

## The $cl$ -core of an ideal

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(Received 1 June 2009; revised 10 November 2009)

### Abstract

We expand the notion of core to  $cl$ -core for Nakayama closures  $cl$ . In the characteristic  $p > 0$  setting, when  $cl$  is the tight closure, denoted by  $*$ , we give some examples of ideals when the core and the  $*$ -core differ. We note that  $*\text{-core}(I) = \text{core}(I)$ , if  $I$  is an ideal in a one-dimensional domain with infinite residue field or if  $I$  is an ideal generated by a system of parameters in any Noetherian ring. More generally, we show the same result in a Cohen–Macaulay normal local domain with infinite perfect residue field, if the analytic spread,  $\ell$ , is equal to the  $*$ -spread and  $I$  is  $G_\ell$  and weakly- $(\ell - 1)$ -residually  $S_2$ . This last is dependent on our result that generalizes the notion of general minimal reductions to general minimal  $*$ -reductions. We also determine that the  $*$ -core of a tightly closed ideal in certain one-dimensional semigroup rings is tightly closed and therefore integrally closed.

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### 1. Introduction

The core of an ideal, the intersection of all reductions of the ideal, was introduced by Rees and Sally in [24] in the 80's. Then over a decade passed before Huneke and Swanson [13] analyzed the core of ideals in 2-dimensional regular local rings. Then a stream of papers came out within a decade by Corso, Polini and Ulrich [4], [5], [23], Hyry and Smith [17], [18] and Huneke and Trung [15] expanding the understanding and computability of the core. As it is the intersection of reductions, in general it lies deep within the ideal. In fact, the core is related to the Briançon–Skoda Theorem [20]: let  $R$  be a regular local ring of dimension  $d$  and let  $I$  an ideal. Then  $\overline{I^d} \subseteq J$  for any reduction  $J$  of  $I$ . Hence  $\overline{I^d} \subseteq \text{core}(I)$ . A very slick proof of the Briançon–Skoda Theorem was given in characteristic  $p > 0$ , using tight closure, [10, theorem 5.4]. We expand the notion of core to other closure operations; in particular, Nakayama closure operations. Epstein defined the Nakayama closure as follows:

*Definition 1.1.* ([7]) A closure operation  $cl$ , defined on a Noetherian local ring  $(R, \mathfrak{m})$  is a Nakayama closure if for all ideals  $I$  and  $J$  satisfying  $J \subset I \subset (J + \mathfrak{m}I)^{cl}$  it follows that  $I \subset J^{cl}$ .

Note that integral closure, tight closure and Frobenius closure are examples of Nakayama closures, [7, proposition 2.1]. Recall that both the tight closure and the Frobenius closure are characteristic  $p > 0$  notions.

Epstein's main reason for the definition of Nakayama closure was to expand the notion of reduction and analytic spread to these other closure operations. With a well defined notion of reduction and analytic spread, we can easily extend the definition of the core to these other closure operations. In general, the  $cl$ -cores will not lie as deep in the ideal as the core itself. This will follow from the fact that the partial ordering of closure operations leads to a reverse partial ordering on the  $cl$ -cores (Proposition 3.4). Our hope in studying these  $cl$ -cores is that tight closure methods may be used to compute the core in situations where the core and the  $*$ -core agree.

In Section 2, we provide some background information about the core and tight closure theory, along with a review of some central theorems that are used in this article. In Section 3, we review  $cl$ -reductions of ideals. We also discuss the  $cl$ -spread of an ideal and define both the  $cl$ -deviation and the second  $cl$ -deviation in terms of the  $cl$ -spread. We also introduce the notion of  $cl$ -core. In Section 4, we show different instances when the core and the  $*$ -core agree. Our main result, Theorem 4.5, shows that we can form general minimal  $*$ -reductions. This allows us to show in particular that if  $(R, \mathfrak{m})$  is a Gorenstein normal local isolated singularity of positive characteristic with infinite perfect residue field, test ideal equal to  $\mathfrak{m}$  and  $I$  is an  $\mathfrak{m}$ -primary tightly closed ideal then  $*$ -core( $I$ ) = core( $I$ ) (Corollary 4.7). Also, when  $(R, \mathfrak{m})$  is a Cohen–Macaulay normal domain of positive characteristic and infinite perfect residue field and  $I$  is an ideal that satisfies  $G_\ell$  and is weakly  $(\ell - 1)$ -residually  $S_2$  with  $\ell^*(I) = \ell(I) = \ell$  then core( $I$ ) =  $*$ -core( $I$ ) (Theorem 4.8). In Section 5, we discuss when the  $*$ -core is tightly closed in some one-dimensional semigroup rings. Finally, in Section 6, we give some examples where we compute the  $*$ -core and in each case we compare the core with the  $*$ -core.

## 2. Background

In this section we recall some definitions and results that we will use extensively in this paper.

*Definition 2.1.* Let  $R$  be a Noetherian local ring of characteristic  $p > 0$ . We denote positive powers of  $p$  by  $q$  and the set of elements of  $R$  which are not contained in the union of minimal primes by  $R^0$ . Then

- (a) For any ideal  $I \subset R$ ,  $I^{[q]}$  is the ideal generated by the  $q$ th powers of elements in  $I$ .
- (b) We say an element  $x \in R$  is in the *tight closure*,  $I^*$ , of  $I$  if there exists a  $c \in R^0$ , such that  $cx^q \in I^{[q]}$  for all large  $q$ .
- (c) We say an element  $x \in R$  is in the *Frobenius closure*,  $I^F$ , of  $I$  if  $x^q \in I^{[q]}$  for all large  $q$ .

Finding the tight closure of an ideal would be hard without test elements and test ideals. A *test element* is an element  $c \in R^0$  such that  $cI^* \subset I$  for all  $I \subset R$ . We note here that  $c \in \bigcap_{I \subset R} (I : I^*)$ . Since the intersection of ideals is an ideal we call the ideal  $\tau = \bigcap_{I \subset R} (I : I^*)$  the *test ideal* of  $R$ , namely the ideal generated by all the test elements. We say that  $I$  is a *parameter ideal* if  $I$  is generated by part of a system of parameters. In a Gorenstein local isolated singularity, the following theorem of Smith [25] gives a nice way to compute the tight closure of a parameter ideal using the test ideal.

**THEOREM 2.2** ([25, lemma 3.6, proposition 4.5]). *Let  $R$  be a Gorenstein local isolated singularity with test ideal  $\tau$ . Then for any system of parameters  $x_1, x_2, \dots, x_d$ ,*

$$(x_1, x_2, \dots, x_d) : \tau = (x_1, x_2, \dots, x_d)^*.$$

Related concepts are parameter test elements and parameter test ideals. A *parameter test element* is an element  $c \in R^0$  such that  $cI^* \subset I$  for all parameter ideals  $I \subset R$ . Note that  $c \in \bigcap_{I \subset R} (I : I^*)$ . Let  $P(R)$  be the set of parameter ideals in  $R$ . We call  $\tau_{par} = \bigcap_{I \in P(R)} (I : I^*)$  *the parameter test ideal*. It is known in a Gorenstein ring that  $\tau = \tau_{par}$ . We can relax the Gorenstein assumption from the above theorem and obtain:

**THEOREM 2.3** ([27]). *Let  $R$  be a Cohen–Macaulay local isolated singularity with parameter test ideal  $\tau_{par}$ . For any system of parameters  $x_1, x_2, \dots, x_d$ ,*

$$(x_1, x_2, \dots, x_d) : \tau_{par} = (x_1, x_2, \dots, x_d)^*.$$

Note, if the test ideal is known to be  $\mathfrak{m}$ , where  $\mathfrak{m}$  is the maximal ideal of the ring, then the parameter test ideal will also be  $\mathfrak{m}$ .

Another result that we will use repeatedly is the following due to Aberbach:

**PROPOSITION 2.4** ([1, proposition 2.4]). *Let  $(R, \mathfrak{m})$  be an excellent, analytically irreducible local ring of characteristic  $p > 0$ , let  $I$  be an ideal, and let  $f \in R$ . Assume that  $f \notin I^*$ . Then there exists  $q_0 = p^{e_0}$  such that for all  $q \geq q_0$  we have  $I^{[q]} : f^q \subset \mathfrak{m}^{[q/q_0]}$ .*

Notice that later on we will be assuming that  $R$  is an excellent normal local domain, which implies that  $R$  is analytically irreducible, since the completion of an excellent normal domain is again a normal domain. Hence one may use Proposition 2.4.

Let  $R$  be a Noetherian ring and  $I$  an ideal. We say that  $J \subset I$  is a *reduction* of  $I$  if  $I^{n+1} = JI^n$  for some nonnegative integer  $n$ . Northcott and Rees introduced this notion in [22] in order to study multiplicities. If  $(R, \mathfrak{m})$  is a Noetherian local ring and  $I$  is an  $\mathfrak{m}$ -primary ideal then  $I$  and its reduction  $J$  have the same multiplicity and thus one may want to shift the attention from  $I$  to the simpler ideal  $J$ . If  $R$  is a Noetherian local ring with infinite residue field then  $I$  has infinitely many minimal reductions or  $I$  is basic, i.e.  $I$  is the only reduction of itself ([22]). When  $R$  is a Noetherian local ring and  $I$  is an ideal then a reduction  $J$  of  $I$  is called minimal if it is minimal with respect to inclusion. To facilitate the lack of uniqueness for minimal reductions, Rees and Sally introduced the core of an ideal:

**Definition 2.5** ([24]). Let  $R$  be a Noetherian ring. Let  $I$  be an ideal. Then  $\text{core}(I) = \bigcap_{J \subset I} J$ , where  $J$  is a reduction of  $I$ .

When  $R$  is a Noetherian local ring it is enough to take the intersection over all minimal reductions since every reduction contains a minimal reduction. There has been a significant effort by several authors to find efficient ways of computing this infinite intersection. One result in particular is of special interest to us.

**THEOREM 2.6** ([4, theorem 4.5]). *Let  $R$  be a Cohen–Macaulay local ring with infinite residue field and  $I$  an ideal of analytic spread  $\ell$ . Assume that  $I$  satisfies  $G_\ell$  and is weakly  $(\ell - 1)$ -residually  $S_2$ . Then  $\text{core}(I) = \mathfrak{a}_1 \cap \dots \cap \mathfrak{a}_t$  for  $\mathfrak{a}_1, \dots, \mathfrak{a}_t$  general  $\ell$ -generated ideals in  $I$  which are reductions of  $I$  and for some finite integer  $t$ .*

We now explain the conditions in the statement of Theorem 2.6.

The *analytic spread* of  $I$ ,  $\ell(I)$ , is the Krull dimension of  $\mathcal{F}(I) := \bigoplus_{i \geq 0} I^i/\mathfrak{m}I^i$ , the special fiber ring of  $I$ . It is well known that if  $R$  is a Noetherian local ring with infinite residue field then any minimal reduction  $J$  of  $I$  has the same minimal number of generators, namely  $\mu(J) = \ell(I)$  [22]. It is straightforward to see that in general  $\text{ht } I \leq \ell(I) \leq \dim R$ .

Following the definitions given in [3] we say that an ideal  $I$  satisfies the property  $G_s$  if for every prime ideal  $\mathfrak{p}$  containing  $I$  with  $\dim R_{\mathfrak{p}} \leq s - 1$ , the minimal number of generators,  $\mu(I_{\mathfrak{p}})$ , of  $I_{\mathfrak{p}}$  is at most  $\dim R_{\mathfrak{p}}$ . A proper ideal  $K$  is called an *s-residual intersection* of  $I$  if there exists an  $s$ -generated ideal  $\mathfrak{a} \subset I$  so that  $K = \mathfrak{a} : I$  and  $\text{ht } K \geq s \geq \text{ht } I$ . If  $\text{ht } I + K \geq s + 1$ , then  $K$  is said to be a *geometric s-residual intersection* of  $I$ . If  $R/K$  is Cohen–Macaulay for every  $i$ -residual intersection (geometric  $i$ -residual intersection)  $K$  of  $I$  and every  $i \leq s$  then  $I$  satisfies  $AN_s$  ( $AN_s^-$ ). An ideal  $I$  is called *s-residually  $S_2$*  (*weakly s-residually  $S_2$* ) if  $R/K$  satisfies Serre’s condition  $S_2$  for every  $i$ -residual intersection (geometric  $i$ -residual intersection)  $K$  of  $I$  and every  $i \leq s$ .

*Remark 2.7.* Let  $(R, \mathfrak{m})$  be a Noetherian local ring and  $I$  an ideal. Let  $g = \text{ht } I$ . It is not difficult for an ideal to satisfy the condition  $G_s$ . If  $I$  is an  $\mathfrak{m}$ -primary ideal or in general an equimultiple ideal, i.e.  $\ell = \ell(I) = \text{ht } I$ , then  $I$  satisfies  $G_\ell$  automatically.

If  $(R, \mathfrak{m})$  is a Cohen–Macaulay local ring of dimension  $d$  and  $I$  an ideal satisfying  $G_s$ , then  $I$  is universally  $s$ -residually  $S_2$  in the following cases:

- (a)  $R$  is Gorenstein, and the local cohomology modules  $H_{\mathfrak{m}}^{d-g-j}(R/I^j)$  vanish for all  $1 \leq j \leq s - g + 1$ , or equivalently,  $\text{Ext}_R^{g+j}(R/I^j, R) = 0$  for all  $1 \leq j \leq s - g + 1$  ([3, theorem 4.1 and 4.3]).
- (b)  $R$  is Gorenstein,  $\text{depth } R/I^j \geq \dim R/I - j + 1$  for all  $1 \leq j \leq s - g + 1$  ([26, theorem 2.9(a)]).
- (c)  $I$  has sliding depth ([9, theorem 3.3]).

Notice that condition (b) implies (a) and the property  $AN_s$  by [26, theorem 2.9(a)]. Also the conditions (b) and (c) are satisfied by *strongly Cohen–Macaulay* ideals, i.e. ideals whose Koszul homology modules are Cohen–Macaulay. If  $I$  is a Cohen–Macaulay almost complete intersection or a Cohen–Macaulay deviation two ideal of a Gorenstein ring then  $I$  is strongly Cohen–Macaulay ([2, p. 259]). Furthermore, if  $I$  is in the linkage class of a complete intersection (licci) then  $I$  is again a strongly Cohen–Macaulay ideal ([11, theorem 1.11]). Standard examples include perfect ideals of height two and perfect Gorenstein ideals of height three.

### 3. *cl-Reductions and the definition of cl-core*

Let  $R$  be a Noetherian ring and  $I$  an ideal. Recall that  $J \subset I$  is a reduction of an ideal  $I$  if  $J I^n = I^{n+1}$  for some nonnegative integer  $n$ . If  $J$  is a reduction of  $I$ , then  $J \subset I \subset \overline{J}$ . Epstein defines a *cl-reduction* of an ideal  $I$  to be an ideal  $J$  such that  $J \subset I \subset J^{cl}$ . If  $cl$  is a Nakayama closure we have the following Lemma:

LEMMA 3.1 ([7, lemma 2.2]). *Let  $R$  be a Noetherian local ring and  $I$  an ideal. If  $cl$  is a Nakayama closure on  $R$ , then for any  $cl$ -reduction  $J$  of  $I$ , there is a minimal  $cl$ -reduction  $K$  of  $I$  contained in  $J$ . Moreover, in this situation any minimal generating set of  $K$  extends to a minimal generating set of  $J$ .*

In particular Lemma 3.1 shows that minimal  $cl$ -reductions exist. Following the idea in Definition 2.5 we now define the  $cl$ -core.

*Definition 3.2.* Let  $R$  be a Noetherian ring and  $cl$  a closure defined on  $R$ . The  $cl$ -core of an ideal  $I$  is  $cl\text{-core}(I) = \bigcap_{J \subset I} J$ , where  $J$  is a  $cl$ -reduction of  $I$ .

Recall, an ideal is basic if it does not have any nontrivial reductions. We will say that an ideal is  $cl$ -basic if it does not have any nontrivial  $cl$ -reductions. Clearly if  $I$  is a basic ideal  $\text{core}(I) = I$ . If  $I$  is a  $cl$ -basic ideal then  $cl\text{-core}(I) = I$ . Note that we can restrict the intersection to the minimal  $cl$ -reductions of  $I$ , when  $R$  is a Noetherian local ring. In [28] the second author has discussed the partial ordering on the set of closure operations of a ring defined as follows: If  $cl_1$  and  $cl_2$  are closure operations we say that  $cl_1 \leq cl_2$  if and only if  $I^{cl_1} \subset I^{cl_2}$  for all ideals  $I$  of  $R$ .

*LEMMA 3.3.* Let  $cl_1$  be a closure operation and  $cl_2$  be a Nakayama closure operation defined on a Noetherian local ring  $R$  with  $cl_1 \leq cl_2$ . Let  $I$  be an ideal. If  $J_1$  is a minimal  $cl_1$ -reduction of  $I$  then there exists a minimal  $cl_2$ -reduction  $J_2$  of  $I$  with  $J_2 \subset J_1$ .

*Proof.* Notice that  $J_1 \subset I \subset J_1^{cl_1}$ , as  $J_1$  is a  $cl_1$ -reduction of  $I$ . Since  $cl_1 \leq cl_2$  then  $K^{cl_1} \subset K^{cl_2}$  for all ideals  $K \subset R$ . Hence  $J_1^{cl_1} \subset J_1^{cl_2}$  and  $J_1 \subset I \subset J_1^{cl_1} \subset J_1^{cl_2}$ . So  $J_1$  is a  $cl_2$ -reduction of  $I$  also. Now by Lemma 3.1, there is a minimal  $cl_2$ -reduction of  $I$  contained in  $J_1$ .

One consequence of Lemma 3.3 is the following:

*PROPOSITION 3.4.* Let  $cl_1$  be a closure operation and  $cl_2$  be a Nakayama closure operation defined on a Noetherian local ring  $R$  with  $cl_1 \leq cl_2$ . Let  $I$  be an ideal. Then  $cl_2\text{-core}(I) \subset cl_1\text{-core}(I)$ .

*Proof.* We know that  $cl_1\text{-core}(I) = \bigcap_{J_1 \subset I} J_1$  where  $J_1$  are  $cl_1$ -reductions of  $I$ . By Lemma 3.3, for every  $cl_1$ -reduction  $J_1$  of  $I$ , there exists a minimal  $cl_2$ -reduction,  $J_2$  contained in  $J_1$ . Thus  $cl_2\text{-core}(I) \subset \bigcap_{J_2 \subset J_1} J_2$  and  $\bigcap_{J_2 \subset J_1} J_2 \subset \bigcap_{J_1 \subset I} J_1 = cl_1\text{-core}(I)$ .

Let  $R$  be a Noetherian ring of characteristic  $p > 0$ . Note that  $I^F \subseteq I^* \subseteq \bar{I}$  for all ideals  $I$  of  $R$ . The first inclusion is clear as  $x \in I^F$  if  $x^q \in I^{[q]}$  for all  $q \gg 0$  implies that  $cx^q \in I^{[q]}$  for some  $c \in R^o$  namely, by taking  $c = 1$ . The second inclusion holds by [10, theorem 5.2]. In particular, we have the following corollary regarding the Frobenius or  $F$ -core, the  $*$ -core and the core, which is a  $cl$ -core where  $cl$  is the integral closure.

*COROLLARY 3.5.* Let  $R$  be an excellent local ring of characteristic  $p > 0$  and let  $I$  be an ideal. Then  $\text{core}(I) \subset * \text{-core}(I) \subset F\text{-core}(I)$ .

Following [14, proposition 17.8.9] we see:

*COROLLARY 3.6.* Let  $R$  be a Noetherian local ring and let  $I$  be an ideal. Then  $\sqrt{I} = \sqrt{cl\text{-core}(I)}$  for any  $cl \leq \bar{\phantom{x}}$ . In particular, if  $R$  is an excellent local ring of characteristic  $p > 0$  it follows that  $\sqrt{I} = \sqrt{* \text{-core}(I)} = \sqrt{F\text{-core}(I)}$ .

To better understand these minimal  $cl$ -reductions, Epstein mimicked Vraciu's definition of  $*$ -independence in [30] to define  $cl$ -independence. The elements  $x_1, \dots, x_n$  are said to be  $cl$ -independent if  $x_i \notin (x_1, \dots, \widehat{x}_i, \dots, x_n)^{cl}$ , for all  $1 \leq i \leq n$ . Then he further refines the notion to that of strong  $cl$ -independence. An ideal is strongly  $cl$ -independent if every minimal set of generators is  $cl$ -independent. Epstein then showed in [7, proposition 2.3] that

when  $cl$  is a Nakayama closure,  $J$  is a minimal  $cl$ -reduction of  $I$  if and only if  $J$  is a strongly  $cl$ -independent ideal.

In a Noetherian local ring  $(R, \mathfrak{m})$  of characteristic  $p > 0$  Vraciu [30] defined the special tight closure,  $I^{*sp}$ , to be the elements  $x \in R$  such that  $x \in (\mathfrak{m}I^{[q_0]})^*$  for some  $q_0 = p^{e_0}$  and some  $e_0 \in \mathbb{N}$ . Huneke and Vraciu show in [16, proposition 4.2] that  $I^{*sp} \cap I = \mathfrak{m}I$  if  $I$  is generated by  $*$ -independent elements. Note that the minimal  $*$ -reductions of  $I$  are generated by  $*$ -independent elements. Epstein showed in [7, lemma 3.4] that  $I^{*sp} = J^{*sp}$  for all  $*$ -reductions of  $I$ .

An ideal  $I$  is said to have  $cl$ -spread,  $\ell^{cl}(I)$ , if all minimal  $cl$ -reductions of  $I$  have the same minimal number of generators. As with the analytic spread, Epstein proves that  $\mu(J) = \ell^{cl}(I)$  for all minimal  $cl$ -reductions  $J$  of  $I$ . He also goes on to prove [7, theorem 5.1] that the  $*$ -spread is well defined over an excellent analytically irreducible local domain of characteristic  $p > 0$ . Now if the  $cl_1$ - and the  $cl_2$ -spread are defined for  $I$ , we have:

**PROPOSITION 3.7.** *Let  $cl_1$  be a closure operation and  $cl_2$  be a Nakayama closure operation defined on a Noetherian local ring  $R$  with  $cl_1 \leq cl_2$ . Let  $I$  be an ideal with well-defined  $cl_1$ - and  $cl_2$ -spread. Then  $\ell^{cl_1}(I) \geq \ell^{cl_2}(I)$ .*

*Proof.* Let  $J_1$  be a  $cl_1$ -minimal reduction of  $I$ . Then  $\mu(J_1) = \ell^{cl_1}(I)$  [7, proposition 2.4]. Also  $J_1 \subset I \subset J_1^{cl_1} \subset J_1^{cl_2}$ , since  $cl_1 \leq cl_2$ . Therefore  $J_1$  is also a  $cl_2$ -reduction of  $I$  (not necessarily minimal). Hence  $\mu(J_1) \geq \ell^{cl_2}(I)$  and equality holds if and only if  $J_1$  is a minimal  $cl_2$ -reduction of  $I$ , according to [7, proposition 2.4]. Hence  $\ell^{cl_1}(I) = \mu(J_1) \geq \ell^{cl_2}(I)$ .

In particular, we have the following corollary regarding the Frobenius or  $F$ -spread, the  $*$ -spread and the analytic spread of an ideal:

**COROLLARY 3.8.** *Let  $R$  be an excellent local ring of characteristic  $p > 0$  and let  $I$  be an ideal. Then  $\ell(I) \leq \ell^*(I) \leq \ell^F(I)$ .*

The analytic spread is bounded above by the dimension of the ring, but in principle, the  $cl$ -spreads can grow arbitrarily large. The  $cl$ -spread of an ideal  $I$  is however bounded by the minimal number of generators of  $I$ ,  $\mu(I)$ .

There are two invariants of a ring related to the analytic spread: the analytic deviation and the second analytic deviation. Recall that in a Noetherian local ring, the *analytic deviation* of an ideal  $I$  is  $ad(I) = \ell(I) - \text{ht } I$ . Note that  $I$  is equimultiple if  $ad(I) = 0$ . The *second analytic deviation* of  $I$  is  $ad_2(I) = \mu(I) - \ell(I)$ . We make the following definitions with respect to the  $cl$ -spread of an ideal  $I$ .

**Definition 3.9.** Let  $R$  be a Noetherian local ring and  $cl$  a closure operation on  $R$ . Let  $I$  be an ideal with a well defined  $cl$ -spread. The  $cl$ -deviation of  $I$  is  $cld(I) = \ell^{cl}(I) - \text{ht } I$ . The second  $cl$ -deviation of  $I$  is  $cld_2(I) = \mu(I) - \ell^{cl}(I)$ .

**Remark 3.10.** Let  $R$  be a Noetherian local ring and  $cl$  a closure operation on  $R$ . Let  $I$  be an ideal with a well defined  $cl$ -spread. Assume  $cl \leq^-$ . The following are straightforward from the definition above.

- (a) Since  $\ell(I) \leq \ell^{cl}(I)$  then  $cld(I) \geq 0$ .
- (b) Note that in a Cohen–Macaulay local ring, if  $I$  is generated by a system of parameters then  $I$  is equimultiple and we have  $cld(I) = 0$ .
- (c) Since  $\ell(I) \leq \ell^{cl}(I)$ , then  $cld_2(I) \leq ad_2(I)$ .

Note if  $I$  is  $cl$ -closed, then  $\ell^{cl}(I) = \mu(I)$ . If  $I$  is a basic ideal (i.e.  $\bar{\cdot}$ -basic) and  $cl \leq \bar{\cdot}$ , then  $\ell^{cl}(I) = \ell(I)$ . We would like to know how the  $core(I)$  and the  $cl$ - $core(I)$  are related when  $\ell(I) = \ell^{cl}(I)$ .

4. When  $\ast$ -core and core agree

First we record some straightforward cases when the core and the  $\ast$ -core agree.

PROPOSITION 4.1. *Let  $R$  be a Noetherian local ring of characteristic  $p > 0$  and  $I$  be an ideal generated by a system of parameters. Then  $\ast$ - $core(I) = core(I)$ .*

*Proof.* An ideal generated by a system of parameters is basic and  $\ast$ -basic, hence the only reduction (and  $\ast$ -reduction) of  $I$  is  $I$ . Thus  $\ast$ - $core(I) = core(I) = I$ .

Note, when  $I$  is generated by a system of parameters, we may have  $I^\ast \subsetneq \bar{I}$ , but the core and the  $\ast$ -core are equal.

PROPOSITION 4.2. *Let  $R$  be a one-dimensional local domain of characteristic  $p > 0$  with infinite residue field and let  $I$  be an ideal. Then  $\ast$ - $core(I) = core(I)$ .*

*Proof.* If  $I = 0$  then the assertion is clear. Suppose then that  $I \neq 0$  then  $\ell(I) = 1$ . By [12, example 1.6.2] it is known that for principal ideals  $\overline{(x)} = (x)^\ast$  and also that  $I^\ast = (x)^\ast$ , for some  $x \in R$ . Then every minimal reduction and hence minimal  $\ast$ -reduction of  $I$  is principal. Therefore we obtain equality of the core and the  $\ast$ -core.

We would like to show that in an excellent normal local ring the core and the  $\ast$ -core agree for ideals of second  $\ast$ -deviation 1. Note that if  $(R, \mathfrak{m})$  is Gorenstein local isolated singularity of characteristic  $p > 0$  with test ideal  $\mathfrak{m}$  and  $I$  is an ideal generated by a system of parameters, then  $\ast d_2(I) = 1$  by Theorem 2.2 since the tight closure is the socle in this case, see also the proof of Corollary 4.6.

To show that the core and the  $\ast$ -core agree for ideals with  $\ast d_2(I) = 1$ , we will begin by considering general minimal reductions. Recall:

Definition 4.3 ([22]). Let  $R$  be a Noetherian local ring with infinite residue field  $k$ . Let  $I$  be an ideal generated by  $f_1, \dots, f_m$  and let  $t$  be a fixed positive integer. We say that  $b_1, \dots, b_t$  are  $t$  general elements in  $I$  if there exists a dense open subset  $U$  of  $\mathbb{A}_k^{tm}$  such that for  $1 \leq j \leq m$ , we have  $b_i = \sum_{j=1}^m \lambda_{ij} f_j$ , where  $\underline{\lambda} = [\lambda_{ij}]_{ij} \in \mathbb{A}_R^{tm}$ ,  $\bar{\underline{\lambda}} \in U$  vary in  $U$  and  $\bar{\underline{\lambda}}$  is the image of  $\underline{\lambda}$  in  $\mathbb{A}_k^{tm}$ . An ideal  $J$  is called a general minimal reduction of  $I$  if  $J$  is a minimal reduction of  $I$  generated by  $\ell(I)$  general elements.

The next two Theorems show that general minimal  $\ast$ -reductions exist.

THEOREM 4.4. *Let  $R$  be an excellent normal local ring of characteristic  $p > 0$  with infinite perfect residue field. Let  $I$  be an ideal with  $\ast d_2(I) = 1$ . Then any ideal generated by  $\ell^\ast(I)$  general elements of  $I$  is a minimal  $\ast$ -reduction of  $I$ .*

*Proof.* Let  $\ell^\ast(I) = s$ . Then there exists  $\ast$ -independent elements  $f_1, \dots, f_s \in I$  such that  $I^\ast = (f_1, \dots, f_s)^\ast$ . Let  $J = (f_1, \dots, f_s)$ . Hence  $J$  is a minimal  $\ast$ -reduction of  $I$ . By [7, lemma 2.2] we know that this generating set of  $J$  can be extended to a minimal generating set of  $I$ . In other words,  $I = (f_1, \dots, f_s, f_{s+1})$ . By [16, theorem 2.1] we have that  $J^\ast = J + J^{\ast sp}$ . Also by [7, lemma 3.4] since  $J \subset I$  and  $J^\ast = I^\ast$  then  $J^{\ast sp} = I^{\ast sp}$ . Therefore  $I^\ast = J + I^{\ast sp}$  and  $f_{s+1}$  can be chosen such that  $f_{s+1} \in I^{\ast sp}$ .

Let  $T = R[X_{ij}]$  where  $1 \leq i \leq s$  and  $1 \leq j \leq s + 1$ . Let  $a_i = \sum_{j=1}^{s+1} X_{ij} f_j$  for  $1 \leq i \leq s$  and consider the  $T$ -ideal  $\tilde{J} = (a_1, \dots, a_s)$ . Write  $\underline{X}$  for  $[X_{ij}]_{ij}$ .

Consider the  $R$ -homomorphism  $\pi_{\underline{\lambda}} : T \rightarrow R$  that sends  $\underline{X}$  to  $\underline{\lambda}$ , where  $\underline{\lambda} \in \mathbb{A}_R^{s(s+1)}$ . Notice that for  $\underline{\lambda}_0 = [\delta_{ij}]$  one has  $\pi_{\underline{\lambda}_0}(\tilde{J}) = J$ .

Let  $\mathfrak{m}$  denote the maximal ideal of  $R$  and  $k = R/\mathfrak{m}$  the residue field of  $R$ . We need to find a dense open set  $U \subset \mathbb{A}_k^{s(s+1)}$ , such that  $\pi_{\underline{\lambda}}(\tilde{J})$  is also a  $*$ -reduction for all  $\underline{\lambda} \in U$ . Let  $\overline{\lambda}_{ij}$  be the image of  $\lambda_{ij}$  in  $k$ . Then the generators of the  $k$ -vector space  $\pi_{\underline{\lambda}}(\tilde{J})/\mathfrak{m}\pi_{\underline{\lambda}}(\tilde{J})$  are  $\overline{a}_i = \sum_{j=1}^{s+1} \overline{\lambda}_{ij} f_j$ .

Define  $L(\underline{\lambda}) = [\overline{\lambda}_{ij}]_{ij}$  to be the matrix defined by the coefficients of the  $\overline{a}_i$  for  $i = 1, \dots, s$ . Then  $L(\underline{\lambda})$  is a  $s \times (s + 1)$  matrix with coefficients in  $k$ . Suppose  $L_s(\underline{\lambda})$  is the  $s \times s$  submatrix of  $L(\underline{\lambda})$  obtained by omitting the last column. We define the open set  $U \subset \mathbb{A}_k^{s(s+1)}$  to be set of  $L(\underline{\lambda})$ 's satisfying  $\det(L_s(\underline{\lambda})) \neq 0$ . Since  $\{L(\underline{\lambda}) \mid \det(L_s(\underline{\lambda})) = 0\}$  is closed, then clearly  $U$  is open. Also  $L(\underline{\lambda}_0) \in U$  and thus  $U$  is not empty. Therefore  $U$  is an open dense set (see for example [19, lemma 2.9]).

Since for any  $\underline{\lambda} \in U$ ,  $\pi_{\underline{\lambda}}(\tilde{J})$  is a general reduction with  $\det(L_s) \neq 0$ , then  $V = \pi_{\underline{\lambda}}(\tilde{J})/\mathfrak{m}\pi_{\underline{\lambda}}(\tilde{J})$  is an  $s$ -dimensional  $k$ -vector space with basis  $\overline{a}_1, \dots, \overline{a}_s$ . Row reducing  $L(\underline{\lambda})$ , we obtain the following matrix:

$$\begin{pmatrix} 1 & 0 & 0 & \cdots & 0 & \beta'_1 \\ 0 & 1 & 0 & \cdots & 0 & \beta'_2 \\ 0 & 0 & 1 & \cdots & 0 & \beta'_3 \\ \vdots & \vdots & \vdots & \ddots & \vdots & \vdots \\ 0 & 0 & 0 & \cdots & 1 & \beta'_s \end{pmatrix}$$

where the  $\beta'_i \in k$ . This implies that an alternate basis for  $V$  is  $\{\overline{f}_1 + \beta'_1 \overline{f}_{s+1}, \dots, \overline{f}_s + \beta'_s \overline{f}_{s+1}\}$ . Let  $J_{\text{gen}} = (f_1 + \beta_1 f_{s+1}, \dots, f_s + \beta_s f_{s+1}) = \pi_{\underline{\lambda}}(\tilde{J})$ , where  $\beta_i$  is a preimage of  $\beta'_i$  in  $R$ .

*Case 1.* Suppose that for all  $1 \leq i \leq s$  we have that  $\beta_i \in \mathfrak{m}$ . Let  $K = J_{\text{gen}} + \mathfrak{m}I$ . Then we claim that  $K = J + \mathfrak{m}I$ . To see this it is enough to check the inclusions for the generators of the ideals. Let  $\alpha$  be a generator of  $K$ . Then we can write  $\alpha = f_i + \beta_i f_{s+1} + \delta$ , where  $\delta \in \mathfrak{m}I$ . But as  $\beta_i \in \mathfrak{m}$  and  $f_i \in J$  then  $\alpha \in J + \mathfrak{m}I$ . Now let  $\alpha'$  be a generator of  $J + \mathfrak{m}I$ . Then  $\alpha' = f_i + \delta'$ , where  $\delta' \in \mathfrak{m}I$ . Since  $\beta_i \in \mathfrak{m}$  then  $\delta' - \beta_i f_{s+1} \in \mathfrak{m}I$ . Hence  $\alpha' = f_i + \beta_i f_{s+1} + (\delta' - \beta_i f_{s+1}) \in J_{\text{gen}} + \mathfrak{m}I = K$ .

Next we claim that  $(J + \mathfrak{m}I)^* = J^*$ . Notice that  $J \subset J + \mathfrak{m}I \subset I$ . Taking the tight closure we obtain  $J^* \subset (J + \mathfrak{m}I)^* \subset I^* = J^*$ . Thus,  $(J + \mathfrak{m}I)^* = J^*$ . Overall we have the following inclusions:

$$J_{\text{gen}} \subset I \subset (J + \mathfrak{m}I)^* = (J_{\text{gen}} + \mathfrak{m}I)^*$$

Now by [7, proposition 2.1] we have that  $I \subset J_{\text{gen}}^*$ .

*Case 2.* Suppose that  $\beta_i \notin \mathfrak{m}$  for some  $i$ . Then without loss of generality we may assume that  $i = s$  and  $\beta_s = 1$ . Then  $J_{\text{gen}} = (f_1 + \beta_1 f_{s+1}, \dots, f_s + f_{s+1})$ . Hence  $f_1 - \beta_1 f_s \in J_{\text{gen}}$ . Let  $f'_1 = f_1 - \beta_1 f_s$  and replace  $f_1$  with  $f'_1$ . Continuing this way we may assume that  $J_{\text{gen}} = (f_1, \dots, f_{s-1}, f_s + f_{s+1})$ . Suppose that  $f_{s+1} \notin (f_1, \dots, f_{s-1}, f_s + f_{s+1})^*$ .



Since  $f_{s+1} \in I \subset J^*$ , then we may take  $c \in R^0$  such that  $cf_{s+1}^q \in J^{[q]}$  for every  $q = p^e \gg 0$ .

Hence  $cf_{s+1}^q = \sum_{i=1}^s r_{iq} f_i^q$ . Then

$$cf_{s+1}^q + r_{sq} f_{s+1}^q = \sum_{i=1}^s r_{iq} f_i^q + r_{sq} f_{s+1}^q = \sum_{i=1}^{s-1} r_{iq} f_i^q + r_{sq} (f_s^q + f_{s+1}^q).$$

Let  $c_q = c + r_{sq}$ . Then  $c_q f_{s+1}^q = \sum_{i=1}^{s-1} r_{iq} f_i^q + r_{sq} (f_s^q + f_{s+1}^q)$  and in particular  $c_q f_{s+1}^q \in (f_1, \dots, f_{s-1}, f_s + f_{s+1})^{[q]}$ . Therefore by Proposition 2.4 there is a  $q_0$ , such that  $c_q \in \mathfrak{m}^{q/q_0}$  for all  $q \geq q_0$ . Also there is some  $q_1$ , such that  $c \notin \mathfrak{m}^{q_1}$ . Hence for all  $q \geq q_1$ , we have  $r_{sq} = c_q - c \notin \mathfrak{m}^{q_1}$ .

Notice that  $r_{sq} f_s^q = cf_{s+1}^q - \sum_{i=1}^{s-1} r_{iq} f_i^q$ . Since  $r_{sq} \notin \mathfrak{m}^{q_1}$  then  $f_s \in (f_1, \dots, f_{s-1}, f_{s+1})^*$  by Proposition 2.4. Therefore  $(f_1, \dots, f_{s-1}, f_{s+1})^* = I^*$  and thus  $(f_1, \dots, f_{s-1}, f_{s+1})$  is a minimal  $*$ -reduction of  $I$ . However, since  $f_{s+1} \in I^{*sp}$  then  $(f_1, \dots, f_{s-1}, f_{s+1})$  is not a minimal  $*$ -reduction of  $I$ , according to [31, proposition 1.12(b)], which is a contradiction. Therefore  $f_{s+1} \in J_{\text{gen}}^*$  and thus  $J_{\text{gen}}^* = I^*$ .

We are able to generalize Theorem 4.4 and relax the condition on  $*d_2(I)$ .

**THEOREM 4.5.** *Let  $R$  be an excellent normal local ring of characteristic  $p > 0$  with infinite perfect residue field. Let  $I$  be an ideal. Then any ideal generated by  $\ell^*(I)$  general elements of  $I$  is a minimal  $*$ -reduction of  $I$ .*

*Proof.* Let  $\ell^*(I) = s$ . Then there exist  $*$ -independent elements  $f_1, \dots, f_s \in I$  such that  $I^* = (f_1, \dots, f_s)^*$ . Let  $J = (f_1, \dots, f_s)$ . Hence  $J$  is a minimal  $*$ -reduction of  $I$ . By [7, lemma 2.2] we know that any generating set of  $J$  can be extended to a minimal generating set of  $I$ . In other words, there exist  $f_{s+1}, \dots, f_{s+n} \in I$  such that  $I = (f_1, \dots, f_s, f_{s+1}, \dots, f_{s+n})$ , where  $n = *d_2(I)$ . By [16, theorem 2.1] we have that  $J^* = J + J^{*sp}$ . Also by [7, lemma 3.4] since  $J \subset I$  and  $J^* = I^*$  then  $J^{*sp} = I^{*sp}$ . Therefore  $I^* = J + I^{*sp}$  and thus  $f_{s+1}, \dots, f_{s+n}$  can be chosen such that  $f_{s+1}, \dots, f_{s+n} \in I^{*sp}$ .

Let  $\mathfrak{m}$  denote the maximal ideal of  $R$  and  $k = R/\mathfrak{m}$  be the residue field of  $R$ . As above we form an ideal generated by general elements and we may assume that

$$J_{\text{gen}} = (f_1 + \beta_{11}f_{s+1} + \dots + \beta_{1n}f_{s+n}, \dots, f_s + \beta_{s1}f_{s+1} + \dots + \beta_{sn}f_{s+n}),$$

where  $\beta_{ij} \in R$ .

*Case 1.* Suppose that for all  $1 \leq i \leq s$  and for all  $1 \leq j \leq n$  we have that  $\beta_{ij} \in \mathfrak{m}$ . Let  $K = J_{\text{gen}} + \mathfrak{m}I$ . Then we claim that  $K = J + \mathfrak{m}I$ . Let  $\alpha$  be a generator of  $K$ . Then we can write  $\alpha = f_i + \beta_{i1}f_{s+1} + \dots + \beta_{in}f_{s+n} + \delta$ , where  $\delta \in \mathfrak{m}I$ . But as  $\beta_{ij} \in \mathfrak{m}$  and  $f_i \in J$  then  $\alpha \in J + \mathfrak{m}I$ . Now let  $\alpha'$  be a generator of  $J + \mathfrak{m}I$ . Then  $\alpha' = f_i + \delta'$ , where  $\delta' \in \mathfrak{m}I$ . Since  $\beta_{ij} \in \mathfrak{m}$  for all  $1 \leq i \leq s$  and for all  $1 \leq j \leq n$  then  $\delta' - (\beta_{i1}f_{s+1} + \dots + \beta_{in}f_{s+n}) \in \mathfrak{m}I$ . Hence  $\alpha' = f_i + \beta_{i1}f_{s+1} + \dots + \beta_{in}f_{s+n} + (\delta' - (\beta_{i1}f_{s+1} + \dots + \beta_{in}f_{s+n})) \in J_{\text{gen}} + \mathfrak{m}I = K$ .

Next we claim that  $(J + \mathfrak{m}I)^* = J^*$ . Notice that  $J \subset J + \mathfrak{m}I \subset I$ . Taking the tight closure we obtain  $J^* \subset (J + \mathfrak{m}I)^* \subset I^* = J^*$ . Thus  $(J + \mathfrak{m}I)^* = J^*$ . Overall we have the following inclusions:

$$J_{\text{gen}} \subset I \subset (J + \mathfrak{m}I)^* = (J_{\text{gen}} + \mathfrak{m}I)^*.$$

Now by [7, proposition 2.1] we have that  $I \subset J_{\text{gen}}^*$ .

*Case 2.* Suppose  $\beta_{ij} \notin \mathfrak{m}$  for some  $1 \leq i \leq s$  and  $1 \leq j \leq n$ . Without loss of generality we may assume that  $i = s$  and  $j = n$  and that  $\beta_{sn} = 1$ . Hence

$$J_{\text{gen}} = (f_1 + \beta_{11}f_{s+1} + \dots + \beta_{1n}f_{s+n}, \dots, f_s + \beta_{s1}f_{s+1} + \dots + f_{s+n}).$$

We claim that  $I^* = J_{\text{gen}}^*$ . We will proceed by induction on  $n = *d_2(I) = \mu(I) - \ell^*(I)$ . If  $n = 0$  there is nothing to show and if  $n = 1$  then Theorem 4.4 gives the result. So we assume that  $n > 1$  and the result holds for any ideal  $I'$  with  $*d_2(I') = n - 1$ .

Let  $g_i = f_i + \beta_{i1}f_{s+1} + \cdots + \beta_{in}f_{s+n}$ . Then

$$g_i - \beta_{in}g_s = (f_i - \beta_{in}f_s) + \sum_{j=1}^{n-1} (\beta_{ij} - \beta_{in}\beta_{sj})f_{s+j} \in J_{\text{gen}}.$$

Notice that  $f'_i = f_i - \beta_{in}f_s \in J$  and let  $\beta'_{ij} = \beta_{ij} - \beta_{in}\beta_{sj}$ . Therefore, we can replace  $f_i$  with  $f'_i$  and  $\beta_{ij}$  with  $\beta'_{ij}$  to assume that  $J_{\text{gen}} = (h_1, \dots, h_{s-1}, h_s + f_{s+n})$ , where

$$h_i = f_i + \beta_{i1}f_{s+1} + \cdots + \beta_{i(n-1)}f_{s+n-1}.$$

Let  $L = (h_1, \dots, h_s)$  and  $J_1 = (f_1, \dots, f_s, f_{s+1}, \dots, f_{s+n-1})$ .

Since  $g_i$  is a general element for all  $1 \leq i \leq s$  then there exists  $U \subset \mathbb{A}_k^{s(s+n)}$  a dense open set such that the image of  $\beta_i = [0, \dots, 0, 1, 0, \dots, \beta_{i1}, \dots, \beta_{i(n-1)}, \beta_{in}]$  varies in  $U$ . Consider the natural projection  $\pi : \mathbb{A}_k^{s(s+n)} \rightarrow \mathbb{A}_k^{s(s+n-1)}$  such that  $\pi((a_1, \dots, a_{s+n-1}, a_{s+n})) = (a_1, \dots, a_{s+n-1})$  for  $a_i \in \mathbb{A}_k^s$ . Let  $W = \pi(U)$ . As  $U$  is dense and open then  $U \neq \emptyset$  and thus  $W \neq \emptyset$  and  $W$  is also open, since  $\pi$  is an open map. Therefore  $W$  is a dense open set. As  $\beta_i$  is allowed to vary in  $U$  then  $\pi(\beta_i)$  varies in  $W$  and thus  $h_i$  is also a general element of  $J_1$ .

Notice that  $J \subset J_1 \subset I$  and thus  $J^* = J_1^*$ . Hence  $\ell^*(J_1) = s$ . Therefore  $*d_2(J_1) \leq n - 1$  and by our inductive hypothesis  $L$  is a minimal  $*$ -reduction of  $J_1$  and thus of  $I$ . Hence  $L^* = J_1^* = J^* = I^*$ . We are claiming that  $J_{\text{gen}}^* = L^* = I^*$ . It is enough to show that  $f_{s+n} \in J_{\text{gen}}^*$ . Suppose that  $f_{s+n} \notin J_{\text{gen}}^*$ . Then as in the proof of Theorem 4.4 we obtain that  $h_s \in (h_1, \dots, h_{s-1}, f_{s+n})^*$ , which implies that  $L^* = (h_1, \dots, h_{s-1}, f_{s+n})^* = I^*$ . However, since  $f_{s+n} \in I^{*sp}$  then  $(h_1, \dots, h_{s-1}, f_{s+n})$  is not a minimal  $*$ -reduction of  $I$ , by [31, proposition 1.12(b)], which is a contradiction. Hence  $f_{s+n} \in J_{\text{gen}}^*$  and  $J_{\text{gen}}^* = L^* = I^*$ .

**COROLLARY 4.6.** *Let  $(R, \mathfrak{m})$  be a Gorenstein normal local isolated singularity of characteristic  $p > 0$  with infinite perfect residue field. Suppose that the test ideal of  $R$  is  $\mathfrak{m}$ . Let  $I = J^*$  where  $J$  is a parameter ideal minimally generated by  $s$  elements. Then any ideal generated by  $s$  general elements of  $I$  is a minimal  $*$ -reduction of  $I$ .*

*Proof.* Suppose  $J = (f_1, \dots, f_s)$ . Then  $J$  is a minimal  $*$ -reduction of  $I = J^* = (J : \mathfrak{m})$ , where the last equality is obtained by Theorem 2.2. Since  $R$  is Gorenstein then the socle  $(J : \mathfrak{m})/J$  is a one dimensional vector space. Hence  $I = (f_1, \dots, f_s, f_{s+1})$ , where  $f_{s+1} \notin J$ . Therefore  $\mu(I) = s + 1$  and  $*d_2(I) = 1$ . Thus by Theorem 4.4, any ideal generated by  $s$  general elements is a minimal  $*$ -reduction of  $I$ .

**COROLLARY 4.7.** *Let  $(R, \mathfrak{m})$  be a Gorenstein normal local isolated singularity of characteristic  $p > 0$  with infinite perfect residue field. Suppose that the test ideal of  $R$  is  $\mathfrak{m}$ . Let  $I = J^*$  where  $J$  is generated by a system of parameters. Then  $\text{core}(I) = * \text{-core}(I)$ .*

*Proof.* Since  $I$  is  $\mathfrak{m}$ -primary then  $\ell(I) = \ell^*(I) = d$ , where  $d = \dim R$ . By Corollary 4.6 any ideal generated by  $d$  general elements is a general minimal  $*$ -reduction. Notice that these general minimal  $*$ -reductions are also general minimal reductions of  $I$ , since  $\ell(I) = d$ .

Also, since  $I$  is  $\mathfrak{m}$ -primary then by Theorem 2.6 ([4, theorem 4.5]) we have that  $\text{core}(I)$  is a finite intersection of general minimal reductions. Since each general minimal reduction is also a minimal  $*$ -reduction then  $* \text{-core}(I) \subset \text{core}(I)$ . On the other hand  $\text{core}(I) \subset * \text{-core}(I)$ , by Corollary 3.5.

**THEOREM 4.8.** *Let  $R$  be a Cohen–Macaulay normal local domain of characteristic  $p > 0$  with infinite perfect residue field. Let  $I$  be an ideal with  $\ell^*(I) = \ell(I) = s$ . We further assume that  $I$  satisfies  $G_s$  and is weakly  $(s - 1)$ -residually  $S_2$ . Then  $\text{core}(I) = * \text{-core}(I)$ .*

*Proof.* We know that  $\text{core}(I) \subset * \text{-core}(I)$  by Corollary 3.5. According to Theorem 2.6 the core is a finite intersection of general minimal reductions. Since every general minimal reduction is a minimal  $*$ -reduction by Theorem 4.5, we obtain the opposite inclusion.

5. The  $*$ -core in complete one dimensional semigroup rings

In Proposition 4.1, we saw that the core and the  $*$ -core agree for all ideals in a one dimensional domain of characteristic  $p > 0$  with infinite residue field. In Huneke and Swanson’s paper [14], one of the first questions that they ask is: if  $I$  is integrally closed, is  $\text{core}(I)$  integrally closed? They settle this question in the setting of a two-dimensional regular local ring. Corso, Polini and Ulrich in [5, theorem 2.11] showed that if  $R$  is a Cohen–Macaulay normal local ring with infinite residue field then  $\text{core}(I)$  is integrally closed, when  $I$  is a normal ideal of positive height, universally weakly  $(\ell - 1)$ -residually  $S_2$  and satisfies  $G_\ell$  and  $AN_{\ell-1}^-$ , where  $\ell = \ell(I)$ . A related question is: if  $I$  is tightly closed, is  $* \text{-core}(I)$  tightly closed? We will consider this question now for complete one-dimensional semigroup rings with test ideal equal to the maximal ideal. The second author showed the following:

**THEOREM 5.1 ([27]).** *Let  $R$  be a one-dimensional domain. The test ideal of  $R$  is equal to the conductor, i.e.  $\tau = c = \{c \in R \mid \phi(1) = c, \phi \in \text{Hom}_R(\overline{R}, R)\}$ , where  $\overline{R}$  denotes the integral closure of  $R$ .*

Note that in a one-dimensional local semigroup ring, the semigroup is a sub-semigroup of  $\mathbb{N}_0$ . For each sub-semigroup  $S$  of  $\mathbb{N}_0$ , there is a smallest  $m$  such that for all  $i \geq m, i \in S$ . The conductor of such a one dimensional semigroup ring is  $c = \langle t^m, t^{m+1}, t^{m+2}, \dots \rangle$ , [6, exercise 21.11]. Hence the test ideal in a one-dimensional semigroup ring is the maximal ideal, if the conductor is the maximal ideal. This can only happen if the semigroup has the form  $\{n + i \mid i \geq 0\}$  for some  $n \geq 0$ . Hence if  $R$  is complete the ring is of the form  $R = k[[t^n, t^{n+1}, \dots, t^{2n-1}]]$ , where  $k$  is a field. As in [28, proposition 4.1], we will show that the principal ideals of  $R$  are of a given form:

**PROPOSITION 5.2.** *Let  $R = k[[t^n, t^{n+1}, \dots, t^{2n-1}]]$  be a one-dimensional local semigroup ring and  $k$  a field. Each nonzero nonunit principal ideal of  $R$  can be expressed in the form*

$$(t^m + a_1 t^{m+1} + \dots + a_{n-1} t^{m+n-1}),$$

where  $a_i \in k$  and  $m \geq n$ .

*Proof.* Suppose  $0 \neq f \in R$ . Thus, after multiplying by a nonzero element of  $k$  we may assume that  $f = t^m + a_1 t^{m+1} + a_2 t^{m+2} + \dots$  for some  $a_{ij} \in k$  and for some  $m \geq n$ . We will show that  $t^r \in (f)$  for  $r \geq m + n$ . Hence  $t^m + a_1 t^{m+1} + \dots + a_{n-1} t^{m+n-1} \in (f)$ . Similarly, for  $r \geq m + n$  we obtain  $t^r \in (t^m + a_1 t^{m+1} + \dots + a_{n-1} t^{m+n-1})$ . Hence  $f \in (t^m + a_1 t^{m+1} + \dots + a_{n-1} t^{m+n-1})$ .

Let  $g \in k[[t]]$ . Note that  $t^{r-m} g \in R$ . Therefore if  $g$  is a unit in  $k[[t]]$ , then  $t^{r-m} g^{-1} \in R$  also. In  $k[[t]]$  we have  $f = t^m(1 + a_1 t + a_2 t^2 + \dots) = t^m g$ , for some unit  $g \in k[[t]]$ . Also notice that  $t^{r-m} g^{-1} f = t^r$ .

Similarly  $t^r \in (t^m + a_1t^{m+1} + \dots + a_{n-1}t^{m+n-1})$ . Since

$$f - (t^m + a_1t^{m+1} + \dots + a_{n-1}t^{m+n-1}) = a_n t^{2n} + a_{n+1}t^{2n+1} + \dots$$

is an element of  $(f) \cap (t^m + a_1t^{m+1} + \dots + a_{n-1}t^{m+n-1})$ , it follows that

$$(t^m + a_1t^{m+1} + \dots + a_{n-1}t^{m+n-1}) = (f).$$

Therefore all principal ideals of  $R$  are of the form  $(t^m + a_1t^{m+1} + \dots + a_{n-1}t^{m+n-1})$ .

PROPOSITION 5.3. *Let  $R = k[[t^n, t^{n+1}, \dots, t^{2n-1}]]$  be a one-dimensional local semi-group ring and  $k$  be an infinite field of characteristic  $p > 0$ . Any tightly closed ideal in  $R$  is of the form  $(t^m, t^{m+1}, \dots, t^{m+n-1})$  for some  $m \geq n$ .*

*Proof.* Suppose  $I$  is a tightly closed ideal in  $R$ . Since  $R$  is a one-dimensional local domain, there is a principal ideal  $(f) \in I$ , with  $(f)^* = I$ . By Proposition 5.2,  $(f) = (t^m + a_1t^{m+1} + \dots + a_{n-1}t^{m+n-1})$ , for some  $m \geq n$  and  $a_i \in k$ . According to [12, example 1.6-2],  $I^* = \overline{I} = (\overline{x})$  for some  $x \in I$ . Moreover, by [27, theorem 3-8] it follows that  $\overline{(x)} = (x)\overline{R} \cap R$ . Hence

$$(f)^* = \overline{(f)} = (f)k[[t]] \cap R = (t^m, t^{m+1}, \dots, t^{m+n-1}).$$

PROPOSITION 5.4. *Let  $R = k[[t^n, t^{n+1}, \dots, t^{2n-1}]]$  be a one-dimensional local semi-group ring and  $k$  be an infinite field of characteristic  $p > 0$ . Let  $I$  be a tightly closed ideal. Then  $*\text{-core}(I)$  is also tightly closed.*

*Proof.* If  $I = (0)$ , then clearly  $*\text{-core}(I) = (0)$  and thus the assertion is clear. Since  $R$  is a one-dimensional domain then  $\text{core}(I) = *\text{-core}(I)$  by Proposition 4.2. If  $I$  is basic then  $I$  is also  $*\text{-basic}$  and again the assertion is clear. So suppose  $I$  is not basic, nonzero and tightly closed. Then  $I = (t^m, t^{m+1}, \dots, t^{m+n-1})$  for some  $m \geq n$ , by Proposition 5.3. Since  $I$  is non-zero then  $I$  is  $\mathfrak{m}$ -primary, where  $\mathfrak{m}$  is the maximal ideal of  $R$ . Hence by Theorem 2.6 we have that  $\text{core}(I) = \bigcap_{i=1}^s (f_i)$ , for some positive integer  $s$  and  $(f_i)$  general minimal reductions of  $I$  for all  $1 \leq i \leq s$ . Let  $(f_i)$  be such a general minimal reduction. Then

$$(f_i) = (t^m + a_{i1}t^{m+1} + \dots + a_{i(n-1)}t^{m+n-1})$$

for some  $a_{ij} \in k$ , since  $f_i$  is a general element in  $I$ . As in the proof of Proposition 5.2, we see that  $t^r \in (t^m + a_1t^{m+1} + \dots + a_{n-1}t^{m+n-1})$  for all  $r \geq m + n$ . Hence  $(t^{m+n}, t^{m+n+1}, \dots, t^{m+2n-1}) \subset (f_i)$  for all  $i$  and thus  $(t^{m+n}, t^{m+n+1}, \dots, t^{m+2n-1}) \subset *\text{-core}(I)$ .

On the other hand let  $g \in *\text{-core}(I)$ . Hence  $g \in \bigcap_{i=1}^s (f_i)$ . It is clear that  $(g) \neq (f_i)$  for some  $i$ . Then  $g = a(t^m + a_{i1}t^{m+1} + \dots + a_{i(n-1)}t^{m+n-1})$  for some  $a \in R$  and  $a_{ij} \in k$ . If  $a$  is a unit then  $(g) = (f_i)$ , which is a contradiction. Hence we may assume that  $a$  is not a unit. Thus

$$a = \beta_1 t^n + \beta_2 t^{n+1} + \dots \text{ and } g = \gamma_0 t^{m+n} + \dots + \gamma_{n-1} t^{m+2n-1} + t^n (\gamma_n t^{m+n} + \dots + \gamma_{2n-1} t^{m+2n-1}) + \dots$$

Therefore  $g \in (t^{m+n}, t^{m+n+1}, \dots, t^{m+2n-1})$  and thus

$$*\text{-core}(I) \subset (t^{m+n}, t^{m+n+1}, \dots, t^{m+2n-1}).$$

Finally notice that  $*\text{-core}(I) = (t^{m+n}, t^{m+n+1}, \dots, t^{m+2n-1})$  is a tightly closed ideal.

Note that by Proposition 4.1 in a one-dimensional domain with infinite residue field we have  $\text{core}(I) = *\text{-core}(I)$  and the tight closure of an ideal agrees with the integral closure. Thus we obtain:

**COROLLARY 5.5.** *Let  $R = k[[t^n, t^{n+1}, \dots, t^{2n-1}]]$  be a one-dimensional local semigroup ring and  $k$  be an infinite field of characteristic  $p > 0$ . Let  $I$  be an integrally closed ideal. Then  $\text{core}(I)$  is integrally closed.*

*Remark 5.6.* As mentioned above the question of whether the core of an integrally closed ideal is also integrally closed was first addressed by Huneke and Swanson [13]. They answer this question positively when the ring is a 2-dimensional regular ring [13, corollary 3.12]. This question was also addressed by several other authors later (see [5, theorem 2.11, corollary 3.7], [23, corollary 4.6], and [17, proposition 5.5.3]).

We note here that Corollary 5.5 is not covered by any of the results mentioned above. In [5, corollary 3.7] and [23, corollary 4.6] it is required that the ring  $R$  is Gorenstein. The ring  $R = k[[t^n, t^{n+1}, \dots, t^{2n-1}]]$  with  $k$  an infinite field of characteristic  $p > 0$  is not Gorenstein unless  $n = 2$ . In [4, theorem 2.11] the Gorenstein condition can be relaxed to Cohen–Macaulay rings, but in addition the Rees algebra of  $I$  and  $I$  are assumed to be normal and  $J : I$  is independent of  $J$  for every minimal reduction  $J$  of  $I$ . Notice that the ideal  $I$  in Corollary 5.5 is normal and  $J : I = \tau = \mathfrak{m}$  is independent of the minimal reduction  $J$  of  $I$ . However, the Rees algebra of  $I$  is not normal, since  $R$  is not normal. Finally, in [17, proposition 5.5.3] it is assumed that the ring  $R$  is Cohen–Macaulay,  $R$  contains the rational numbers and the Rees algebra of  $I$  is Cohen–Macaulay whereas the ring in Corollary 5.5 need not contain the rational numbers.

### 6. Examples

Since the tight closure of an ideal is much closer to the ideal than the integral closure we expected to find examples of ideals  $I$  where the  $\text{core}(I) \subsetneq *\text{-core}(I)$ . The following example gives a family of rings where  $\text{core}(\mathfrak{m}^2) \subsetneq *\text{-core}(\mathfrak{m}^2)$ .

*Example 6.1.* Let  $R = \mathbb{Z}/p\mathbb{Z}(u, v, w)[[x, y, z]]/(ux^p + vy^p + wz^p)$ , where  $p$  is prime. Then  $R$  is a normal domain [7]. In [29] Vraciu and the second author computed the test ideal of  $R$  to be  $\mathfrak{m}^{p-1}$ , where  $\mathfrak{m}$  is the maximal ideal of  $R$ . Let  $k = \mathbb{Z}/p\mathbb{Z}(u, v, w)$ . Notice that since  $\ell(\mathfrak{m}^2) = 2$  then  $\ell^*(\mathfrak{m}^2)$  is either 2 or 3.

We begin by showing that  $\ell^*(\mathfrak{m}^2) = 3$ , regardless of the characteristic  $p$ . We claim that  $J = (y^2, yz, z^2)$  is a minimal  $*$ -reduction of  $\mathfrak{m}^2$ . To establish this we must show that  $y^2, yz, z^2$  are  $*$ -independent elements and that  $J^* = \mathfrak{m}^2$ .

We note that  $y^2, z^2$  is a system of parameters and therefore by Theorem 2.2 we have  $(y^2, z^2)^* = (y^2, z^2) : \mathfrak{m}^{p-1}$ . Hence,

$$\begin{aligned} (y^2, z^2)^* &= (y^2, z^2) : \mathfrak{m}^{p-1} = (y^2, z^2, x^{p-1}yz) : \mathfrak{m}^{p-2} \\ &= (y^2, z^2, x^{p-1}y, x^{p-1}z, x^{p-2}yz) : \mathfrak{m}^{p-3} = \dots = (y^2, z^2) + \mathfrak{m}^3. \end{aligned}$$

In particular, this shows that  $yz \notin (y^2, z^2)^*$ . It remains to establish that  $z^2 \notin (y^2, yz)^*$  and  $y^2 \notin (yz, z^2)^*$ . Notice that  $(y^2, yz)^* \subset (y^2, yz) : \mathfrak{m}^{p-1}$ , by the definition of the test ideal. As above,

$$(y^2, yz) : \mathfrak{m}^{p-1} = (y^2, yz, x^{p-1}y) : \mathfrak{m}^{p-2} = (y^2, z^2, x^{p-2}y) : \mathfrak{m}^{p-3} = \dots = (y^2, yz, xy).$$

One then observes that since  $z^2 \notin (y^2, yz, xy)$  then  $z^2 \notin (y^2, yz)^*$ . Similarly  $y^2 \notin (yz, z^2)^*$ . Therefore  $y^2, yz, z^2$  are  $*$ -independent elements.

Next we must show that  $J^* = \mathfrak{m}^2$ . The calculations depend on the characteristic  $p$  and thus we separate the computations.

For  $p = 2$  notice that  $x^2 = (v/u)y^2 + (w/u)z^2 \in J$  and

$$(xz)^2 = ((v/u)y^2 + (w/u)z^2)z^2 = (v/u)(yz)^2 + (w/u)(z^2)^2.$$

Hence  $xz \in J^F \subset J^*$ . Similarly  $xy \in J^*$  and thus  $\mathfrak{m}^2 = J^*$ . Therefore  $J$  is indeed a minimal  $*$ -reduction of  $\mathfrak{m}^2$ .

For  $p \geq 3$  notice that

$$(x^2)^p = (x^p)^2 = ((v/u)y^p + (w/u)z^p)^2 = (v^2/u^2)(y^2)^p + 2(vw/u^2)(yz)^p + (w^2/u^2)(z^2)^p \in J^F$$

and  $(xz)^p = ((v/u)y^p + (w/u)z^p)z^p$ . Thus  $xz \in J^F \subset J^*$ . Similarly  $xy \in J^*$  and thus  $J^* = \mathfrak{m}^2$ . Therefore  $J$  is again a minimal  $*$ -reduction of  $\mathfrak{m}^2$  and thus  $l^*(\mathfrak{m}^2) = 3$  for any characteristic.

Next we continue with the computations of  $*$ -core( $\mathfrak{m}^2$ ) and core( $\mathfrak{m}^2$ ). Once again these depend on the characteristic  $p$  and thus we separate the computations.

For  $p = 2$ , we compute the  $*$ -core of  $\mathfrak{m}^2$  in the following manner: Recall that  $J = (y^2, yz, z^2)$  is a minimal  $*$ -reduction of  $\mathfrak{m}^2$ . In addition we note that  $(x^2, xy, y^2)$ ,  $(x^2, xz, z^2)$ ,  $(y^2, yz, z^2)$ , and  $(yz, xz, xy)$  are all minimal  $*$ -reductions of  $\mathfrak{m}^2$ . Hence

$$\begin{aligned} * \text{-core}(\mathfrak{m}^2) &\subset (x^2, xy, y^2) \cap (x^2, xz, z^2) \cap (y^2, yz, z^2) \cap (yz, xz, xy) \\ &= (x^2, y^2, z^2, xyz) \cap (yz, xz, xy) = \mathfrak{m}^3. \end{aligned}$$

Note that  $\mathfrak{m}^3 = \mathfrak{m}J^* \subset J$  for all  $J$  minimal  $*$ -reductions of  $\mathfrak{m}^2$ , since  $\mathfrak{m}$  is the test ideal. Hence  $* \text{-core}(\mathfrak{m}^2) = \mathfrak{m}^3$ .

For  $p \geq 3$  we estimate the  $*$ -core of  $\mathfrak{m}^2$ . Again,  $J = (y^2, yz, z^2)$  is a minimal  $*$ -reduction of  $\mathfrak{m}^2$  and similarly  $(x^2, xy, y^2)$ ,  $(x^2, xz, z^2)$ ,  $(y^2, yz, z^2)$ , and  $(yz, xz, xy)$  are all minimal  $*$ -reductions of  $\mathfrak{m}^2$ . As the test ideal is  $\mathfrak{m}^{p-1}$ , we see that  $\mathfrak{m}^{p-1}J^* = \mathfrak{m}^{p+1} \subset J$  for all minimal  $*$ -reductions  $J$  of  $\mathfrak{m}^2$ . Therefore

$$\begin{aligned} * \text{-core}(\mathfrak{m}^2) &\subset (x^2, xy, y^2) \cap (x^2, xz, z^2) \cap (y^2, yz, z^2) \cap (yz, xz, xy) \\ &= \mathfrak{m}^{p+1} + (xyz, x^2y^2, x^2z^2, y^2z^2). \end{aligned}$$

Hence  $\mathfrak{m}^{p+1} \subset * \text{-core}(\mathfrak{m}^2) \subset \mathfrak{m}^{p+1} + (xyz, x^2y^2, x^2z^2, y^2z^2)$ . We remark here that we have not been able to establish a closed formula for  $* \text{-core}(\mathfrak{m}^2)$  for  $p \geq 3$ , but we will show that the above inclusions are enough to show that  $\text{core}(\mathfrak{m}^2) \subseteq * \text{-core}(\mathfrak{m}^2)$ .

Last we compute core( $\mathfrak{m}^2$ ). Recall that  $\ell(\mathfrak{m}^2) = 2$  and notice that  $H = (x^2, yz)$  is a minimal reduction of  $\mathfrak{m}^2$  in any characteristic.

For  $p = 2$  the reduction number of  $\mathfrak{m}^2$  with respect to  $H$  is 1. Since  $\text{char } k = 2 > 1$  then we may use the formula for the core as in [23, theorem 4.5]. Hence  $\text{core}(\mathfrak{m}^2) = H^2 : \mathfrak{m}^2 = \mathfrak{m}^4$ , where the last equality follows from calculations using the computer algebra program Macaulay 2 [21]. Therefore  $\mathfrak{m}^4 = \text{core}(\mathfrak{m}^2) \subseteq * \text{-core}(\mathfrak{m}^2) = \mathfrak{m}^3$ .

For  $p = 3$  the reduction number of  $\mathfrak{m}^2$  with respect to  $H$  is 2. Since now  $\text{char } k = 3 > 2$  we may again use the formula as in [23, theorem 4.5]. Thus  $\text{core}(\mathfrak{m}^2) = H^3 : \mathfrak{m}^4 = \mathfrak{m}^5$ , where the last equality is again obtained using the computer algebra program Macaulay 2 [21]. Notice that since  $\mathfrak{m}^4 \subset * \text{-core}(\mathfrak{m}^2)$  then  $\text{core}(\mathfrak{m}^2) \subseteq * \text{-core}(\mathfrak{m}^2)$  again.

When the analytic spread and the  $*$ -spread agree, it is not necessarily the case that all reductions of an ideal are  $*$ -reductions. However, the following example exhibits that even so, the core and the  $*$ -core agree for the maximal ideal in the following ring. In some sense, the following example prompted us to prove Theorem 4.4, Theorem 4.5 and Theorem 4.8.

*Example 6.2.* Let  $R = k[[x, y, z]]/(x^2 - y^3 - z^7)$ , where the  $k$  is an infinite field and  $\text{char } k > 7$ . Let  $\mathfrak{m} = (x, y, z)$  denote the maximal ideal of  $R$ . We observe first that  $\mathfrak{m}$  is the test ideal, [27].

We will show that  $*$ -spread of  $\mathfrak{m}$  is 2,  $\ell(\mathfrak{m}) = 2$  and  $\text{core}(\mathfrak{m}) = \mathfrak{m}^2 = *$ -core( $\mathfrak{m}$ ).

First note that  $R$  is a 2-dimensional Gorenstein local ring and hence  $\ell(\mathfrak{m}) = 2$ . Let  $J = (y, z)$ . Then  $J$  is a minimal reduction of  $\mathfrak{m}$  with reduction number 1. Since  $\text{char } k > 1$  then  $\text{core}(\mathfrak{m}) = J^2 : \mathfrak{m} = \mathfrak{m}^2$  by [23, theorem 4.5]. Notice that this does not agree with the formula in Hyry-Smith [18, theorem 4.1] or in Fouli–Polini–Ulrich [8, theorem 4.4] since  $a = 42 - 21 - 14 - 6 = 1$  and  $\text{core}(\mathfrak{m}) \neq \mathfrak{m}^{2+a+1} = \mathfrak{m}^4$ . The hypothesis that  $\mathfrak{m}$  is generated by elements of degree 1 is important in their formula.

On the other hand,  $J$  is also a minimal  $*$ -reduction of  $\mathfrak{m}$ . Note that  $y, z$  form a system of parameters and by Theorem 2.2 we have that  $(y, z)^* = (y, z) : \mathfrak{m} = (x, y, z) = \mathfrak{m}$ . Therefore  $\ell^*(\mathfrak{m}) = 2 = \ell(\mathfrak{m})$ . We claim that  $J_1 = (x + z, y)$  and  $J_2 = (x + y, z)$  are also minimal  $*$ -reductions. Denote

$$p_n(x, y) = x^n + x^{n-1}y + \cdots + xy^{n-1} + y^n.$$

Note that if  $n$  is odd,  $x^n + y^n = (x + y)p_{n-1}(x, -y)$ . Now we can see that

$$(x + z)p_6(x, -z) + y^3 = x^7 + z^7 + y^3 = x^7 + x^2 - y^3 + y^3 = x^2(1 + x^5).$$

Since  $(1 + x^5)$  is a unit in  $R$ , then  $x^2 \in (x + z, y)$ . Since  $x(x + z) = x^2 + xz$  we also observe that  $xz \in (x + z, y)$  and similarly, we see that  $z^2 \in (x + z, y)$ . Hence  $\mathfrak{m}^2 \subset (x + z, y)$  and thus  $\mathfrak{m} \subset (x + z, y) : \mathfrak{m} = (x + z, y)^* \subset \mathfrak{m}$ , i.e.  $(x + z, y)^* = \mathfrak{m}$ . Using the same argument exchanging  $y$  and  $z$  and exchanging the powers 3 and 7, we see that indeed  $J_2$  is a minimal  $*$ -reduction of  $\mathfrak{m}$ .

Let  $K$  be a minimal  $*$ -reduction of  $\mathfrak{m}$ . Then  $\mathfrak{m} = K^*$  and  $\mathfrak{m}^2 = \mathfrak{m}K^* \subset K$ . Therefore  $\mathfrak{m}^2 \subset *$ -core( $\mathfrak{m}$ ). We can easily see that  $\mathfrak{m}^2 = J \cap J_1 \cap J_2$  and hence conclude that  $\mathfrak{m}^2$  is in fact  $*$ -core( $\mathfrak{m}$ ) and  $\text{core}(\mathfrak{m}) = *$ -core( $\mathfrak{m}$ ).

*Acknowledgements.* We would like to thank Craig Huneke for advice pertaining to the proof of Theorem 4.4 and for hosting the first author at the University of Kansas while some of the work was completed. We would also like to thank Bernd Ulrich for reading the manuscript and for pointing out to us that the result of Corollary 5.5 was not known before. We also thank Adela Vraciu for suggesting Lemma 3.3. Finally, we thank the referee for the careful reading of the article and the comments that improved the exposition.

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