq 1} \frac{z^n}{n} \right) = - \sum_{n \geq 1} \frac{\zeta^n}{n}.$$

Abel's th:  $\sum a_n z^n$  real or  $\mathbb{C}$ -coeff & real variable. if a power series has radius of conv 1 & is convergent @ 1 (or -1) then it is continuous there; apply this to  $\sum a_n z_0^n t^n$ .

See Ross' book in UTM, p. 148

Note  $\sum_{n \geq 1} \frac{\zeta^n}{n}$  conv b/c  $\sum \zeta^n = O(T^0)$

$$\textcircled{P_4} \quad L(1, \chi) = - \frac{1}{m} \sum_{k=1}^{m-1} \tau_k(\chi) \log(1 - \zeta_m^{-k}) \quad , \quad \tau_k(\chi) := \sum_{a \in (\mathbb{Z}/m\mathbb{Z})^\times} \chi(a) \zeta_m^{ak} \quad (\text{Gauss sum})$$

$$(\chi \neq 1)$$

$$\frac{\pi}{(\mathbb{Z}/m\mathbb{Z})^\times}$$

Pf. For  $\text{Res} > 1$

$$L(s, \chi) = \sum_{n \geq 1} \frac{\chi(n)}{n^s} = \sum_{a \in \mathbb{Z}_m^\times} \chi(a) \sum_{\substack{n \geq 1 \\ n \equiv a \pmod{m}}} \frac{1}{n^s} = \sum_{a \in \mathbb{Z}_m^\times} \chi(a) \sum_{n \geq 1} \frac{\frac{1}{m} \sum_{k=0}^{m-1} \zeta_m^{(a-n)k}}{n^s}$$

$$= \frac{1}{m} \sum_{k=0}^{m-1} \tau_k(\chi) \sum_{n \geq 1} \frac{\zeta_m^{-nk}}{n^s} \stackrel{\substack{\tau_0=0 \\ + \text{Rem above}}}{=} - \frac{1}{m} \sum_{k=1}^{m-1} \tau_k(\chi) \log(1 - \zeta_m^{-k}) \quad \square.$$

$P_3 + P_1 + P_4$

$\textcircled{\text{Th}}$   $h =$  expression depending on  $t, s, \text{reg}(\mathcal{O}_K), w, |\delta_K|, f_q$  (for  $q|m$ ),  $\tau_k(\chi)$ ,  $\log(1 - \zeta_m^{-k})$ , if  $K \subset \mathbb{Q}(\zeta_m)$ . (also)

$\textcircled{\text{Rem}}$  (not to be checked). If  $K = \mathbb{Q}(\sqrt{d})$ ,  $d$  square free,  $m := |\delta_K|$  then

$$1) \text{ if } d > 0 \text{ get } h = \frac{1}{\log(u)} \left| \sum_{\substack{k \in \mathbb{Z}_m^\times \\ k < m/2}} \chi(k) \log \sin \frac{k\pi}{m} \right| \quad \text{for some } \chi \in \widehat{\mathbb{Z}_m^\times}$$

where  $U_K = \langle u, -u \rangle$ . (the unique char corr to  $G(K/\mathbb{Q}) \dots$ )

$$2) \text{ if } d < 0 \text{ we get } h = \frac{1}{2 - \chi(2)} \left| \sum_{\substack{k \in \mathbb{Z}_m^\times \\ k < m/2}} \chi(k) \right|$$



Computation of  $\delta$  for  $K$  quadratic imaginary.

Let  $a_0 \in \mathcal{O}$ . Fix  $b_0 \in I(\mathcal{O}_K)$ ,  $a_0 b_0 = (\alpha)$ ,  $\alpha \in \mathcal{O}_K$ . Get  
 $\{a \in \mathcal{O} \mid Na \leq T\} \simeq \{ \beta \in \alpha^{-1} b_0 \mid N\beta \leq \frac{T}{Na_0} \} / U_K$   
 $\downarrow \beta a_0 \leftarrow \beta$  lattice in  $\mathbb{C}$   $\underbrace{\quad}_{|\beta| \leq \text{const. } T^{1/2}}$   $\uparrow$  fin gr, known

So I'm reduced to the foll

(L) Let  $L \subset \mathbb{C}$  be a lattice,  $F$  a fund dom,  $B(T) = \{z \in \mathbb{C} \mid |z| \leq T\}$   
 Then  $\#(L \cap B(T)) = \frac{\pi}{\text{Vol}(F)} T^2 + O(T)$ .

Pf. Let  $n(T) = \#(L \cap B(T))$ ,  $n_+(T) = \#\{\lambda \in L \mid (F+\lambda) \cap B(T) \neq \emptyset\}$   
 $n_-(T) = \#\{\lambda \in L \mid (F+\lambda) \subset B(T)\}$   
 So  $n_-(T) \leq n(T) \leq n_+(T)$  (\*)

Let  $\Delta = \text{diam}(F)$ . Then  $n_+(T) \leq n_-(T+\Delta)$

So by (\*) & (\*\*)

get  $n_+(T-\Delta) \leq n(T) \leq n_-(T+\Delta)$

so  $\text{Vol}(B(T-\Delta)) \leq n_+(T-\Delta) \text{Vol}(F) \leq n(T) \text{Vol}(F) \leq n_-(T+\Delta) \text{Vol}(F) \leq \text{Vol}(B(T+\Delta))$   
 $\parallel \quad \parallel$   
 $\pi(T-\Delta)^2 \quad \pi(T+\Delta)^2$

so  $\frac{\pi}{\text{Vol}(F)} (T^2 - 2T\Delta + \Delta^2) \leq n(T) \leq \frac{\pi}{\text{Vol}(F)} (T^2 + 2T\Delta + \Delta^2)$

so  $n(T) - \frac{\pi}{\text{Vol}(F)} T^2 = O(T)$

not going to do the details but note that  $\text{Vol}(F)$  in (L) rel to  $\delta_K$  !!

### 31) Local fields.

**Def** A metric space is set  $X$  & fun  $d: X \times X \rightarrow \mathbb{R}_+$  called metric or distance s.t.  $d(x,y) = d(y,x)$ ,  $d(x,z) \leq d(x,y) + d(y,z)$ ,  $d(x,y) = 0 \Leftrightarrow x=y$ .  
 Seq  $x_n \in X$  called Cauchy if  $\forall \epsilon > 0 \exists N$  s.t.  $n, m \geq N \Rightarrow d(x_n, x_m) < \epsilon$ . A seq  $x_n \rightarrow x_0$  iff... (called conv)  
 $X$  called complete if  $\forall$  Cauchy seq is conv.  
 Given  $X$  one way construct "completion"  $\hat{X} = \{ \text{set of Cauchy seq } (x_n)_n \} / \sim$   
 $[(x_n)_n \sim (y_n)_n \text{ if } \forall \epsilon > 0 \exists N \text{ s.t. } n \geq N \Rightarrow d(x_n, y_n) < \epsilon]$  & metric on  $\hat{X}$  & emb  $X \rightarrow \hat{X}$   
 $[d((x_n)_n, (y_n)_n) = \lim_n d(x_n, y_n), \text{ which } \exists \text{ bec } \mathbb{R} \text{ is complete!}]$  [to see  $\hat{X}$  complete use diag. trick].

**Def** If  $K$  field,  $v: K \rightarrow \mathbb{Z}$  discr. val, def metric  $d(x,y) := |x-y|_v$  where  $|x|_v := c^{-v(x)}$ ,  $c > 1$ .  
 ( $| \cdot |_v$  called abs value). Then  $K$  has nat str of field & if  $\hat{v}: \hat{K} \rightarrow \mathbb{Z}$  def by  
 $\hat{v}((x_n)_n) = \lim_n v(x_n) = v(x_m)$  for  $m \gg 0 \Rightarrow \hat{d}(x,y) = |x-y|_{\hat{v}}$ ,  $\hat{v}$  discr val. If  $A, \hat{A}$   
 $\hat{v}$  p,  $\hat{p}$  are val rings & id of  $v, \hat{v} \Rightarrow \hat{A} = \text{compl of } A$ ,  $\hat{p} = \text{compl of } p$  &  $\hat{A} \cong \varprojlim A/p^n$ .

**Ex**  $K = \mathbb{Q}$ ,  $v \leftrightarrow A = \mathbb{Z}_p$  denote  $\mathbb{Z}_p, \mathbb{Q}_p$  the compl of  $\mathbb{Z}, \mathbb{Q}$ . [Ex:  $\mathbb{Z}_p = \{ \sum_{i=0}^{\infty} a_i p^i, a_i \in \mathbb{Z} \}$ ]

**Prop**  $K$  compl discr val field,  $A = \text{val ring of } K$ ,  $L/K$  fin sep ext,  $B = \text{int clos of } A \text{ in } L$  (Dedekind by (22)(a)). Then  $B$  is DVR ( $\Leftrightarrow$  has 1 max id only). Moreover  $L$  is complete.

**Pf.** Let  $p \in A$  max id. Write  $pB = \mathfrak{p}_1^{r_1} \cdots \mathfrak{p}_m^{r_m}$ ,  $\mathfrak{p}_i$  primes in  $B$ , distinct. Ass  $m \geq 2$ .  
 Get by chin rem th  $B/p^n B \cong B/\mathfrak{p}_1^{n r_1} \times \cdots \times B/\mathfrak{p}_m^{n r_m} \ni e_n := (1, 0, \dots, 0)$ . Get  
 syst of elt  $\bar{b}_n \in B/p^n B$ ,  $b_n \in B$  s.t.  $b_{n+1} - b_n \in p^n B$ . Since  $e_n^2 = e_n \Rightarrow b_n^2 - b_n \in p^n B$ .  
 Now if take  $A$ -basis of  $B$  get product metric on  $B$  &  $B$  complete. (clearly  $b_n$  Cauchy).  
 So  $b_n \rightarrow b \in B$ ,  $b^2 = b \Rightarrow b(1-b) = 0$ ,  $B$  integral  $\Rightarrow b = 0$  or  $b = 1 \Rightarrow e_n = \begin{cases} 0 \\ 1 \end{cases}$  s.t.

To check  $L$  complete, write  $B = \bigoplus_{i=0}^{\infty} A e_i$ . Clearly  $B$  complete wrt "product metric" (Hensel Lemma)  $K$  compl discr val field,  $A = \text{its val ring}$ ,  $p \in A$  the max id.

**Prop** Let  $f \in A[x]$ . Ass  $a_0 \in A$  is s.t.  $f(a_0) \equiv 0 \pmod{p}$ ,  $f'(a_0) \not\equiv 0 \pmod{p}$ . Then  
 $\exists a \in A$ ,  $a \equiv a_0 \pmod{p}$  s.t.  $f(a) = 0$  [i.e. one can lift simple roots of  $f$  from  $A/p$  to  $A$ ].  
**Pf.** Construct seq  $a_n \in A$  s.t.  $a_{n+1} \equiv a_n \pmod{p^n}$  &  $f(a_n) \equiv 0 \pmod{p^n}$  by ind. Ass have  
 $a_n$ . Set  $a_{n+1} = a_n + \pi^n b$ ,  $p = \pi A$ ,  $b \in A$  & try to find  $b$ . Have  $f(a_{n+1}) =$   
 $= f(a_n + \pi^n b) \equiv f(a_n) + f'(a_n) \pi^n b \pmod{\pi^{n+1}}$  If  $f(a_n) = \pi^n c$ ,  $c \in A$  take  $b = -\frac{c}{f'(a_n)}$   
 $[f'(a_n) \equiv f'(a_0) \pmod{p} \text{ by ind so } f'(a_n) \notin p]$ .

**Def** Ass we are in sit of (31) & let  $p, \mathfrak{p}$  be the max id of  $A, B$ . Say  $L/K$  is  
 unram, ramif, if  $p$  is so [unramif  $\Leftrightarrow e(\mathfrak{p}/p) = 1$ , ramif  $\Leftrightarrow e \geq 2$ ]. Say  $L/K$  is  
 totally ramif, if  $f(\mathfrak{p}/p) = 1$  [equiv. by (22)(c), if  $e(\mathfrak{p}/p) = [L:K]$ ].  
 Notation:  $e(L/K)$ ,  $f(L/K)$  instead of  $e(\mathfrak{p}/p)$ ,  $f(\mathfrak{p}/p)$ .

(+) If  $A = \text{val ring of } v$  &  $p \in A$  max id we also write  $1/p$  in place of  $1/v$ .

\* By "loc field" here we mean field + discr val, which is complete.  
 so "—" = compl discr val field. We shall use the latter term.

\* It sat  $|x| = 0 \Rightarrow x = 0$ ,  $|xy| = |x||y|$ ,  $|x+y| \leq \max\{|x|, |y|\}$ . (called non-arch abs value).

\*\*\* But latter is equiv to "valuation metric" bec if  $pA = \mathfrak{p}^n \Rightarrow$   
 $\bigoplus_{i=0}^n A e_i = \mathfrak{p}^n B = \mathfrak{p}^n$ .

(compl discr val field) (its res field has)  
 (8) Def From now on assume our  $K$  has ch 0 & ch p. For any  $\alpha \in \bar{K}$  define  $|\alpha| \in \mathbb{R}_+$  as follows: take any  $\alpha \in L \subset \bar{K}$ ,  $[L:K] < \infty$  & set  $|\alpha| = p^{-\frac{v_{\mathbb{H}}(\alpha)}{[L:K]}}$  (so  $|1| = p^{-1}$ ).

Note  $\mathbb{H} = \mathbb{P}$  = max id of val ring  $A$  of  $K$  &  $\mathbb{H} = \text{max id of int cl } B \text{ of } A \text{ in } L$ .  
 ( $\Rightarrow |\alpha|$  does not dep on  $L$ !). It was essential that  $B$  is local, cf (2)!

(9) Obs In sit (8), if  $\alpha \in \bar{K}$  then all conj  $\alpha'$  of  $\alpha$  over  $K$  have  $|\alpha| = |\alpha'|$ .  
 [Indeed may take  $L|K$  normal,  $\alpha \in L$ . Then  $\forall \sigma \in G(L|K)$ ,  $\sigma B = B$  so  $v_{\mathbb{H}} \circ \sigma = v_{\mathbb{H}}$ ]

(10) Obs In sit (8) if  $\alpha \in L \subset \bar{K}$ ,  $L|K$  fin, we have  $|\alpha| = \frac{1}{[L:K]} \sum_{i=1}^d v_{\mathbb{H}}(\sigma_i \alpha)$  [by]

Prop Assume sit in (2) Assume  $L|K$  totally ramif. Let  $\pi \in L$  be s.t.  $v_{\mathbb{H}}(\pi) = 1$  ( $\mathbb{H} = \text{max of } B$ ). Then  $f = \text{Irr}(\pi, K)$  is an Eisenstein pol of degree  $n = [L:K] = e(\mathbb{H}|p)$ , i.e.  $f = x^n + a_{n-1}x^{n-1} + \dots + a_0$ ,  $v_{\mathbb{H}}(a_i) \geq 1$ ,  $v_{\mathbb{H}}(a_0) = 1$ . So also  $L = K(\pi)$ .  
 [Pf. If  $\pi_K \in K$ ,  $v_{\mathbb{H}}(\pi_K) = 1 \Rightarrow |\pi_K| = |\pi_K|^{1/e}$ . All coeff of  $f$  are homopolyn in conj of  $\pi$  so have  $v_{\mathbb{H}} \geq 1$ . If  $\deg f = d$  then  $|a_0| = \left| \prod_{i=1}^d \sigma_i \pi \right| = |\pi|^d = |\pi_K|^{d/e} \Rightarrow v_{\mathbb{H}}(a_0) = \frac{d}{e} \Rightarrow d = e \text{ \& } v_{\mathbb{H}}(a_0) = 1 \text{ qed}$  How  $(K(\pi), \bar{K}) = \mathbb{H}_{\pi_1, \dots, \pi_d}$  (2)]

Prop (Converse of above). If  $K$  compl discr val field, val ring =  $A$ ,  $f \in A[x]$  Eisenstein polyn,  $\alpha \in \bar{K}$  root of  $f \Rightarrow L := K(\alpha) | K$  is totally ramified.

Pf  $f$  Eisenstein  $\Rightarrow f$  irr in  $K[x]$  (10)  $\Rightarrow f = \text{Irr}(\alpha, K)$ . As above, if  $f = x^n + \dots + a_0$  then  $|\pi_K| = |a_0| = |\alpha|^n$  so  $e = e(K(\alpha)|K) \geq n$ . Since always  $e \leq n$  get  $e = n$ , done.

(11) Prop 1)  $\exists$  a unique unramif exten of degree  $f$  of  $\mathbb{Q}_p$ : it is  $\mathbb{Q}_p(\zeta_{p^f})$  where  $\zeta_{p^f}$  is a primitive  $p^f - 1$ -root of 1 [Hence by (22) Gal( $\mathbb{Q}_p(\zeta_{p^f})/\mathbb{Q}_p$ )  $\cong$  Gal( $\mathbb{F}_{p^f}/\mathbb{F}_p$ ) is gen by  $\zeta \mapsto \zeta^g$ ]

2) Any ext  $\mathbb{Q}_p(\zeta_m)/\mathbb{Q}_p$ ,  $\zeta_m$  a prim  $m$ -th root of 1, prim is unramif.

3)  $\mathbb{Q}_p(\zeta_p)/\mathbb{Q}_p$  is totally ramif of degree  $p-1$ .

Pf. 3) full as in (27) (3). 1)  $\Rightarrow$  2) because  $px \mid m \Rightarrow \exists f$  s.t.  $m \mid p^f - 1$  [take  $f = \text{ord of } p \text{ in } (\mathbb{Z}/m\mathbb{Z})^*$ ]

1) Let  $L/\mathbb{Q}_p$  be unramif of degree  $f$ . Let  $B = \text{val ring of } L$  & max id =  $\mathbb{H}$ .  $\Rightarrow \frac{B}{\mathbb{H}} = \mathbb{F}_{p^f}$ . Hensel lemma (2) applied to  $x^{p^f-1} - 1 \Rightarrow$  each elt of  $\mathbb{F}_{p^f}^*$  lifts to a  $p^f - 1$ -root of 1 in  $B$ . So  $\mathbb{Q}_p(\zeta_{p^f}) \subset L$ . So get = by considering degrees. To conclude

i) need to show  $\exists K/\mathbb{Q}_p$  unramif of given degree  $f$ . Let  $\mathbb{F}_{p^f} = \mathbb{F}_p(\alpha)$ ,  $f = \text{Irr}(\alpha, \mathbb{F}_p) = x^f + a_{f-1}x^{f-1} + \dots + a_0$ ,  $a_i \in \mathbb{Z}_p$ . Then  $F = x^f + a_{f-1}x^{f-1} + \dots + a_0 \in \mathbb{Z}_p[x]$  is irr/ $\mathbb{Q}_p[x]$  (because primitive & irr/ $\mathbb{Z}_p[x]$  bec if reducible/ $\mathbb{Z}_p[x] \Rightarrow F = G \cdot H$ ,  $G, H$  monic  $\Rightarrow f$  reducible to). Let  $\alpha \in \bar{\mathbb{Q}_p}$  be root of  $F$ . Then  $K := \mathbb{Q}_p(\alpha)$  has res field  $\mathbb{F}_{p^f}$  so it is unramif by equality  $n = ef$ .

Prop  $\forall$  fin ext  $L/\mathbb{Q}_p$ ,  $\exists \mathbb{Q}_p \subset K \subset L$  s.t.  $K/\mathbb{Q}_p$  unram &  $L|K$  tot ramif [Pf: Hensel  $\Rightarrow \zeta_{p^f} \in L$ ; set  $K = \mathbb{Q}_p(\zeta_{p^f})$ ]  
 (6) call such a  $\pi$  a parameter of  $L$

(w) Def  $\mathbb{Q}_p^{\text{ur}} := \bigcup_{\substack{K/\mathbb{Q}_p \\ f \text{ lin, unramif}}} K = \bigcup_{\substack{\sum_{i=1}^m \mathbb{Q}_p(\zeta_i) \\ p \nmid m}} \mathbb{Q}_p(\zeta_i)$  it is discr. val field (not complete);  $\mathbb{Z}_p^{\text{ur}} :=$  its val ring  
 Res field  $= \overline{\mathbb{F}_p}$   
 Froben  $\in G(\overline{\mathbb{F}_p}/\mathbb{F}_p)$  lifts to  $\phi \in G(\mathbb{Q}_p^{\text{ur}}/\mathbb{Q}_p)$ ,  $\phi \zeta = \zeta^p$   
 By (E) red mod  $p$  map  $T = \{\text{roots of } t \text{ in } \overline{\mathbb{F}_p} \mid \text{of ord prime to } p\} \subset \mathbb{Q}_p^{\text{ur}} \rightarrow \overline{\mathbb{F}_p}^*$  is bij so  $\exists$  inverse  $\theta: \overline{\mathbb{F}_p}^* \rightarrow T \subset \mathbb{Q}_p^{\text{ur}}$   
 called Teichmüller character.

Clearly: any  $\alpha \in \mathbb{Z}_p^{\text{ur}}$  written uniquely as  $\sum \theta(\lambda_i) p^i$ ,  $\lambda_i \in \overline{\mathbb{F}_p}$  where  $\theta(0) \equiv 0$ .  
 Similarly one proves

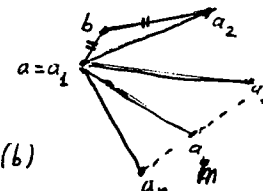
(Prop) If  $K/\mathbb{Q}_p$  finite and  $\pi \in K$  parameter. Then any  $\alpha \in K$  may be written uniquely as  $\sum_{i=0}^{\infty} a_i \pi^i$ ,  $m \in \mathbb{Z}$ ,  $a_i^{p^f} = a_i$ ,  $f = f(K/\mathbb{Q}_p)$ ; so  $a_i = 0$  or  $a_i$  is  $p^{f-1}$  root of 1.

(Prop) (Krasner's lemma) In the setting of (E) (i.e.  $K$  compl discr val of char  $c$ ,  $c > 1$  fixed). Assume  $a, b \in \overline{K}$ . Let  $a_1 = a, a_2, \dots, a_n \in \overline{K}$  the conjugates of  $a/K$ . Ass  $|b-a| < |a_i-a|$ ,  $i \neq 1$ . Then  $K(a) \subset K(b)$ .

Pf Ass  $K(a) \not\subset K(b)$

i.e.  $a \notin K(b)$

~~$a_1, \dots, a_n$  are the conjugates of  $a$  over  $K$ .  $a_1 = a, a_2, \dots, a_n \in \overline{K}$ .  $|b-a| < |a_i-a|$ ,  $i \neq 1$ . Then  $K(a) \subset K(b)$ .~~



May Ass  $a_1 = a, a_2, \dots, a_n$  ( $2 \leq n \leq \infty$ ) are the conj of  $a$  over  $K(b)$

So  $\exists \sigma \in \text{Hom}(K(b), \overline{K})$ ,  $\sigma a = a_2$ . So  $\sigma(b-a) = b-a_2$  so  $b-a$  &  $b-a_2$  are conj/ $K(b)$

Get by (E) that  $|b-a| = |b-a_2|$  So get

$$|a_2-a| \leq \max\{|a_2-b|, |b-a|\} \stackrel{\text{hyp}}{=} |b-a| < |a_2-a|$$

In  $\psi$   
 $f, g$  monic

more correctly  
 $C(1, n)$  where  
 $C: \mathbb{R}_+ \times \mathbb{N} \rightarrow \mathbb{R}_+$   
 $C(-, n)$  increasing  
 $C \geq 1$

(Prop) (Continuity of roots wrt coeff) In the setting of (E) denote for  $\forall f \in K[x]$ ,  $f = \sum_{i=0}^n a_i x^i$ ,  $|f| := \max |a_i|$ .  
 1)  $\forall$  root  $\alpha \in \overline{K}$  of  $f \Rightarrow |\alpha| \leq C(|f|)$  where  $C$  is a ct depending only on  $|f|$ , not on  $f$ .

2) Let  $f \in K[x]$  have degree  $n$  & distinct roots. Then  $\forall \epsilon > 0 \exists \delta$  s.t.  $\forall g \in K[x]$  of deg  $n$  with  $|f-g| < \delta \Rightarrow (\forall \text{ root } \alpha_i \text{ of } f \exists! \text{ root } \beta_i \text{ of } g \text{ s.t. } |\alpha_i - \beta_i| < \epsilon)$ .

Pf 1)  $a_n \alpha^n + a_{n-1} \alpha^{n-1} + \dots + a_0 = 0 \Rightarrow$  (divide by  $\alpha^n$ )  $a_n + \frac{a_{n-1}}{\alpha} + \frac{a_{n-2}}{\alpha^2} + \dots + \frac{a_0}{\alpha^n} = 0$ . Ass 1) fails.  
 $\Rightarrow \exists$  sequences  $\alpha_i^{(v)}$  s.t.  $|\alpha_i^{(v)}|$  bounded & seq  $\alpha_i^{(v)}$  of roots s.t.  $|\alpha_i^{(v)}| \rightarrow \infty$ . Then  $\frac{|a_0|}{|\alpha_i^{(v)}|^n} \rightarrow 0$ . Get  $a_0 = 0$   $\times$

2) Let  $\beta$  a root of  $g$ . Get

by 1) applied to  $g$

if  $|f-g|$  small

$\frac{|a_0|}{|\alpha_i^{(v)}|^n} \rightarrow 0$ . Get  $a_0 = 0$   $\times$

$$f = a_n \prod (x - \alpha_i)$$

$$|f(\beta)| = |f(\beta) - g(\beta)| \leq |f-g| C(|g|)^n \leq |f-g| \cdot C(|f|)^n$$

$$\leq |f|$$

$$|g| \leq \max\{|f|, |f-g|\}$$

$$\leq |f|$$

$$\text{if } |f-g| \leq |f|$$

(Cor)

In the setting of (E) if  $f \in K[x]$  is an irreducible pol  $\Rightarrow \exists \delta$  s.t.  $\forall g \in K[x]$  of degree  $n$  with  $|f-g| < \delta \Rightarrow g$  irred &  $\forall$  root  $\alpha$  of  $g \exists!$  root  $\alpha'$  of  $f$  s.t.  $K(\alpha) = K(\alpha')$

Pf (Prop) [g follows irred because  $K(\alpha) = K(\beta)$  so each root of  $g$  has degree  $n$ ]

⑧ (Prop) Let  $K$  be a fin ext of  $\mathbb{Q}_p$ ,  $\mathcal{O} \subset K$  the val ring,  $\mathfrak{p} \subset \mathcal{O}$  the max ideal  $\mathcal{O}^* = \mathcal{O} \setminus \mathfrak{p}$ . As usual. Then  $\mathcal{O}, \mathfrak{p}, \mathcal{O}^*$  are compact &  $K$  is locally comp.  
 Pf. Enough to check  $\mathcal{O}$  compact (bec  $\mathfrak{p}$  open hence also closed hence  $\mathcal{O}^*$  closed)  
 By pf of ④  $\mathcal{O}$  isometric to prod  $\mathbb{Z}_p \times \dots \times \mathbb{Z}_p$ . & enough  $\mathbb{Z}_p$  compact. In a metric space enough check  $\forall$  seq  $\exists$  conv. subseq. Let  $x_n \in \mathbb{Z}_p$ ,  $x_n = \sum \alpha_{ni} \cdot p^i$   
 $0 \leq \alpha_{ni} < p$ ,  $\alpha_{ni} \in \mathbb{Z}$ .  $\exists$  subseq  $x_n^{(1)}$  s.t.  $\alpha_{n0}$  constant. This subseq cont a subseq  $x_n^{(2)}$  s.t.  $\alpha_{n1}$  const a.s.o. Get subs.  $x_n^{(1)}, x_n^{(2)}, \dots$ . Consider diag subseq  $y_n := x_n^{(n)}$ . It converges to  $\sum \alpha_i \cdot p^i$  where  $\alpha_i$  is the constant  $i$ -digit of  $x_n^{(i)}$ .

(Cor)  $\mathbb{Q}_p$  has only fin many extensions of fixed degree  $< \overline{\mathbb{Q}_p}$   
 Pf ⑦ + ⑧.

(Prop) The completion  $\mathbb{C}_p$  of  $\overline{\mathbb{Q}_p}$  w.r.t.  $|\cdot|$  (in  $\mathbb{C}_p$ ) is alg. closed [Easy = take a poly  $f \in \mathbb{C}_p[x]$ , take  $f_i \in \overline{\mathbb{Q}_p}[x]$ ,  $|f - f_i| \rightarrow 0$ . Claim  $\exists$  seq  $\alpha_i \in \overline{\mathbb{Q}_p}$  of roots of  $f_i$  which is Cauchy: to check this use same estimate as in ⑤]

(Def) Some remarkable homomorph. 1) If  $R = \mathbb{Z}_p$  or  $\widehat{\mathbb{Z}_p^{ur}}$  &  $p \neq 2$  then have homomorph inverse to each other  $\log = \log_p: 1 + pR \rightarrow pR$ ,  $\exp = \exp_p: pR \rightarrow 1 + pR$  ( $1 + pR < R^*$ )  
 def by  $\log(1 + \alpha) := \alpha - \frac{\alpha^2}{2} + \frac{\alpha^3}{3} - \dots$  &  $\exp \alpha = 1 + \frac{\alpha}{1!} + \frac{\alpha^2}{2!} + \frac{\alpha^3}{3!} + \dots$  [they converge & have right modom bec  $v_p(\alpha^n/n!) \geq v_p(\alpha^n/n!) \geq n - v_p(n!) \geq n - [\frac{n}{p} + \frac{n}{p^2} + \frac{n}{p^3} + \dots] \geq n - \frac{n}{p-1}$ ]  
 May extend  $\log$  to  $\log: R^* \rightarrow pR$  by  $\log \alpha = \frac{1}{m} \log(\alpha^m)$  where  $p \nmid m$  &  $\alpha^m \equiv 1 \pmod{p}$ .  
 Clearly  $\text{Ker}(\log: R^* \rightarrow pR) = \{ \text{roots of 1 of ord prime to } p \}$ . If want to pass to  $\mathbb{C}_p$  get  $\log$  &  $\exp$  give gr. iso.  $\{ \alpha \in \mathbb{C}_p^*; |\alpha| < p^{-1/(p-1)} \} \cong \{ \alpha \in \mathbb{C}_p; |\alpha| < p^{-1/(p-1)} \}$  given by same series. [Actually  $\log$  may be prol. uniquely to a cont homo  $\mathbb{C}_p^* \rightarrow \mathbb{C}_p$  that sends  $p$  to  $0$ , called Iwasawa  $\log$ : exercise (!)].

→ 2) If  $R = \widehat{\mathbb{Z}_p^{ur}}$  define Cont homo  $\psi: R^* \rightarrow R$ ,  $\psi(\alpha) = \frac{1}{p} \log\left(\frac{\phi(\alpha)}{\alpha^p}\right)$  where  $\phi: R \rightarrow R$  is lift of Frobenius, cf ⑤ (well def bec  $\frac{\phi(\alpha)}{\alpha^p} \in 1 + pR$ ). Claim  $\text{Ker } \psi = \{ \text{all roots of 1 in } R^* \}$   
 [Indeed  $\psi(\alpha) = 0 \Rightarrow \phi(\alpha) = \alpha^p$ . Since  $\{ \alpha \in R^* \mid \phi(\alpha) = \alpha^p \} \supset \{ \text{roots of 1 of ord } \not\equiv 0 \pmod{p} \} \xrightarrow{\sim} \overline{\mathbb{F}_p}^*$ , enough to check that if  $\phi(\alpha) = \alpha^p$  &  $\alpha \equiv 1 \pmod{p}$  then  $\alpha = 1$ . Write  $\alpha = 1 + a_p \cdot a_{m+1} p^{m+1} + \dots$  where  $a_i \in \{0, \dots, p-1\}$  roots of 1. Then  $\phi(\alpha) = 1 + a_p^p p^m + a_{m+1}^p p^{m+1} + \dots$ . But  $\alpha^p = 1 + a_p p^{m+1} + \dots$   
 $\Rightarrow a_m = 0$   $\alpha_0$  ] Note: if set  $\delta: R \rightarrow R$ ,  $\delta \alpha = \frac{\phi(\alpha) - \alpha^p}{p}$  (analogue of deriv)  
 $\psi(\alpha) = \frac{\delta \alpha}{\alpha^p} - \frac{p}{2} \left( \frac{\delta \alpha}{\alpha^p} \right)^2 + \frac{p^2}{3} \left( \frac{\delta \alpha}{\alpha^p} \right)^3 - \dots$

### 32 Adeles & Ideles, Hecke L-functions

- (a) **Def** Let  $K$  be a # field. For  $\forall$  prime  $p$  of  $K$  let  $K_p$  be the completion of  $K$  wrt  $|\cdot|_p$  (recall  $|\alpha|_p = p^{-v_p(\alpha)}/v_p(\alpha)$  where  $p \cap \mathbb{Z} = (p)$ ). Let  $M_K$  be the union of primes of  $K \cup (\text{Hom}(K, \mathbb{C})/\sim_{\text{conj}})$ . Its elts den. by  $v \in M_K$  <sup>(are called places)</sup>. Denote by  $|\cdot|_v$  either  $|\cdot|_p$  if  $v=p$  or  $|\alpha|_v = |\sigma\alpha|_\infty$  if  $v=\sigma \in \text{Hom}_{\mathbb{Q}}(K, \mathbb{C})$  ( $|\sigma\alpha|_\infty$  is usual norm of complex #). Set  $N_v = [K_v; \mathbb{Q}_{v_0}]$  (where if  $v=p \Rightarrow v_0=p$  & if  $v=\sigma$  then  $\mathbb{Q}_{v_0} = \mathbb{R}$  &  $K_v$  is either  $\mathbb{R}$  or  $\mathbb{C}$  according to whether  $\sigma(K) \subset \mathbb{R}$  or not). Set  $\|\alpha\|_v = |\alpha|_v^{N_v}$  for  $\alpha \in K$ . Let  $\mathcal{O}_v := \mathcal{O}_{K_v}$  be the val ring of  $K_v$  ( $= \{ \alpha \in K_v \mid |\alpha|_v \leq 1 \}$ ) if  $v$  is a prime and  $\mathcal{O}_v = K_v$  if  $v=\sigma$ .
- (b) **Obs** If  $A = \text{integers of } \mathbb{Z} \text{ in } K$  (i.e.  $A = \mathcal{O}_K$ ). Then  $N_p [K_p; \mathbb{Q}_p] \stackrel{\text{def}}{=} e(\hat{A}_p / \mathbb{Z}_p) f(\hat{A}_p / \mathbb{Z}_p) \stackrel{\text{clear}}{=} e(A_p / \mathbb{Z}_p) f(A_p / \mathbb{Z}_p) \stackrel{\text{def}}{=} e_p f_p$ . In partic by (22)  $\delta$  again,  $\sum_{p \in M_K} N_p = [K: \mathbb{Q}]$ . More generally if  $K \subset L$  # fields &  $p, \lambda$  primes of  $K, L$ ,  $\lambda | p$  then  $[L_\lambda: K_p] = e(\lambda/p) f(\lambda/p)$  (same arg.).

- (c) **Prop** Let  $K \subset L$  be # fields,  $v \in M_K$ ; then  $\forall \alpha \in L \Rightarrow \text{Tr}_{L/K} \alpha = \sum_{w|v} \text{Tr}_{L_w/K_v} \alpha$ ,  $N_L \alpha = \prod_{w|v} N_{L_w/K_v} \alpha$ .  
**Pf.** Ass first  $v=p \in A := \mathcal{O}_K$  &  $w \leftrightarrow \lambda \in B := \mathcal{O}_L$ . Then, using red mod  $p^n$  & trick in pf of (21) (a) we get  $\hat{B}_p \simeq \prod_{\lambda|p} \hat{B}_\lambda$  [where  $\wedge$  on left is completion in product metric of  $B_p = \bigoplus A_p e_i$ ,  $e_i$  basis of  $A$ -mod  $B$  &  $\wedge$  on the right is compl in val. metric]. Next define  $\text{Tr}$  &  $N$  for fin dim  $K$ -alg (that need not be fields) as  $\text{Tr}$  &  $\det$  of matrix of mult by an elt  $++$ . Then Prop follows for primes. If  $v=\sigma \in \text{Hom}(K, \mathbb{C})$  &  $w=\tau \in \text{Hom}(L, \mathbb{C})$  extends  $\sigma$  then may ass  $\sigma = \text{inclusion } K \subset \overline{K} \subset \mathbb{C}$  & get  $\text{Tr}_{L/K} \alpha \stackrel{\text{def}}{=} \sum_{\tau} \tau \alpha = \sum_{\tau \text{ real}} \tau \alpha + \sum_{\tau \text{ complex}} \tau \alpha + \bar{\tau} \alpha = \sum_{w|v} \text{Tr}_{L_w/K_v} \alpha$  & same for  $N$ .

- (d) **Cor** (Product formula) Let  $K$  be a # field (notations as in (a)). Then for  $\alpha \in K$  get  $\prod_{v \in M_K} \|\alpha\|_v = 1$ .

**Pf.** For  $K = \mathbb{Q}$ , clear:  $\prod_{v \in M_{\mathbb{Q}}} \|\alpha\|_v = |\alpha|_\infty \cdot \prod_{p \text{ prime}} p^{-v_p(\alpha)} = 1$  for  $\alpha \in \mathbb{Z}$ , hence for  $\alpha \in \mathbb{Q}$ .

For  $K$  arb we have

$$\prod_{v \in M_K} \|\alpha\|_v \stackrel{(a)}{=} \prod_{v \in M_K} |\alpha|_v^{N_v} \stackrel{(31)(a)}{=} \prod_{v \in M_K} \prod_{\sigma|v} |N_{K_v/\mathbb{Q}_{v_0}} \alpha|_{\sigma} \stackrel{(b)}{=} \prod_{\sigma \in M_K} |N_{K/\mathbb{Q}} \alpha|_{\sigma} = 1$$

$++$  ~~check this~~ & check this coincides with prev. def for field ext.

$\oplus$  if  $v, w$  are primes we know what  $\frac{(w|v)}{(w|v)}$  means, if they are embeddings this means  $w$  prolongs  $v$ .

(containing  $M_{K,\infty} = \text{Hom}(K, \bar{\mathbb{Q}}) = \{v \in M_K \mid v \text{ is alg}$

**Def (Adeles)** Let  $K$  be a # field. For  $S \subset M_K$  finite set  $A_S := A := \prod_{v \notin S} \mathcal{O}_v \times \prod_{v \in S} K_v$ .  
 $A = A_K = \bigcup_S A_{K,S} \subset \prod_{v \in M_K} K_v$ . called adèle ring. Give  $A_S$  prod top (weakest top s.t. proj are cont.). By Tychonov's th ( $\prod$  compact = compact)  $\Rightarrow A_S$  locally comp.  
 Make  $A$  top space (ring!) by letting  $A_S$  be open  $\Rightarrow A$  loc. comp.  
 Embed  $K \rightarrow A$  diagonally. Set  $A_\infty := A_{M_{K,\infty}} = \prod_{v \neq \infty} \mathcal{O}_v \times \prod_{v \in \infty} K_v$

(a<sub>1</sub>) **Prop**  $K$  discrete in  $A$ .

**Pf.** Ass it's not so  $\exists x^{(n)} \in K, x^{(n)} \rightarrow 0$  in  $A$ .  $\Rightarrow x^{(n)} \in A_S$  for some  $S$   
 $\& x^{(n)} \rightarrow 0$  in  $A_S$ . So  $|x^{(n)}|_v \leq 1 \ \forall v \notin S$  &  $|x^{(n)}|_v \rightarrow 0$  as  $n \rightarrow \infty \ \forall v$  (not unif in  $v$ !). This contradicts prod. formula.

(a<sub>2</sub>) **Prop**  $A = K + A_\infty$ .

**Pf.** Let  $a = (a_v)_v \in A$ . Let  $v_1, \dots, v_u$  be <sup>all places</sup> s.t.  $v_i \neq \infty$  &  $|a_{v_i}|_{v_i} > 1$ . Ass  $v_i \mid p_i$   
 $\in \mathbb{Z}$  prime. Choose  $n_i$  s.t.  $|p_i^{n_i} a_{v_i}|_{v_i} \leq 1$ . Set  $m = \prod p_i^{n_i}$ . Then  $|m a_{v_i}|_{v_i} \leq 1$   
 so  $m a_{v_i} \in \mathcal{O}_{K,v_i}$ . By Chinvrem th  $\exists x \in \mathcal{O}_K$  s.t.  $|m a_{v_i} - x|_{v_i} \leq |m|_{v_i} \cdot |a_{v_i}|_{v_i}$ .  
 So  $|a_{v_i} - \frac{x}{m}|_{v_i} \leq 1$ . Since for  $\forall$  other  $v \neq \infty$  have  $a_v \in \mathcal{O}_v, \frac{x}{m} \in \mathcal{O}_v$   
 get  $|a_v - \frac{x}{m}|_v \leq 1$  for  $v \neq v_i, v \neq \infty$  as well so  $a - \frac{x}{m} \in A_\infty$  qed.

(a<sub>3</sub>) **Prop**  $A/K$  is compact & have surj homo  $A/K \rightarrow (\mathbb{R}^s \times \mathbb{C}^t) / \psi(\mathcal{O}_K)$  [not (24)].

**Pf.** Enough to check that  $\forall a \in A$  has a repres mod  $K$  in  $\prod_{v \neq \infty} \mathcal{O}_v \times \mathbb{R}^s \times \mathbb{C}^t$  where  
 $F_\infty$  fund dom of  $\psi(\mathcal{O}_K)$  in  $\mathbb{R}^s \times \mathbb{C}^t$  (not. as in (24) (3)). By above prop  
 $A/K = (K + A_\infty)/K = A_\infty / K \cap A_\infty = (\prod_{v \neq \infty} \mathcal{O}_v \times \mathbb{R}^s \times \mathbb{C}^t) / \mathcal{O}_K$  & done.

**Def** Let  $K$  be a # field. For  $\forall$  finite set  $S \subset M_K, S \supset M_{K,\infty}$  set

$$J_S = J_{K,S} := \prod_{v \notin S} \mathcal{O}_v^* \times \prod_{v \in S} K_v^*, \quad J = J_K := \bigcup_S J_{K,S}, \text{ called idele gr.}$$

Locally compact. (Top NOT ind by  $A$ ). Let  $K^* \rightarrow J$  be diag. emb. Set  $J_\infty = \prod_{v \neq \infty} \mathcal{O}_v^* \times \mathbb{R}^s \times \mathbb{C}^t$

(i<sub>1</sub>) **Prop**  $K^*$  discrete in  $J$ , [same arg as for  $A$ ]

(i<sub>2</sub>) **Prop**  $[J : K^* J_\infty] < \infty$ ; more precisely  $J/K^* J_\infty \cong I/P$  (= divl gr of  $K$ )

**Pf.** Define  $J \rightarrow I/P$  by  $a = (a_v)_v \mapsto [\prod_{v \neq \infty} p_v^{n_v}]$  where  $p_v \in \mathcal{O}_K$  corr to  $v$   
 &  $n_v = v_{p_v} \mathcal{O}_v(a_v)$ . Easy to check induces iso.

(Def) Have a <sup>cont</sup> homo  $\mathcal{J} \rightarrow \mathbb{R}^+$ ,  $a = (a_v)_v \mapsto \prod_{v \in M_K} \|a_v\|_v$ . Set  $\mathcal{J}^0 =$  its kernel. (closed)

By prod. formula  $K^* \subset \mathcal{J}^0$ . Set  $C_K = \mathcal{J}/K^*$  (gr. of idele classes).

$C_K^0 = \mathcal{J}^0/K^* \xrightarrow[\text{closed subgr}]{} C_K$ . By (i<sub>2</sub>) have nat<sup>surj</sup> homo  $C_K \rightarrow \mathcal{J}/P = \text{Cl}(K)$ .

(i<sub>3</sub>) (Prop)  $C_K^0 = \mathcal{J}^0/K^*$  is compact [Pf: Lang p. 141; it generalizes Dirichlet's unit th.]

(i<sub>4</sub>) (Prop) For  $\forall c \in I(\mathcal{O}_K) \exists$  nat surj  $\mathcal{J}/K^* \rightarrow I(c)/P_c^+$  [⊕]

(Def)  $K \subset L \neq$  fields. Define  $\text{Tr}_{L/K} : A_L \rightarrow A_K$ ,  $N_{L/K} : \mathcal{J}_L \rightarrow \mathcal{J}_K$  by

$$\text{Tr}_{L/K} a := \left( \sum_{w|v} \text{Tr}_{L_w/K_v} a_w \right)_v, \quad N_{L/K} a = \left( \prod_{w|v} N_{L_w/K_v} a_w \right)_v. \text{ They are comp}$$

with  $\text{Tr}_{L/K} : L \rightarrow K$ ,  $N_{L/K} : L^* \rightarrow K^*$  by (2) so they induce  $\text{Tr}_{L/K} : \frac{A_L}{L} \rightarrow \frac{A_K}{K}$ ,

$N_{L/K} : C_L \rightarrow C_K$ . Next - reformulation of class field th in idele-th. terms:

(g<sub>1</sub>) (Th) Let  $L/K$  be an abelian extension<sup>(cf. fields)</sup>. Then for  $c \in I(\mathcal{O}_K)$  any Artin conductor of  $L/K$  consider map  $a \mapsto (a, L/K)$  defined as the composition

$$\mathcal{J} \rightarrow \mathcal{J}/K^* \xrightarrow{(i_4)} I(c)/P_c^+ \xrightarrow{(\cdot, L/K)} G(L/K). \text{ Then}$$

this map does not dep on  $c$ , is surj (of course) & has kernel  $= K^* N_{L/K} \mathcal{J}_L$ . So get gr iso

$$C_K / N_{L/K} C_L \cong \mathcal{J}_K / K^* N_{L/K} \mathcal{J}_L \cong G(L/K)$$

Pf Lang p. 207

(g<sub>2</sub>) (Th) The map  $L \mapsto N_{L/K} C_L$  is a bij bet ab ext  $L/K$  & open subgr that reverses inclusions. (Here  $K, a \neq$  field).

Pf Lang p. 208

(l<sub>1</sub>) (Th) Let  $L/K$  be an ab ext. Then for any  $v \in M_K$ ,  $v \neq \infty$ . the map  $K_v^* \rightarrow G(L/K)$  def by  $\lambda \mapsto ((1, \dots, 1, \lambda, 1, \dots), L/K)$  is surj onto the decomp gr  $G_v$  & has kernel  $N_{L_v/K_v} L_v^*$  (w any div of  $v$ ). So  $K_v^* / N_{L_v/K_v} L_v^* \cong G_v$ . deduce this by (1, L/K)

(l<sub>2</sub>) (Th) Let  $K$  be a  $\neq$  field &  $v$  a prime. The ab ext of  $L/K$  are in bij with open subgr of  $K_v^*$ . Bij is given by  $E/K_v \mapsto N_{L_v/K_v} E^*$  where

⊕ (construction: Let  $\mathcal{J}_c = \{a \in \mathcal{J} \mid a_v \equiv 1 \pmod{C_v} \text{ (i.e. } \equiv 1 \text{ for } v \text{ prime \& } a_v > 0 \text{ if } v = \sigma \in \text{Hom}(K, \mathbb{R}) \text{)}\}$ . First one proves  $\mathcal{J}_c / \mathcal{J}_c \cap K^* \cong \mathcal{J}/K^*$  (this generalises Chinese rem th). Then one has a nat surj  $\mathcal{J}_c / \mathcal{J}_c \cap K^* \rightarrow I(c)/P_c^+$  (obvious).



(i.e.  $\chi$  trivial on some  $\mathcal{I}_S$  for  $S$  finite)

**Def** Let  $K$  be a # field. By a Hecke character (or Grossenchar.) one understands a homo  $\chi: \mathcal{I} \rightarrow \mathbb{C}^*$  trivial on  $K^*$  & s.t.  $\chi_v(\mathcal{O}_v^*) = 1$  for all but fin. many  $v \in M_K$ , where  $\chi_v$  is compos  $K_v^* \rightarrow \mathcal{I} \xrightarrow{\chi} \mathbb{C}^*$  [say  $\chi$  unram at  $v$  if  $\chi_v(\mathcal{O}_v^*) = 1$ ]; define conductor  $c(\chi)$  to be product of ramified  $v$ . Define, for  $p$  prime of  $K$ ,  $\chi(p) = \begin{cases} 0 & \text{if } p \mid c(\chi) \\ \chi(\pi) & \text{if } \mathfrak{o} = p \nmid c(\chi) \text{ & } \pi \text{ prim of } \mathcal{O}_v \end{cases}$ . Extend def to  $\chi(\underline{a})$ ,  $\underline{a} \in I(\mathcal{O})$  (i.e.  $\underline{a}$  ideals in  $\mathcal{O}_K$ ). Get  $\chi: I(\mathcal{O}_K) \rightarrow \mathbb{C}^*$ . Define Hecke  $L$ -fcn formally.

$$L_K(s, \chi) = \sum_{\underline{a} \in I} \frac{\chi(\underline{a})}{N \underline{a}^s} = \sum_{\substack{\underline{a} \in I(c(\chi)) \\ p \nmid c(\chi)}} \frac{\chi(\underline{a})}{N \underline{a}^s} = \prod_{p \nmid c(\chi)} (1 - \chi(p) Np^{-s})^{-1}$$

Assuming  $|\chi(p)| \leq Np^\nu \Rightarrow L_K(s, \chi)$  conv for  $\text{Re } s > 1 + \nu$ .

**Ex** 1)  $\forall$  character  $\chi: I(\mathbb{C})/P_{\mathbb{C}}^+ \rightarrow \mathbb{C}^*$  gives a Grossenchar.  $\tilde{\chi}: \mathcal{I}/K^* \rightarrow \mathbb{C}^*$  by compos. with surj  $\mathcal{I}/K^* \rightarrow I(\mathbb{C})/P_{\mathbb{C}}^+$ . E.g. if  $K = \mathbb{Q}$ ,  $c = m\mathbb{Z} \Rightarrow I(\mathbb{C})/P_{\mathbb{C}}^+ = (\mathbb{Z}/m\mathbb{Z})^*$ , so Dirichlet char  $\epsilon(\mathbb{Z}/m\mathbb{Z})$  give in partic. Grossenchar. [explicitly  $\tilde{\chi}(\mathfrak{p}) = \chi(p)$  for  $p \nmid m$ ; for  $p \mid m$ , however, definition of  $\tilde{\chi}$  goes through iso  $\mathcal{I}/\mathbb{Q}^* \simeq \mathcal{I}_{m\mathbb{Z}}' / \mathcal{I}_{m\mathbb{Z}} \cap \mathbb{Q}^*$ ]. For  $\infty$ , clearly  $\tilde{\chi}_{\infty} = 1$ .

2) The map  $\chi: I(\mathbb{Z}[\omega]) \rightarrow \mathbb{C}^*$  at the end of (13) comes from a Grossenchar.  $\chi: \mathcal{I} \rightarrow \mathbb{C}^*$  defined by  $\chi_{\pi}(\mathfrak{m}) = \begin{cases} -\pi & \text{if } \pi \in \mathbb{Z}[\omega] \text{ prime } \equiv 2(3) \\ \pi & \text{if } \pi \in \mathbb{Z}[\omega] \text{ primary prime } \equiv 1(3) \end{cases}$  but for other places  $\chi = 1$ . Note  $\chi$  not of finite order &  $\chi_{\infty} \neq 1$ .

$\nabla$  won't check it here.

$\star$  of course  $\chi$  may be recaptured from  $\chi_v$  by  $\chi(x) = \prod_v \chi_v(x_v)$ .

$\dagger$  so  $c(\chi) \leftrightarrow$  minimum  $S$  s.t.  $\chi$  trivial on  $\mathcal{I}_S$ . /  $\dagger$  of fin. order

$\dagger\dagger\dagger$  sometimes say this associated  $\chi$  a Grossenchar. (comes from)